## Edgar Filing: New Home Co Inc. - Form 4

| New Home C  | o Inc.   |                                |            |   |                                |  |                |   |  |   |  |  |  |
|---|--|--------------------------------|------------|---|--------------------------------|--|----------------|---|--|---|--|--|--|
| Form 4  |  |                                |            |   |                                |  |                |   |  |   |  |  |  |
| March 26, 20  | 14   |                                |            |   |                                |  |                |   |  |   |  |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION   |  |                                |            |   |                                |  |                |   | OMB APPROVAL   |   |  |  |  |
| <b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b><br>Washington, D.C. 20549   |  |                                |            |   |                                |  | OMB<br>Number: | 3235-0287   |  |   |  |  |  |
| Check this box  |  |                                |            |   |                                |  |                |   | Expires:   | January 31,   |  |  |  |
| subject to  | if no longer<br>subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI |                                |            |   |                                | NERSHIP OF                                       |                | 2005<br>average   |  |   |  |  |  |
| Section 16  | <b>.</b>   | SECURITIES                     |            |   |                                |  |                |   |  | Estimated average<br>burden hours per                             |  |  |  |
| Form 4 or   |  |                                |            |   |                                |  |                |   | response   |   |  |  |  |
| Form 5<br>obligation  |  | •                              |            |   |                                |  |                | ge Act of 1934,   |  |   |  |  |  |
| may contin  |  |                                |            | •   | •                              | · ·  |                | of 1935 or Section  | n  |   |  |  |  |
| <i>See</i> Instruction 1(b).  |  | 30(h)                          | of the Inv | vestment  | Compan                         | y Act  | of 19          | 40  |  |   |  |  |  |
| (Print or Type R  | esponses)  |                                |            |   |                                |  |                |   |  |   |  |  |  |
| 1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Tradin         Lindstrom Gregory P.       Symbol         New Home Co Inc. [NWHM] |  |                                |            |   | g                              | 5. Relationship of Reporting Person(s) to Issuer |                |   |  |   |  |  |  |
|   |  |                                | New Ho     | me Co II  | $\mathbf{IC.} [\mathbf{INWF}]$ | livij  |                | (Check all applicable)                                      |  |   |  |  |  |
| (Last) (First) (Middle) 3. Date of Earliest Transaction   |  |                                |            |   |                                |  |                |   |  |   |  |  |  |
|   |  |                                |            | onth/Day/Year)  |                                |  |                | X_ Director 10% Owner<br>Officer (give title Other (specify |  |   |  |  |  |
| C/O THE NEW HOME COMPANY 03/24/2014<br>INC., 95 ENTERPRISE, SUITE 325   |  |                                |            |   | below)                         | below)   | (speeny        |   |  |   |  |  |  |
| INC., 95 EIN  | I EKPRISE, S   | SUITE 323                      |            |   |                                |  |                |   |  |   |  |  |  |
|   |  | 4. If Amendment, Date Original |            |   |                                | 6. Individual or Joint/Group Filing(Check        |                |   |  |   |  |  |  |
|   |  |                                |            |   | Applicable Line)               | y One Reporting Person                           |                |   |  |   |  |  |  |
| ALISO VIEJ  | O CA 02656   | -                              |            |   |                                |  |                |   | More than One R  |   |  |  |  |
| ALISU VIEJ  | 0, CA 92030  | )                              |            |   |                                |  |                | Person  |  |   |  |  |  |
| (City)  | (State)  | (Zip)                          | Table      | e I - Non-E   | Derivative S                   | Securi   | ties Ac        | quired, Disposed o  | f, or Beneficia  | lly Owned   |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | ecurity (Month/Day/Year) Execution Date, if                            |                                |            | 3. 4. Securities<br>TransactionAcquired (A) or<br>Code Disposed of (D)<br>(Instr. 8) (Instr. 3, 4 and 5)<br>(A) |                                |  |                | SecuritiesHBeneficially(OwnedI                              | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |
|   |  |                                |            | Code V  | Amount                         | or<br>(D)  | Price          | (Instr. 3 and 4)  |  |   |  |  |  |
| Common<br>Stock   | 03/24/2014   |                                |            | A <u>(1)</u>  | 1,291                          | A  | \$0            | 10,041  | D  |   |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | <ol> <li>5.</li> <li>5</li></ol> | (Month/Day/Year)<br>ivative<br>urities<br>juired<br>or<br>posed |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|------------------------------------|--|---|--------------------|---|--|---|---|
|   |   |   | Code                               | 4, and 5)  | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

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## **Reporting Owners**

| Reporting Owner Nat   | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| Lindstrom Gregory P.<br>C/O THE NEW HOME C<br>95 ENTERPRISE, SUITE<br>ALISO VIEJO, CA 92656 | Х             |           |         |       |  |  |
| Signatures  |               |           |         |       |  |  |
| /s/ Gregory P.<br>Lindstrom   | 03/24/2014    |           |         |       |  |  |
| <b>**</b> Signature of Reporting  | Date          |           |         |       |  |  |

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a grant of restricted stock units. The restricted stock units, which will vest one year from the date of grant (subject to Mr. (1) Lindstrom's continued service), are to be settled for an equal number of shares of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Person