

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC  
Form 13F-HR  
May 07, 2010

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F  
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 5/7/2010  
Check here if Amendment ; Amendment Number:

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This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Nomura Holdings Inc.  
Address: 1-9-1, Nihonbash Chuo-ku, Tokyo 103-8011, Japan

Form 13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Persons Signing this Report on Behalf of Reporting Manager:

Name: Brendan Daly  
Title: Chief Compliance Officer  
Phone: 212-667-1246

Signature, Place, and Date of Signing:

|                  |               |          |
|------------------|---------------|----------|
| /s/ Brendan Daly | New York, NY  | 5/6/2010 |
| -----            | -----         | -----    |
| [Signature]      | [City, State] | [Date]   |

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:

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Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0

Form 13F Information Table Entry Total: 193

Form 13F Information Table Value Total: \$ 912,983

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(In Thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

NONE

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |                |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | OTHER<br>MANAG |
| CONSOLIDATED WATER CO INC    |                | G23773107 | 188                | 13218              | SH                  | DEFINED                  | 01             |
| XL CAP LTD                   |                | G98255105 | 1,454              | 79350              | SH                  | DEFINED                  | 01             |
| ALCON INC                    |                | H01301102 | 20,715             | 126047             | SH                  | DEFINED                  | 01             |
| MILlicom INTL CELLULAR S A   |                | L6388F110 | 855                | 11600              | SH                  | DEFINED                  | 01             |
| ADOBE SYS INC                |                | 00724F101 | 227                | 6186               | SH                  | DEFINED                  | 01             |
| ADVANCED BATTERY TECHNOLOG I |                | 00752H102 | 594                | 148653             | SH                  | DEFINED                  | 01             |
| ALCOA INC                    |                | 013817101 | 89,706             | 5564906            | SH                  | DEFINED                  | 01             |
| ALCOA INC                    |                | 013817101 | 148                | 10372              | SH                  | DEFINED                  | 02             |
| ALLEGHENY TECHNOLOGIES INC   |                | 01741R102 | 364                | 6699               | SH                  | DEFINED                  | 02             |
| ALLIS CHALMERS ENERGY INC    |                | 019645506 | 73                 | 19400              | SH                  | DEFINED                  | 01             |
| ALTAIR NANOTECHNOLOGIES INC  |                | 021373105 | 79                 | 90775              | SH                  | DEFINED                  | 01             |
| AMERICAN EAGLE OUTFITTERS NE |                | 02553E106 | 889                | 52363              | SH                  | DEFINED                  | 01             |
| AMERICAN INTL GROUP INC      |                | 026874784 | 613                | 20473              | SH                  | DEFINED                  | 01             |
| AMERON INTL INC              |                | 030710107 | 713                | 11243              | SH                  | DEFINED                  | 01             |
| APOLLO GROUP INC             |                | 037604105 | 270                | 4400               | SH                  | DEFINED                  | 02             |
| APPLE INC                    |                | 037833100 | 1,625              | 6900               | SH                  | DEFINED                  | 02             |
| APPLIED MATLS INC            |                | 038222105 | 227                | 16593              | SH                  | DEFINED                  | 02             |
| ARCELORMITTAL SA LUXEMBOURG  |                | 03938L104 | 336                | 7350               | SH                  | DEFINED                  | 01             |
| ARMSTRONG WORLD INDS INC NEW |                | 04247X102 | 248                | 6381               | SH                  | DEFINED                  | 01             |
| ASCENT SOLAR TECHNOLOGIES IN |                | 043635101 | 150                | 28404              | SH                  | DEFINED                  | 01             |
| BAKER HUGHES INC             |                | 057224107 | 6,880              | 147800             | SH                  | DEFINED                  | 02             |
| BANK OF AMERICA CORPORATION  |                | 060505104 | 5,768              | 383093             | SH                  | DEFINED                  | 01             |
| BANK OF AMERICA CORPORATION  |                | 060505104 | 458                | 25740              | SH                  | DEFINED                  | 02             |
| BANK OF NEW YORK MELLON CORP |                | 064058100 | 4,083              | 145987             | SH                  | DEFINED                  | 01             |
| BARCLAYS BK PLC              |                | 06739F291 | 787                | 12296              | SH                  | DEFINED                  | 01             |
| BARRETT BILL CORP            |                | 06846N104 | 503                | 16400              | SH                  | DEFINED                  | 02             |
| BAXTER INTL INC              |                | 071813109 | 17,389             | 296340             | SH                  | DEFINED                  | 01             |

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|                              |           |        |        |    |         |    |
|------------------------------|-----------|--------|--------|----|---------|----|
| BEACON POWER CORP            | 073677106 | 72     | 145780 | SH | DEFINED | 01 |
| BIOSANTE PHARMACEUTICALS INC | 09065V203 | 61     | 42300  | SH | DEFINED | 01 |
| BRISTOL MYERS SQUIBB CO      | 110122108 | 1,213  | 48053  | SH | DEFINED | 01 |
| CIGNA CORP                   | 125509109 | 27,992 | 793662 | SH | DEFINED | 01 |
| CIT GROUP INC                | 125581801 | 6,067  | 219743 | SH | DEFINED | 01 |
| CSX CORP                     | 126408103 | 314    | 6200   | SH | DEFINED | 02 |
| CALGON CARBON CORP           | 129603106 | 383    | 27575  | SH | DEFINED | 01 |
| CARTER INC                   | 146229109 | 11,828 | 450599 | SH | DEFINED | 01 |
| CENTRAL PAC FINL CORP        | 154760102 | 196    | 150000 | SH | DEFINED | 01 |
| CITIGROUP INC                | 172967101 | 397    | 120200 | SH | DEFINED | 04 |
| CLAYMORE ETF TRUST 2         | 18383Q879 | 234    | 12600  | SH | DEFINED | 01 |
| CLIFFS NATURAL RESOURCES INC | 18683K101 | 588    | 8328   | SH | DEFINED | 02 |
| COACH INC                    | 189754104 | 278    | 7000   | SH | DEFINED | 02 |
| COMMUNITY HEALTH SYS INC NEW | 203668108 | 299    | 8100   | SH | DEFINED | 02 |
| CON-WAY INC                  | 205944101 | 243    | 6981   | SH | DEFINED | 01 |
| CONTINENTAL AIRLS INC        | 210795308 | 1,751  | 97721  | SH | DEFINED | 01 |
| CUMMINS INC                  | 231021106 | 363    | 5892   | SH | DEFINED | 02 |
| CYPRESS SEMICONDUCTOR CORP   | 232806109 | 285    | 24800  | SH | DEFINED | 02 |
| ODANA HOLDING CORP           | 235825205 | 406    | 34196  | SH | DEFINED | 01 |
| DANA HOLDING CORP            | 235825205 | 6,648  | 559649 | SH | DEFINED | 01 |
| DEERE & CO                   | 244199105 | 276    | 4600   | SH | DEFINED | 02 |
| DELTA AIR LINES INC DEL      | 247361702 | 302    | 26624  | SH | DEFINED | 01 |
| DEX ONE CORP                 | 25212W100 | 10,158 | 371832 | SH | DEFINED | 01 |
| DEX ONE CORP                 | 25212W100 | 3,105  | 113687 | SH | DEFINED | 01 |
| DOW CHEM CO                  | 260543103 | 2,476  | 89641  | SH | DEFINED | 01 |
| DOW CHEM CO                  | 260543103 | 353    | 11945  | SH | DEFINED | 02 |
| DU PONT E I DE NEMOURS & CO  | 263534109 | 274    | 7343   | SH | DEFINED | 02 |
| EMCORE CORP                  | 290846104 | 86     | 80994  | SH | DEFINED | 01 |
| ENERGY CONVERSION DEVICES IN | 292659109 | 158    | 15000  | SH | DEFINED | 01 |
| ENER1 INC                    | 29267A203 | 598    | 94415  | SH | DEFINED | 01 |
| EVERGREEN SOLAR INC          | 30033R108 | 406    | 268946 | SH | DEFINED | 01 |
| EXELON CORP                  | 30161N101 | 3,348  | 68522  | SH | DEFINED | 01 |
| EXPEDITORS INTL WASH INC     | 302130109 | 1,127  | 32441  | SH | DEFINED | 01 |

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| NAME OF ISSUER                | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | OTHER<br>MANAG |
| FTI CONSULTING INC            |                | 302941109 | 466                | 9900               | SH                  | DEFINED                  | 01             |
| FEDERAL MOGUL CORP            |                | 313549404 | 1,421              | 82190              | SH                  | DEFINED                  | 01             |
| FOREST OIL CORP               |                | 346091705 | 2,303              | 89200              | SH                  | DEFINED                  | 02             |
| FREEMPORT-MCMORAN COPPER & GO |                | 35671D782 | 1,684              | 14525              | SH                  | DEFINED                  | 01             |
| FREEMPORT-MCMORAN COPPER & GO |                | 35671D782 | 2,281              | 19675              | SH                  | DEFINED                  | 01             |
| FUELCELL ENERGY INC           |                | 35952H106 | 697                | 185597             | SH                  | DEFINED                  | 01             |
| GENERAL ELECTRIC CO           |                | 369604103 | 1,332              | 86048              | SH                  | DEFINED                  | 01             |
| GENWORTH FINL INC             |                | 37247D106 | 1,057              | 93210              | SH                  | DEFINED                  | 01             |
| GENZYME CORP                  |                | 372917104 | 861                | 16568              | SH                  | DEFINED                  | 02             |
| GEORGIA GULF CORP             |                | 373200302 | 2,580              | 139579             | SH                  | DEFINED                  | 01             |
| GEORGIA GULF CORP             |                | 373200302 | 342                | 18529              | SH                  | DEFINED                  | 01             |
| GERDAU S A                    |                | 373737105 | 371                | 21800              | SH                  | DEFINED                  | 04             |
| GILEAD SCIENCES INC           |                | 375558103 | 1,817              | 42000              | SH                  | DEFINED                  | 01             |
| GOLDMAN SACHS GROUP INC       |                | 38141G104 | 891                | 5283               | SH                  | DEFINED                  | 01             |
| GRACE W R & CO DEL NEW        |                | 38388F108 | 307                | 12121              | SH                  | DEFINED                  | 01             |

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|                              |           |        |        |    |         |    |
|------------------------------|-----------|--------|--------|----|---------|----|
| GROUP 1 AUTOMOTIVE INC       | 398905109 | 245    | 8650   | SH | DEFINED | 01 |
| GUSHAN ENVIRONMENTAL ENRGY L | 40330W106 | 111    | 84346  | SH | DEFINED | 01 |
| HALLIBURTON CO               | 406216101 | 438    | 14600  | SH | DEFINED | 02 |
| HARRIS & HARRIS GROUP INC    | 413833104 | 94     | 20689  | SH | DEFINED | 01 |
| HEINZ H J CO                 | 423074103 | 228    | 5000   | SH | DEFINED | 02 |
| HONEYWELL INTL INC           | 438516106 | 246    | 6300   | SH | DEFINED | 01 |
| HORNBECK OFFSHORE SVCS INC N | 440543106 | 255    | 10988  | SH | DEFINED | 01 |
| ITT EDUCATIONAL SERVICES INC | 45068B109 | 777    | 8102   | SH | DEFINED | 01 |
| IDENIX PHARMACEUTICALS INC   | 45166R204 | 853    | 301476 | SH | DEFINED | 02 |
| INNOPHOS HOLDINGS INC        | 45774N108 | 278    | 12140  | SH | DEFINED | 01 |
| INTEL CORP                   | 458140100 | 207    | 9300   | SH | DEFINED | 01 |
| INTERNATIONAL BANCSHARES COR | 459044103 | 248    | 10800  | SH | DEFINED | 02 |
| ISHARES TR INDEX             | 464287234 | 11,745 | 279818 | SH | DEFINED | 02 |
| ISHARES TR INDEX             | 464287473 | 239    | 5944   | SH | DEFINED | 02 |
| ISHARES TR INDEX             | 464287630 | 238    | 3741   | SH | DEFINED | 02 |
| ISHARES TR INDEX             | 464287655 | 298    | 4404   | SH | DEFINED | 02 |
| ISHARES TR                   | 464288174 | 227    | 5500   | SH | DEFINED | 01 |
| ISHARES TR                   | 464288372 | 738    | 21600  | SH | DEFINED | 01 |
| ITAU UNIBANCO HLDG SA        | 465562106 | 328    | 14400  | SH | DEFINED | 01 |
| OIVANHOE MINES LTD           | 46579N103 | 642    | 44000  | SH | DEFINED | 01 |
| JPMORGAN CHASE & CO          | 46625H100 | 2,693  | 64661  | SH | DEFINED | 01 |
| JPMORGAN CHASE & CO          | 46625H100 | 3,869  | 86700  | SH | DEFINED | 02 |
| JAMES RIVER COAL CO          | 470355207 | 270    | 17000  | SH | DEFINED | 02 |
| JOHNSON CTLS INC             | 478366107 | 321    | 11800  | SH | DEFINED | 01 |
| JUNIPER NETWORKS INC         | 48203R104 | 273    | 8900   | SH | DEFINED | 02 |
| LAYNE CHRISTENSEN CO         | 521050104 | 457    | 15922  | SH | DEFINED | 01 |
| LEAP WIRELESS INTL INC       | 521863308 | 1,379  | 78583  | SH | DEFINED | 01 |
| LEAR CORP                    | 521865204 | 1,609  | 20284  | SH | DEFINED | 01 |
| LEAR CORP                    | 521865204 | 223    | 2820   | SH | DEFINED | 01 |
| LINCOLN ELEC HLDGS INC       | 533900106 | 228    | 4209   | SH | DEFINED | 02 |
| M & T BK CORP                | 55261F104 | 7,979  | 100590 | SH | DEFINED | 02 |
| MBIA INC                     | 55262C100 | 78     | 19800  | SH | DEFINED | 01 |
| MARKET VECTORS ETF TR        | 57060U100 | 1,224  | 26500  | SH | DEFINED | 01 |
| MAXWELL TECHNOLOGIES INC     | 577767106 | 523    | 29327  | SH | DEFINED | 01 |
| MCKESSON CORP                | 58155Q103 | 9,927  | 158832 | SH | DEFINED | 01 |
| MEAD JOHNSON NUTRITION CO    | 582839106 | 743    | 17011  | SH | DEFINED | 01 |
| MEDTRONIC INC                | 585055106 | 1,235  | 28096  | SH | DEFINED | 01 |
| MICROSOFT CORP               | 594918104 | 805    | 26424  | SH | DEFINED | 01 |
| MICROSOFT CORP               | 594918104 | 271    | 9196   | SH | DEFINED | 02 |
| MIDCAP SPDR TR               | 595635103 | 244    | 1883   | SH | DEFINED | 02 |
| MIRANT CORP NEW              | 60467R100 | 168    | 11046  | SH | DEFINED | 01 |
| MONSANTO CO NEW              | 61166W101 | 852    | 11931  | SH | DEFINED | 02 |
| MORGAN STANLEY               | 617446448 | 1,416  | 48500  | SH | DEFINED | 02 |
| MOSAIC CO                    | 61945A107 | 1,738  | 29098  | SH | DEFINED | 01 |
| MYLAN INC                    | 628530107 | 2,451  | 133043 | SH | DEFINED | 01 |

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | OTHER<br>MANAG |
| NRG ENERGY INC |                | 629377508 | 9,278              | 393010             | SH                  | DEFINED                  | 01             |
| NRG ENERGY INC |                | 629377508 | 416                | 17650              | SH                  | DEFINED                  | 01             |
| NYSE EURONEXT  |                | 629491101 | 1,325              | 52400              | SH                  | DEFINED                  | 01             |

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|                               |           |        |         |    |         |    |
|-------------------------------|-----------|--------|---------|----|---------|----|
| NEWMONT MINING CORP           | 651639106 | 382    | 7484    | SH | DEFINED | 02 |
| NORTHWEST PIPE CO             | 667746101 | 634    | 23627   | SH | DEFINED | 01 |
| OM GROUP INC                  | 670872100 | 391    | 12478   | SH | DEFINED | 01 |
| OIL SVC HOLDRS TR             | 678002106 | 1,555  | 13088   | SH | DEFINED | 01 |
| PFIZER INC                    | 717081103 | 7,394  | 406494  | SH | DEFINED | 01 |
| PHILIP MORRIS INTL INC        | 718172109 | 1,741  | 36143   | SH | DEFINED | 01 |
| PLUG POWER INC                | 72919P103 | 27     | 38902   | SH | DEFINED | 01 |
| PLUM CREEK TIMBER CO INC      | 729251108 | 856    | 22000   | SH | DEFINED | 01 |
| POWERSHARES QQQ TRUST         | 73935A104 | 72,097 | 1575905 | SH | DEFINED | 01 |
| POWERSHARES INDIA ETF TR      | 73935L100 | 366    | 16614   | SH | DEFINED | 01 |
| PRAXAIR INC                   | 74005P104 | 259    | 3134    | SH | DEFINED | 02 |
| PRECISION CASTPARTS CORP      | 740189105 | 230    | 1820    | SH | DEFINED | 02 |
| PROSHARES TR II               | 74347W858 | 2,147  | 100000  | SH | DEFINED | 02 |
| PRUDENTIAL FINL INC           | 744320102 | 1,447  | 29084   | SH | DEFINED | 01 |
| QUANTUM FUEL SYS TECH WORLDW  | 74765E109 | 108    | 97811   | SH | DEFINED | 01 |
| QWEST COMMUNICATIONS INTL IN  | 749121109 | 43     | 10340   | SH | DEFINED | 01 |
| RASER TECHNOLOGIES INC        | 754055101 | 166    | 133929  | SH | DEFINED | 01 |
| ORAYONIER INC                 | 754907103 | 1,072  | 23600   | SH | DEFINED | 01 |
| REGIONS FINANCIAL CORP NEW    | 7591EP100 | 807    | 152667  | SH | DEFINED | 01 |
| RENESOLA LTD                  | 75971T103 | 95     | 20000   | SH | DEFINED | 01 |
| RESEARCH IN MOTION LTD        | 760975102 | 4,035  | 54100   | SH | DEFINED | 02 |
| RETAIL HOLDRS TR              | 76127U101 | 3,889  | 41450   | SH | DEFINED | 01 |
| ROWAN COS INC                 | 779382100 | 313    | 10931   | SH | DEFINED | 02 |
| SLM CORP                      | 78442P106 | 1,637  | 145275  | SH | DEFINED | 01 |
| SPDR TR                       | 78462F103 | 56,865 | 510275  | SH | DEFINED | 01 |
| SPDR GOLD TRUST               | 78463V107 | 92,887 | 865599  | SH | DEFINED | 01 |
| ST JUDE MED INC               | 790849103 | 4,024  | 109418  | SH | DEFINED | 01 |
| SANDERSON FARMS INC           | 800013104 | 1,154  | 21534   | SH | DEFINED | 02 |
| SARA LEE CORP                 | 803111103 | 487    | 40000   | SH | DEFINED | 01 |
| SCHLUMBERGER LTD              | 806857108 | 227    | 3593    | SH | DEFINED | 02 |
| SCHWAB CHARLES CORP NEW       | 808513105 | 230    | 12390   | SH | DEFINED | 02 |
| SCOTTS MIRACLE GRO CO         | 810186106 | 361    | 9190    | SH | DEFINED | 01 |
| SELECT SECTOR SPDR TR         | 81369Y100 | 385    | 11700   | SH | DEFINED | 01 |
| SELECT SECTOR SPDR TR         | 81369Y209 | 271    | 8435    | SH | DEFINED | 02 |
| SEMICONDUCTOR HLDRS TR        | 816636203 | 9,282  | 332450  | SH | DEFINED | 01 |
| SEMTECH CORP                  | 816850101 | 453    | 26000   | SH | DEFINED | 02 |
| SILGAN HOLDINGS INC           | 827048109 | 1,447  | 25000   | SH | DEFINED | 01 |
| SIRONA DENTAL SYSTEMS INC     | 82966C103 | 277    | 7300    | SH | DEFINED | 02 |
| SOLARFUN POWER HOLDINGS CO L  | 83415U108 | 152    | 20000   | SH | DEFINED | 01 |
| SOLUTIA INC                   | 834376501 | 2,695  | 167289  | SH | DEFINED | 01 |
| SOLUTIA INC                   | 834376501 | 213    | 13222   | SH | DEFINED | 01 |
| SPRINT NEXTEL CORP            | 852061100 | 281    | 72600   | SH | DEFINED | 02 |
| STAPLES INC                   | 855030102 | 5,065  | 205995  | SH | DEFINED | 01 |
| SUN MICROSYSTEMS INC          | 866810203 | 4,169  | 445000  | SH | DEFINED | 01 |
| SUNCOR ENERGY INC NEW         | 867224107 | 860    | 24365   | SH | DEFINED | 01 |
| SUPERMEDIA INC                | 868447103 | 122    | 3003    | SH | DEFINED | 01 |
| SYMYX TECHNOLOGIES            | 87155S108 | 88     | 16025   | SH | DEFINED | 01 |
| SYNOVUS FINL CORP             | 87161C105 | 563    | 171300  | SH | DEFINED | 02 |
| TAIWAN SEMICONDUCTOR MFG LTD  | 874039100 | 3,151  | 301700  | SH | DEFINED | 02 |
| TAKE-TWO INTERACTIVE SOFTWARE | 874054109 | 6,332  | 630091  | SH | DEFINED | 01 |
| TIFFANY & CO NEW              | 886547108 | 302    | 6380    | SH | DEFINED | 02 |
| TYSON FOODS INC               | 902494103 | 1,380  | 72037   | SH | DEFINED | 02 |
| UAL CORP                      | 902549807 | 129    | 10000   | SH | DEFINED | 04 |
| US BANCORP DEL                | 902973304 | 980    | 43569   | SH | DEFINED | 01 |
| UQM TECHNOLOGIES INC          | 903213106 | 184    | 26900   | SH | DEFINED | 01 |
| UNITED PARCEL SERVICE INC     | 911312106 | 1,482  | 23100   | SH | DEFINED | 02 |
| UNITED STATES STL CORP NEW    | 912909108 | 16,010 | 290470  | SH | DEFINED | 01 |

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|------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------------|
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| UNITEDHEALTH GROUP INC |                | 91324P102 | 44,257             | 1452014            | SH                  | DEFINED                  | 01             |
| URBAN OUTFITTERS INC   |                | 917047102 | 2,037              | 53600              | SH                  | DEFINED                  | 02             |
| VCA ANTECH INC         |                | 918194101 | 1,060              | 37832              | SH                  | DEFINED                  | 02             |
| VALERO ENERGY CORP NEW |                | 91913Y100 | 1,736              | 103688             | SH                  | DEFINED                  | 01             |
| VALERO ENERGY CORP NEW |                | 91913Y100 | 3,594              | 183164             | SH                  | DEFINED                  | 02             |
| VEOLIA ENVIRONNEMENT   |                | 92334N103 | 685                | 20834              | SH                  | DEFINED                  | 01             |
| VERIFONE HLDGS INC     |                | 92342Y109 | 681                | 33700              | SH                  | DEFINED                  | 02             |
| VISA INC               |                | 92826C839 | 207,678            | 2374554            | SH                  | DEFINED                  | 01             |
| OWELLPOINT INC         |                | 94973V107 | 6,547              | 112328             | SH                  | DEFINED                  | 01             |
| WELLPOINT INC          |                | 94973V107 | 358                | 5547               | SH                  | DEFINED                  | 02             |
| WELLS FARGO & CO NEW   |                | 949746101 | 3,072              | 113825             | SH                  | DEFINED                  | 01             |
| WELLS FARGO & CO NEW   |                | 949746101 | 309                | 10000              | SH                  | DEFINED                  | 02             |
| ZOLTEK COS INC         |                | 98975W104 | 303                | 31924              | SH                  | DEFINED                  | 01             |