

ZOLLARS ROBERT

Form 4

May 08, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

 1. Name and Address of Reporting Person *
ZOLLARS ROBERT

(Last) (First) (Middle)

C/O VOCERA COMMUNICATIONS, INC., 525 RACE STREET

(Street)

SAN JOSE, CA 95126

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

VOCERA COMMUNICATIONS, INC. [VCRA]

 3. Date of Earliest Transaction
 (Month/Day/Year)
05/07/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	05/07/2013		G ⁽¹⁾	V 36,000 D \$ 0 0		D	
Common Stock	05/07/2013		G ⁽¹⁾	V 36,000 A \$ 0 36,735		I	By ZoCo, L.P. ⁽²⁾
Common Stock	05/07/2013		M	50,000 A \$ 5.04 86,735		I	By ZoCo, L.P. ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 SEC 1474
 (9-02)

required to respond unless the form
displays a currently valid OMB control
number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nun Sha
Stock Option (Right to Buy)	\$ 1.74	05/07/2013		G ⁽¹⁾	V		125,852	⁽³⁾	07/30/2017	Common Stock	12
Stock Option (Right to Buy)	\$ 1.74	05/07/2013		G ⁽¹⁾	V	125,852		⁽³⁾	07/30/2017	Common Stock	12
Stock Option (Right to Buy)	\$ 5.04	05/07/2013		G ⁽¹⁾	V		109,500	⁽⁶⁾⁽⁵⁾	05/04/2021	Common Stock	10
Stock Option (Right to Buy)	\$ 5.04	05/07/2013		G ⁽¹⁾	V	109,500		⁽⁶⁾⁽⁵⁾	05/04/2021	Common Stock	10
Stock Option (Right to Buy)	\$ 5.04	05/07/2013		M			50,000	⁽⁶⁾⁽⁵⁾	05/04/2021	Common Stock	50
Stock Option (Right to Buy)	\$ 24.15	05/07/2013		G ⁽¹⁾	V		60,300	⁽⁷⁾	05/30/2022	Common Stock	60
Stock Option (Right to Buy)	\$ 24.15	05/07/2013		G ⁽¹⁾	V	60,300		⁽⁷⁾	05/30/2022	Common Stock	60

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer

Other

ZOLLARS ROBERT

C/O VOCERA COMMUNICATIONS, INC.

525 RACE STREET

SAN JOSE, CA 95126

X

Chief Executive Officer

Signatures

/s/ Robert J. Zollars by Jay Spitzen,
Attorney-in-Fact

05/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Involves a transfer of securities held directly by the Reporting Person to ZoCo, L.P.
- (2) Mr. Zollars and his wife are general partners and their children are limited partners. Mr. Zollars disclaims beneficial ownership of shares held by ZoCo L.P. except to the extent of his pecuniary interest therein.
- (3) The option fully vested on April 16, 2012.
- (4) 828,073 stock options beneficially owned by ZoCo, L.P. had been erroneously reported as being held directly by the Reporting Person.
- (5) Immediately exercisable.
- (6) The stock option grant vested as to 1/48th of the total number of shares on June 5, 2011, and thereafter vested and shall vest as to 1/48th of the total number of shares in equal monthly installments.
- (7) The stock option vests as to 1/48th of the total number of shares on the last day of each successive month commencing on June 30, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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