

Truett-Hurst, Inc.  
Form 10-Q/A  
December 11, 2013

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 10-Q/A**

(Mark One)

**Quarterly Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

For the Quarterly Period Ending September 30, 2013

**Transition Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

For the transition period from \_\_\_\_\_ to \_\_\_\_\_

Commission File Number: 333-187164

**TRUETT-HURST, INC.**

**(Exact name of registrant as specified in its charter)**

**DELAWARE**  
**(State or other jurisdiction of  
incorporation or organization)**

**46-1561499**  
**(I.R.S. employer  
identification number)**

**4035 Westside Road, Healdsburg, California**  
**(Address of principal executive offices)**

**95448**  
**(zip code)**

**707.433.4423**  
**(Registrant's telephone number, including area code)**

Indicate by check mark whether the Registrant (1) has filed all reports required to be filed by Section 13 or 15 (d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the Registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days.

Yes  No

Indicate by check mark whether the Registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer or a smaller reporting company. See definition of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act.

Large accelerated filer  Accelerated filer   
Non-accelerated filer  Smaller reporting company   
(Do not check if a smaller reporting company)

Indicate by check mark whether the Registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act).

Yes  No

Indicate the number of shares outstanding of each of the Issuer's classes of common stock, as of the latest practicable date.

| <b>Class</b>                         | <b>Number of Shares Outstanding as of September 30, 2013</b> |
|--------------------------------------|--|
| Common stock, no par value per share | 2,700,000  |

**Explanatory Note:**

We are filing this Amendment No. 1 on Form 10-Q/A to our Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2013 (the "Form 10-Q"), which was originally filed with the Securities and Exchange Commission on November 14, 2013, for the purpose furnishing the required XBRL files within the 30 day grace period allowed by the SEC for first-time XBRL submissions. No changes to the financial content of the original Form 10-Q have been made. This Amendment continues to speak as of the date of the filing of the Original Filing, and the Company has not updated the disclosures contained therein to reflect any events that occurred at any subsequent date.

Exhibit 101 consists of the following materials from Truett-Hurst Inc.'s Form 10-Q, formatted in XBRL (eXtensible Business Reporting Language):

- 101.INS XBRL Instance Document
- 101.SCH XBRL Taxonomy Extension Schema
- 101.CAL XBRL Taxonomy Extension Calculation Linkbase
- 101.DEF XBRL Taxonomy Extension Definition Linkbase
- 101.LAB XBRL Taxonomy Extension Label Linkbase
- 101.PRE XBRL Taxonomy Extension Presentation Linkbase

**ITEM 6. EXHIBITS.**

- 31.1 Certification of the Chief Executive Officer Pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.
- 31.2 Certification of the Chief Financial Officer Pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.
- 32.1 Certification of the Chief Executive Officer Pursuant to Section 906 of the Sarbanes-Oxley Act of 2002.
- 32.2 Certification of the Chief Financial Officer Pursuant to Section 906 of the Sarbanes-Oxley Act of 2002.

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|         |   |
|---------|---|
| 101.LAB | <a href="#">XBRL Taxonomy Extension Label Linkbase</a>        |
| 101.PRE | <a href="#">XBRL Taxonomy Extension Presentation Linkbase</a> |

***SIGNATURES***

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized, in the City of Healdsburg, State of California, on the 11th day of December, 2013.

TRUETT-HURST, INC.

/s/ Phillip L. Hurst

December 11, 2013

Phillip L. Hurst  
President and Chief Executive Officer  
(Principal Executive Officer)

/s/ James D. Bielenberg

December 11, 2013

James D. Bielenberg  
Chief Financial Officer  
(Principal Financial/Accounting Officer)