

MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

December 16, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LANDY EUGENE W

2. Issuer Name **and** Ticker or Trading  
Symbol  
MONMOUTH REAL ESTATE  
INVESTMENT CORP [MNR]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
3499 RT. 9 NORTH, SUITE 3D  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/15/2016

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman of the Board

FREEHOLD, NJ 07728

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
MNR Common Stock					845,951.232 (1)	D	
MNR Common Stock					154,405	I	Eugene W. Landy and Gloria Landy Family Foundation
MNR Common Stock					34,840.66	I	Juniper Plaza Associates

MNR Common Stock	27,521.03	I	Windsor Industrial Park Associates
MNR Common Stock	97,913.57	I	Spouse
MNR Common Stock	192,293.62	I	Landy & Landy Employees' Pension Plan
MNR Common Stock	13,048	I	Landy Investments, Ltd.
MNR Common Stock	225,426.819	I	Landy & Landy Employees' Profit Sharing Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pr Deri Secu (Inst
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Qualified Stock Option to Purchase MNR Common	\$ 10.37					01/05/2017	01/05/2024	MNR Common Stock	65,000

## Stock

Qualified  
Stock

Option to

Purchase \$ 11.16

MNR

Common  
Stock

01/05/2016 01/05/2023

MNR  
Common  
Stock

65,000

Qualified  
Stock

Option to

Purchase \$ 8.94

Common  
Stock

01/03/2015 01/03/2022

MNR  
Common  
Stock

65,000

Qualified  
Stock

Option to

Purchase \$ 10.46

Common  
Stock

01/03/2014 01/03/2021

MNR  
Common  
Stock

65,000

Qualified  
Stock

Option to

Purchase \$ 9.33

Common  
Stock

01/03/2013 01/03/2020

MNR  
Common  
Stock

65,000

Qualified  
Stock

Option to

Purchase \$ 8.72

Common  
Stock

01/03/2012 01/03/2019

MNR  
Common  
Stock

65,000

Qualified  
Stock

Option to

Purchase \$ 7.22

Common  
Stock

01/05/2011 01/05/2018

MNR  
Common  
Stock

65,000

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LANDY EUGENE W 3499 RT. 9 NORTH SUITE 3D	X		Chairman of the Board	

FREEHOLD, NJ 07728

## Signatures

Eugene W.

12/16/2016

Landy

\_\_\_\_\_  
Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 595.103 shares acquired under the Dividend Reinvestment and Stock Purchase Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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