

George Georgette R.
Form 3
March 09, 2010

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

George Georgette R.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/11/2010

3. Issuer Name and Ticker or Trading Symbol

SUMMIT FINANCIAL GROUP INC [SMMF]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

PO BOX 8523

(Street)

CHARLESTON, WV 25303

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

5,642

D

A

Common Stock

1,000

I

By Father

Common Stock

9,248

I

by 401(k) Plan FBO spouse

Common Stock

5,559

I

By George Brothers Investment Partnership

Common Stock

40,480

I

by Sellaro Enterprises Defined Benefit Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
8% Non-Cumulative Convertible Preferred Stock, Series 2009	03/01/2010 ⁽¹⁾	06/01/2019 ⁽¹⁾	Common Stock	18,181.81	\$ 5.5	I	By 401(k) Plan FBO Spouse
8% Non-cumulative Convertible Preferred Stock, Series 2009	03/01/2010 ⁽¹⁾	06/01/2019 ⁽¹⁾	Common Stock	36,363.62	\$ 5.5	I	by Father-in-Law and Mother-in-Law
8% Non-Cumulative Convertible Preferred Stock, Series 2009	03/01/2010 ⁽¹⁾	06/01/2019 ⁽¹⁾	Common Stock	72,727.24	\$ 5.5	I	by Mother
8% Non-Cumulative Convertible Preferred Stock, Series 2009	03/01/2010 ⁽¹⁾	06/01/2019 ⁽¹⁾	Common Stock	54,545.43	\$ 5.5	I	By George Brothers Investment Partnership

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
George Georgette R. PO BOX 8523 CHARLESTON, WV 25303	Â X	Â	Â	Â

Signatures

Teresa D. Ely, Lmted POA
Attorney-In-Fact

03/09/2010

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The 2009 Series Preferred Stock may be converted at the holder's option on any dividend payment date.

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