#### Edgar Filing: UNITED NATURAL FOODS INC - Form 4

UNITED NATURAL FOODS INC Form 4 November 29, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FUNK MICHAEL S Issuer Symbol UNITED NATURAL FOODS INC (Check all applicable) [UNFI] \_X\_ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) C/O UNITED NATURAL FOODS 11/27/2006 President and CEO INC, 260 LAKE ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DAYVILLE, CT 06241 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount Price (D) Common 37,500 Μ \$11.4 11/27/2006 Α 77,772 D Stock (1) Common 11/27/2006 S 300 (1) D D 77,472 36.26 Stock Common 1.200 11/27/2006 S D 76,272 D (1) 36.24 Stock Common 11/27/2006 S  $100^{(1)}$ D D 76,172 Stock 36.21 Common 7,866 11/27/2006 S D \$36.2 68,306 D

(1)

Stock

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Common Stock	11/27/2006	S	1,200 (1)	D	\$ 36.19	67,106	D
Common Stock	11/27/2006	S	400 (1)	D	\$ 36.16	66,706	D
Common Stock	11/27/2006	S	9,976 (1)	D	\$ 36.15	56,730	D
Common Stock	11/27/2006	S	9,900 (1)	D	\$ 36.05	46,830	D
Common Stock	11/27/2006	S	18,830 (1)	D	\$ 36	28,000 <u>(1)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	vative Expiration Date urities (Month/Day/Year) uired (A) isposed of r. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 11.4	11/27/2006		М	37,500	12/03/2005	12/03/2011	Common Stock	37,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
FUNK MICHAEL S C/O UNITED NATURAL FOODS INC 260 LAKE ROAD DAYVILLE, CT 06241	Х		President and CEO			

# Signatures

Mark Shamber (power of attorney, in fact)

11/29/2006

#### \*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents (i) 18,000 shares of restricted common stock vesting in four equal installments beginning on December 8, 2006 and (ii) 10,000 shares of restricted common stock vesting in two equal installments beginning on July 31, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.