



# Edgar Filing: SUMMIT FINANCIAL GROUP INC - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Underlying Security (Instr. 3 and 4) |                           |              |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----|---------------------------------------------------|---------------------------|--------------|
|                                            |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D) | Date Exercisable                                  | Expiration Date           | Title        |
| Employee Stock Option (Right to Buy)       | \$ 2.54                                                |                                      |                                                    |                                |                                                                                         |                                                          |     | 07/27/2011 <sup>(1)</sup>                         | 07/27/2025 <sup>(2)</sup> | Common Stock |
| Stock-Settled Stock Appreciation Rights    | \$ 12.01                                               |                                      |                                                    |                                |                                                                                         |                                                          |     | 04/22/2016 <sup>(1)</sup>                         | 04/23/2025                | Common Stock |
| Stock-Settled Stock Appreciation Rights    | \$ 26.01                                               | 02/09/2017                           |                                                    | A                              |                                                                                         | 5,452                                                    |     | 02/09/2018 <sup>(1)</sup>                         | 02/09/2027                | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships                    |
|-----------------------------------------------------------------|----------------------------------|
|                                                                 | Director 10% Owner Officer Other |
| Ritchie Bradford E<br>119 CORNWALL LANE<br>CHARLESTON, WV 25314 | President, Subsidiary Bank       |

## Signatures

Teresa D. Ely, Lmted. POA,  
Attorney-In-Fact 03/24/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option and/or SAR vests in 5 equal annual installments with beginning date indicated.

(2) Option and/or SAR expires in 5 equal annual installments with the final date indicated.

(3) The information reported herein is based on a plan statement dated 12/31/2016 received in March 2017.

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- (4) Between January 1, 2016 and December 31, 2016, acquired 858.3375 shares of Summit common stock under the Summit Financial Group, Inc. Employee Stock Ownership Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.