Morgan Stanley China A Share Fund, Inc. Form N-PX August 28, 2018

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549 **FORM N-PX** 

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT **COMPANY** 

Investment Company Act file number 811-21926

Morgan Stanley China A Share Fund, Inc. (Exact name of registrant as specified in charter)

522 Fifth Avenue, New York, New York

10036

(Address of principal executive offices)

(Zip code)

Mary E. Mullin, Esq.

**Managing Director** 

Morgan Stanley Investment Management Inc.

522 Fifth Avenue

New York, New York 10036

(Name and address of agent for service)

Registrant's telephone number, including area code: 800-231-2608

Date of fiscal year end: 12/31

Date of reporting period: 7/1/17 - 6/30/18

ICA File Number: 811-21926

Reporting Period: 07/01/2017 - 06/30/2018 Morgan Stanley China A Share Fund, Inc.

======= Morgan Stanley China A Share Fund, Inc. ===========

AECC AVIATION POWER CO LTD

Ticker: 600893 Security ID: Y9730A108 Meeting Date: OCT 09, 2017 Meeting Type: Special

Record Date: SEP 25, 2017

Mgt Rec Vote Cast Sponsor
For For Management Proposal Approve Use of Raised Funds to Inject For For

Capital in Wholly-owned Subsidiaries

AECC AVIATION POWER CO LTD

600893 Security ID: Y9730A108 Meeting Date: NOV 23, 2017 Meeting Type: Special

Record Date: NOV 16, 2017

Mgt Rec Vote Cast Sponsor # Proposal Approve Additional 2017 Financing 1 For Against Management

Amount as well as Authorized Signing of Relevant Agreement

2 Approve Additional Related Transaction For Against Management

in Connection to Provision of Funds by Ultimate Controller

Approve Adjustment in Implementation For For Management

Content of Raised Funds from Private Placement

Amend Articles of Association For Against Management

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AECC AVIATION POWER CO LTD

Ticker: 600893 Security ID: Y9730A108 Meeting Date: SEP 14, 2017 Meeting Type: Special

Record Date: SEP 07, 2017

Proposal Mgt Rec Vote Cast Sponsor Approve Additional Provision of 1 For Against Management

External Guarantee

For For Management Approve Financing Limit and

Authorization to Sign Relevant

Agreement

Approve Additional 2017 Related Party For For 3 Management

Transactions

4	Approve Change in Scientific Research	For	For	Management
	Funding, Government Subsidize Related			
	Accounting Policy and Implement New			
	Accounting Policies to Companies			
	Financial Statements			
5	Elect Yang Sen as Non-independent	For	For	Management
	Director and Strategic Committee Member			

#### AECC AVIATION POWER CO LTD

Security ID: Y9730A108 Ticker: 600893 Meeting Date: FEB 12, 2018
Record Date: FEB 05, 2018 Meeting Type: Special

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2017 Continued Projected	For	Against	Management
2	Related Party Transaction Approve 2018 Money Lending Application and Authorization on Relevant	For	For	Management
3	Agreements Approve Changes in Implementation Contents of Raised Funds Investment	For	For	Management
4.01	Project Elect Zhang Minsheng as Non-independent Director	For	For	Shareholder
4.02	Elect Yang Xianfeng as Non-independent Director	For	For	Shareholder
4.03	Elect Huang Xingdong as Non-independent Director	For	For	Shareholder
4.04	Elect Yang Sen as Non-independent Director	For	For	Shareholder
4.05	Elect Peng Jianwu as Non-independent Director	For	For	Shareholder
4.06	Elect Mou Xin as Non-independent Director	For	For	Shareholder
4.07	Elect Qiu Guoxin as Non-independent	For	For	Shareholder
5.01	Director Elect Zhao Jinde as Independent Director	For	For	Shareholder
5.02	Elect Liang Gongqian as Independent Director	For	For	Shareholder
5.03	Elect Wang Zhulin as Independent Director	For	For	Shareholder
5.04	Elect Yue Yun as Independent Director	For	For	Shareholder
6.01	Elect Shi Jingming as Supervisor	For	For	Shareholder
6.02	Elect Wang Lutang as Supervisor	For	For	Shareholder

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### AECC AVIATION POWER CO LTD

Ticker: 600893 Meeting Date: APR 20, 2018 Security ID: Y9730A108 Meeting Type: Annual

Record Date: APR 13, 2018

Proposal Mgt Rec Vote Cast Sponsor
Approve Report of the Board of For For Management Directors

2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Annual Report and Summary	For	For	Management
4	Approve Report of the Board of	For	For	Management
	Independent Directors			
5	Approve Financial Statements	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Approve Special Report on the Deposit	For	For	Management
	and Usage of Raised Funds			
8	Approve 2017 Daily Related-party	For	Against	Management
	Transaction			
9	Approve 2017 Performance Annual Salary	For	For	Management
	of Internal Director			
10	Approve 2018 Financial Budget Report	For	For	Management
11	Approve 2018 Appointment of Auditor	For	For	Management
12	Approve 2018 Structured Deposit Plan	For	For	Management
13	Approve Election of Special Board	For	For	Management
	Members Committee			

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#### AECC AVIATION POWER CO LTD

Ticker: 600893 Security ID: Y9730A108 Meeting Date: MAY 30, 2018 Meeting Type: Special

Record Date: MAY 23, 2018

# Proposal Mgt Rec Vote Cast Sponsor Approve Related-party Transaction in For For Management

Connection to Withdrawal of

Shareholder's Capital

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#### AECC AVIATION POWER CO LTD

Ticker: 600893 Security ID: Y9730A108
Meeting Date: MAY 14, 2018 Meeting Type: Special

Record Date: MAY 07, 2018

# Proposal Mgt Rec Vote Cast Sponsor
1 Approve Additional 2018 External For Against Management

Guarantee Provision

2 Elect Sun Hongwei as Non-independent For For Shareholder

Director and Board Strategic Committee

and Audit Committee Member

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#### AECC AVIATION POWER CO LTD

Ticker: 600893 Security ID: Y9730A108 Meeting Date: JAN 24, 2018 Meeting Type: Special

Record Date: JAN 17, 2018

# Proposal Mgt Rec Vote Cast Sponsor 1 Approve Continued Related-party For Against Management

Transaction with Ultimate Controlling Shareholder and Its Related Party

2	Approve 2018 External Guarantee	For	Against	Management
	Provision			
3	Approve 2018 Financing Limit and	For	For	Management
	Authorization to Sign Relevant			
	Agreement			
4	Approve Adjustment on Implementation	For	For	Management
	Content of Raised Funds Investment			
	Projects			

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#### AISINO CORP.

Ticker: 600271
Meeting Date: SEP 22, 2017
Record Date: SEP 15, 2017 Security ID: Y0017G124 Meeting Type: Special

# Proposal Mgt Rec Vote Cast Sponsor Approve Repurchase Cancellation of For For Management Partial Stock Award 2.01 Elect Yao Yuhong as Non-independent For For Management Director

# AISINO CORP.

Ticker: 600271 Security ID: Y0017G124 Meeting Date: JUN 08, 2018 Meeting Type: Annual

Record Date: JUN 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Financial Statements	For	For	Management
3	Approve Annual Report and Summary	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Amend Articles of Association	For	For	Management
6	Approve 2018 Appointment of Auditor	For	For	Management
7	Approve Repurchase and Cancellation of	For	For	Management
	Performance Share Incentive Plan			
8	Approve Report of the Board of	For	For	Management
	Supervisors			

#### BEIJING THUNISOFT CORPORATION LTD.

Ticker: 300271 Ticker: 300271 Security ID: Y0773R108 Meeting Date: MAY 07, 2018 Meeting Type: Annual

Record Date: APR 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management

5	Approve Profit Distribution	For	For	Management
6	Approve Appointment of Auditor	For	For	Management
7.1	Approve Remuneration of Non-Independent	For	For	Management
	Directors			
7.2	Approve Remuneration of Independent	For	For	Management
	Directors			
8.1	Approve Remuneration of Supervisor	For	For	Management
	Chairman Fan Jiaojiao			
8.2	Approve Remuneration of Supervisor Wang	For	For	Management
	Zhen			
8.3	Approve Remuneration of Supervisor Wang	For	For	Management
	Yue			
9	Approve Performance Compensation	For	For	Management
	Commitments Plan			
10	Approve Impairment Test Report	For	For	Management
11	Approve Repurchase Cancellation of	For	For	Management
	Performance Shares			

### BEIJING THUNISOFT CORPORATION LTD.

Meeting Date: JUN 11, 2018 Meeting Type: Special Record Date: JUN 04, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	For	Management
	Private Placement of Shares			
2.1	Approve Share Type and Par Value	For	For	Management
2.2	Approve Issue Manner	For	For	Management
2.3	Approve Target Subscribers and	For	For	Management
	Subscription Method			
2.4	Approve Issue Price and Pricing	For	For	Management
	Principle			
2.5	Approve Issue Size	For	For	Management
2.6	Approve Lock-up Period	For	For	Management
2.7	Approve Listing Exchange	For	For	Management
2.8	Approve Distribution Arrangement of	For	For	Management
	Undistributed Earnings			
2.9	Approve Amount and Use of Proceeds	For	For	Management
2.10	Approve Resolution Validity Period	For	For	Management
3	Approve Plan on Private Placement of	For	For	Management
	Shares			
4	Approve Demonstration Analysis Report	For	For	Management
	in Connection to Private Placement			
5	Approve Feasibility Analysis Report on	For	For	Management
	the Use of Proceeds			
6	Approve Report on the Usage of	For	For	Management
	Previously Raised Funds			
7	Approve Establishment of Special	For	For	Management
	Account for Proceeds			
8	Approve Authorization of Board to	For	For	Management
	Handle All Related Matters			
9	Approve Impact of Dilution of Current	For	For	Management
	Returns on Major Financial Indicators			
	and the Relevant Measures to be Taken			
10	Approve Shareholder Return Plan in the	For	For	Management
	Next Three Years			
11	Approve Repurchase and Cancellation of	For	For	Management
	Partial Performance Shares			

#### BLUEFOCUS COMMUNICATION GROUP CO., LTD.

Meeting Date: SEP 15, 2017 Security ID: Y091AZ10
Record Date: SEP 11, 2017 Security ID: Y091AZ103

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.00	Approve Introducing New Companies to Foreign Currency Bonds	For	For	Management
2.00	Approve Authorization of the Board to Handle Matters Relating to Foreign Currency Bonds	For	For	Management
3.00	Approve Guarantee Provision of the Company and its Overseas Subsidiary to the Entire Principal and Interest of the Foreign Currency Bonds Issuance	For	For	Management
4.00	Approve Sale of Partial Electricity Rights	For	For	Management
5.00	Approve Authorization of the Board to Handle Matters Relating to Electricity Rights	For	For	Management
6.00	Approve Provision of Guarantee to Wholly-owned Subsidiary Beijing Sien Advertising Co., Ltd.	For	For	Management
7.00	Approve Application of Bank Credit Lines from Hangzhou Bank of Wholly-owned Subsidiary Beijing Sien Advertising Co., Ltd.	For	For	Management
8.00	Approve Application of Bank Credit Lines from Hangzhou Bank of Wholly-owned Subsidiary Duomeng Ruida China Technology Co., Ltd.	For	For	Management
9.00	Approve Application of Bank Credit Lines from WeBank of Wholly-owned Subsidiary Duomeng Ruida China Technology Co., Ltd.	For	For	Management
10.00	Approve Application of Bank Credit Lines from Pingan Bank	For	For	Management
11.00	Approve Restricted Stock Repurchase Cancellation	For	For	Management
12.00	Amend Articles of Association	For	For	Management

#### BLUEFOCUS COMMUNICATION GROUP CO., LTD.

Ticker: 300058 Security ID: Y091AZ103 Meeting Date: FEB 09, 2018 Meeting Type: Special

Record Date: FEB 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Acquisition of Equity	For	Abstain	Management
2	Approve Rules and Procedures in Regards	For	Abstain	Management
	to the Meetings of the Bondholders			
3	Approve Company's Eligibility for	For	For	Management
	Issuance of Corporate Bonds			
4	Approve Company's Plan for Issuance of	For	For	Management

	Corporate Bonds			
5	Approve Authorization of Board to	For	For	Management
	Handle All Related Matters			
6	Approve Rules and Procedures in Regards	For	For	Management
	to the Meetings of Holders of This			
	Corporate Bonds Issuance			
7	Approve Changes in Accounting Policies	For	For	Management
8	Approve Repurchase Cancellation of	For	For	Management
	Performance Shares			

### BLUEFOCUS COMMUNICATION GROUP CO., LTD.

Ticker: 300058 Security ID: Y091AZ103
Meeting Date: APR 10, 2018 Meeting Type: Special
Record Date: APR 03, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Amend Draft of Performance Shares	For	Against	Management
	Incentive Plan			
2	Approve Repurchase Cancellation of	For	For	Shareholder
	Performance Shares			
3	Approve Asset Securitization of	For	For	Shareholder
	Accounts Receivable			
4	Approve Authorization of Board to	For	For	Shareholder
	Handle All Related Matters to Asset			
	Securitization			
5.1	Elect Sun Taoran as Non-Independent	For	For	Shareholder
	Director			
5.2	Elect Xu Qing as Non-Independent	For	For	Shareholder
	Director			

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#### BLUEFOCUS COMMUNICATION GROUP CO., LTD.

300058 Security ID: Y091AZ103 Ticker: Security ID: Y091AZ1 Meeting Type: Annual Meeting Date: MAY 22, 2018

Record Date: MAY 14, 2018

#	Proposal	Mgt Rec For	Vote Cast For	Sponsor
2	Approve Financial Statements Approve Report of the Board of	For	For	Management Management
3	Directors Approve Report of the Board of	For	For	Management
	Supervisors			,
4	Approve Annual Report and Summary	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve 2018 Remuneration of Directors and Supervisors	For	For	Management
7	Approve Appointment of Auditor	For	For	Management
8	Approve Internal Control Self-Evaluation Report	For	For	Management
9	Approve Special Report on the Deposit and Usage of Raised Funds	For	For	Management
10	Approve Repurchase and Cancellation of Performance Shares	For	For	Management
11	Amend Articles of Association	For	For	Shareholder
12	Approve Repurchase Cancellation of	For	For	Shareholder

Performance Shares

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#### BLUEFOCUS COMMUNICATION GROUP CO., LTD.

Ticker: 300058 Security ID: Y091AZ103 Meeting Date: APR 27, 2018 Meeting Type: Special

Record Date: APR 20, 2018

# Proposal Mgt Rec Vote Cast Sponsor

1 Approve Change in Relevant Commitments For For Management

2 Approve Change of Company Name For For Management

3 Approve Change of Business Scope For For Management

#### CHINA CONSTRUCTION BANK CORPORATION

Meeting Date: OCT 09, 2017
Record Date: SEP 08, 2017

Security ID: Y1397N119
Meeting Type: Special

Proposal Mgt Rec Vote Cast Sponsor Elect Tian Guoli and Authorize the For For Management 1

Remuneration Committee to Fix His

Remuneration

#### CHINA CONSTRUCTION BANK CORPORATION

Meeting Date: JUN 29, 2018
Record Date: MAY 29, 2018 Ticker: 939 Security ID: Y1397N119 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2017 Report of the Board of	For	For	Management
	Directors			
2	Approve 2017 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2017 Final Financial Accounts	For	For	Management
4	Approve 2017 Profit Distribution Plan	For	For	Management
5	Approve Budget of 2018 Fixed Assets	For	For	Management
	Investment			
6	Approve Remuneration Distribution and	For	For	Management
	Settlement Plan for Directors in 2016			
7	Approve Remuneration Distribution and	For	For	Management
	Settlement Plan for Supervisors in 2016			
8	Elect Wang Zuji as Director	For	For	Management
9	Elect Pang Xiusheng as Director	For	For	Management
10	Elect Zhang Gengsheng as Director	For	For	Management
11	Elect Li Jun as Director	For	For	Management
12	Elect Anita Fung Yuen Mei as Director	For	For	Management
13	Elect Carl Walter as Director	For	For	Management
14	Elect Kenneth Patrick Chung as Director	For	For	Management
15	Elect Wu Jianhang as Supervisor	For	For	Management
16	Elect Fang Qiuyue as Supervisor	For	For	Management
17	Approve Capital Plan for 2018 to 2020	For	For	Management

18 Approve PricewaterhouseCoopers Zhong For For Management Tian LLP as Domestic Auditors and PricewaterhouseCoopers as International Auditors and Fix Their Remuneration 19 Approve Amendments to the Authorization For For Management to the Board of Directors by the Shareholders' General Meeting on External Donations

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CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106 Meeting Date: MAY 25, 2018
Record Date: MAY 17, 2018 Meeting Type: Annual

#		Proposal	Mgt Rec	Vote Cast	Sponsor
1		Approve Report of the Board of	For	For	Management
		Directors			
2		Approve Report of the Board of	For	For	Management
		Supervisors			
3		Approve Annual Report and Summary	For	For	Management
4		Approve Financial Statements	For	For	Management
5		Approve Profit Distribution	For	For	Management
6		Approve Report of the Independent	For	For	Management
		Directors			
7		Amend Articles of Association Regarding	For	Abstain	Management
		Party Committee			
8		Amend Rules and Procedures Regarding	For	Abstain	Management
		Meetings of Board of Directors			
9		Amend Rules and Procedures Regarding	For	Abstain	Management
		Meetings of Board of Supervisors			
1	-	Approve 2018 Guarantee Plan	For	Abstain	Management
1	1.1	Approve Related-party Transaction with	For	For	Management
		Beijing Gubei Water Town Tourism Co.,			
		Ltd.			
1	1.2	Approve Related-party Transaction with	For	For	Management
		CYTS Hongqi (Hengqin) Fund Management			
		Co., Ltd.			
1	1.3	Approve Related-party Transaction with	For	For	Management
		CYTS Yaoyue (Beijing) Tourism Co., Ltd.			
1	1.4	Approve Related-party Transaction with	For	For	Management
		China Everbright Group Corporation and			
	_	Its Subsidiaries	_	_	
1:	2	Approve Payment of Remuneration to	For	For	Management
-	2 1	Auditor	_	_	
1	3.1	Elect Lin Chun as Non-Independent	For	For	Shareholder
1	2 2	Director			Ole a see le a le l
Ι.	3.2	Elect Xu Xi as Non-Independent Director	ror	For	Shareholder

CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: 600138 Security ID: Y1400N106 Meeting Date: DEC 14, 2017 Record Date: DEC 07, 2017 Meeting Type: Special

# Proposal Mgt Rec Vote Cast Sponsor

Approve Exemption on Fulfillment of For For Management 1 Commitment

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CHINA FILM CO., LTD.

Ticker: 600977 Security ID: Y1R8AC107 Meeting Date: JUN 12, 2018 Meeting Type: Annual

Record Date: JUN 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve 2018 Financial Budget Report	For	Abstain	Management
6	Approve Profit Distribution	For	For	Management
7	Approve Appointment of Auditor	For	For	Management
8	Approve 2018 Related Party Transactions	For	For	Management
9	Amend Articles of Association	For	For	Management
10	Approve Adjustment of Board Member	For	For	Management

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CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO., LTD.

Ticker: 001979 Security ID: Y14907102 Meeting Date: JAN 30, 2018 Meeting Type: Special

Record Date: JAN 23, 2018

Proposal Mgt Rec Vote Cast Sponsor
Approve Joint Investment in For For Management
Establishment of Equity Investment Fund 1

Establishment of Equity Investment Fund

with Related Party

2 Approve Provision of Guarantee to For For Management

Associate Company

CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO., LTD.

Ticker: 001979 Security ID: Y14907102 Ticker: 001979 Security ID: Y149077
Meeting Date: APR 20, 2018 Meeting Type: Annual

Record Date: APR 13, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Change in External Auditor	For	For	Management
7	Approve 2018 Daily Related Party	For	For	Management
	Transaction			

8	Approve General Authorization on	For	For	Management
9	Company's Issuance of Bond Products Approve 2018 Deposits, Loans and	For	For	Management
	Related-party Transactions at China			
	Merchants Bank			
10	Approve 2018 Provision of Guarantee for Subsidiary	For	For	Management
11	Approve 2018 Provision of Financial	For	For	Management
	Assistance for Joint Stock Company			
12	Approve Provision of Financial	For	For	Management
	Assistance for Authorization Management			
	for the Project Company			
13	Approve Purchase of Liability Insurance	For	For	Management
	for Directors, Supervisors and Senior			
	Management Members			

#### CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO., LTD.

Ticker: 001979 Security ID: Y14907102 Meeting Date: MAY 25, 2018 Meeting Type: Special

Record Date: MAY 18, 2018

Proposal Mgt Rec Vote Cast Sponsor Approve Purchase of Equity and Related For For Management 1

Party Transactions

#### CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO., LTD.

001979 Security ID: Y14907102 Ticker: Meeting Date: DEC 13, 2017 Record Date: DEC 05, 2017 Meeting Type: Special

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Initial Granting Plan of Stock Option	For	For	Management
2	Approve Amendments to Articles of Association	For	Against	Management
3	Approve Provision of Financial Assistance	For	For	Management
4	Approve Provision of Guarantee to Shenzhen Merchants Overseas Chinese	For	For	Management
_	Town Investment Co., Ltd.	_	_	
5	Approve Provision of Guarantee to Shenzhen China Merchants Real Estate Co., Ltd.	For	For	Management
6	Approve Provision of Guarantee to Shenzhen Merchants Apartment Development Co., Ltd.	For	For	Management
7	Approve Changes in Fund-raising Investment Project	For	For	Management
8	Approve Establishment of Investment Fund with Related-party	None	For	Shareholder

CHINA PACIFIC INSURANCE (GROUP) CO., LTD

Ticker: 601601 Security ID: Y1505Z111 Meeting Date: DEC 27, 2017
Record Date: DEC 20, 2017 Meeting Type: Special

Record Date: DEC 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Amend Articles of Association	For	For	Management
2	Amend Procedural Rules Regarding	For	For	Management
	General Meetings of Shareholders			
3	Approve Amendments to the Procedural	For	For	Management
	Rules of the Board			
4	Elect He Qing as Director	For	For	Management

#### CHINA PACIFIC INSURANCE (GROUP) CO., LTD

Security ID: Y1505Z111 Meeting Type: Annual Ticker: 601601 Meeting Date: JUN 15, 2018
Record Date: JUN 07 2018 Record Date: JUN 07, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve H Shares 2017 Annual Report	For	For	Management
5	Approve 2017 Financial Statements	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Approve to Appoint Auditor	For	For	Management
8	Approve 2017 Annual Due Diligence	For	For	Management
	Report			
9	Approve Duty Performance Report of	For	For	Management
	Independent Director			
10	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
11	Elect Zhu Yonghong as Supervisor	For	For	Management
12	Elect Lu Ning as Supervisor	For	For	Management
13	Elect Huang Dinan as Director	For	For	Shareholder
14	Approve Resolution on Routine Related	For	For	Shareholder
	Party Transactions between the Company			
	and Shanghai Rural Commercial Bank Co.,			
	Ltd.			

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### CHINA PETROLEUM & CHEMICAL CORP.

Security ID: Y15010112 Meeting Type: Annual Ticker: 386 Meeting Date: MAY 15, 2018 Record Date: APR 13, 2018

Proposal Mgt Rec Vote Cast Sponsor
Approve Report of the Board of For For Management 1 Directors Approve Report of the Board of For For Management

Supervisors

2	Annual 2017 Financial Banaula	П	П	Managana
3 4	Approve 2017 Financial Reports	For	For For	Management
5	Approve 2017 Profit Distribution Plan	For For	For	Management
5	Approve Interim Profit Distribution Plan	FOL	FOL	Management
6	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP and PricewaterhouseCoopers as			
	External Auditors and Authorize Board			
	to Fix Their Remuneration			
7	Approve Service Contracts Between the	For	For	Management
	Company and Directors and Supervisors			
8	Amend Articles of Association	For	For	Management
9	Amend Rules of Procedure Regarding	For	For	Management
	Meetings of Board of Directors			
10	Approve the Establishment of the Board	For	For	Management
	Committee			
11	Authorize Board to Determine the	For	Against	Management
	Proposed Plan for the Issuance of Debt			
	Financing Instruments			
12	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
13.01	Elect Dai Houliang as Director	For	For	Management
13.02	Elect Li Yunpeng as Director	For	For	Management
13.03	Elect Jiao Fangzheng as Director	For	For	Management
13.04	Elect Ma Yongsheng as Director	For	For	Management
13.05	Elect Ling Yiqun as Director	For	For	Management
13.06	Elect Liu Zhongyun as Director	For	For	Management
13.07	Elect Li Yong as Director	For	For	Management
14.01	Elect Tang Min as Director	For	For	Management
14.02	Elect Fan Gang as Director	For	For	Management
	Elect Cai Hongbin as Director	For	For	Management
	Elect Ng, Kar Ling Johnny as Director	For	For	Management
	Elect Zhao Dong as Supervisor	For	For	Management
15.02	Elect Jiang Zhenying as Supervisor	For	For	Management
	Elect Yang Changjiang as Supervisor	For	For	Management
	Elect Zhang Baolong as Supervisor	For	For	Management
15.05	Elect Zou Huiping as Supervisor	For	For	Management

#### CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105
Meeting Date: SEP 26, 2017 Meeting Type: Special

Record Date: SEP 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Loan Application	For	For	Management
2.01	Elect Qiu Huawei as Non-independent	None	For	Shareholder
	Director			
2.02	Elect Liu Wentao as Non-independent	None	For	Shareholder
	Director			

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#### CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Security ID: Y7503B105 Meeting Type: Annual Ticker: 000999 Meeting Date: APR 23, 2018 Record Date: APR 17, 2018

# 1 2 3	Proposal Approve Financial Statements Approve Profit Distribution Approve Report of the Board of	Mgt Rec For For	Vote Cast For For	Sponsor Management Management Management
4	Directors Approve Report of the Board of Supervisors	For	For	Management
5 6	Approve Annual Report and Summary Approve 2018 Daily Related-party Transactions	For For	For For	Management Management
7	Approve Allowance of Independent Directors	For	For	Management
8.1	Elect Song Qing as Non-independent Director	For	For	Shareholder
8.2	Elect Guo Wei as Non-independent Director	For	For	Shareholder
8.3	Elect Liu Wentao as Non-independent Director	For	For	Shareholder
8.4	Elect Weng Jingwen as Non-independent Director	For	For	Shareholder
8.5	Elect Wu Jun as Non-independent Director	For	For	Shareholder
8.6	Elect Qiu Huawei as Non-independent Director	For	For	Management
8.7	Elect Zhou Hui as Non-independent Director	For	For	Management
9.1	Elect Yao Xingtian as Independent Director	For	For	Management
9.2	Elect Tu Pengfei as Independent Director	For	For	Management
9.3 9.4	Elect Xu Fang as Independent Director Elect Liu Junyong as Independent Director	For For	For For	Management Management
10.1 10.2 10.3	Elect Fang Ming as Supervisor Elect Feng Yi as Supervisor Elect Li Guohui as Supervisor	For For	For For	Shareholder Shareholder Shareholder

#### CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105 Meeting Date: FEB 13, 2018 Meeting Type: Special

Record Date: FEB 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Appointment of 2017 Auditor	For	For	Management
2	Amend Articles of Association	For	Against	Management
	(Additional Content in Party Building			
	Work)			
3	Amend Articles of Association	For	For	Management
	(Strengthen the Protection of			
	Investors' Rights and Related			
	Revisions)			

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

000999 Ticker: Security ID: Y7503B105 Meeting Date: JUN 07, 2018 Meeting Type: Special

Record Date: MAY 31, 2018

# Proposal
1 Approve to Appoint Auditor Mgt Rec Vote Cast Sponsor For For Management

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#### CHINA SOUTH PUBLISHING & MEDIA GROUP CO LTD

Security ID: Y15174108 Ticker: 601098 Meeting Date: MAY 17, 2018
Record Date: MAY 10, 2018 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Report of the Independent Directors	For	For	Management
4	Approve Remuneration of Directors	For	For	Management
5	Approve Remuneration of Supervisors	For	For	Management
6	Approve Annual Report and Summary	For	For	Management
7	Approve Profit Distribution	For	For	Management
8	Approve Financial Statements	For	For	Management
9	Approve Appointment of Financial Auditor and Internal Control Auditor	For	For	Management
10	Approve Financial Services Agreement with Controlling Shareholder	For	Against	Management
11	Approve 2017 Daily Related Party Transaction and 2018 Daily Related Party Transactions	For	Against	Management
12	Approve Use of Excess Raised Funds to Replenish Working Capital	For	For	Management
13.1	Elect Gong Shuguang as Non-Independent Director	For	For	Management
13.2	Elect Peng Bo as Non-Independent Director	For	For	Management
13.3	Elect Ding Shuangping as Non-Independent Director	For	For	Management
13.4	Elect Gao Jun as Non-Independent Director	For	For	Management
13.5	Elect Shu Bin as Non-Independent Director	For	For	Management
14.1	Elect Ji Shuihe as Independent Director	For	For	Management
14.2	Elect Chen Gongrong as Independent Director	For	For	Management
14.3	Elect He Xiaogang as Independent Director	For	For	Management
15.1	Elect Xu Shufu as Supervisor	For	For	Management
15.2	Elect Liu Hong as Supervisor	For	For	Management
15.3	Elect Zhang Xudong as Supervisor	For	For	Management

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CITIC SECURITIES CO., LTD.

Security ID: Y1639N117 Ticker: 600030

Meeting Date: JUN 26, 2018 Meeting Type: Annual

Meeting Date: JUN 26, 2018 Record Date: MAY 25, 2018

#	Proposal	Mgt	Rec	Vote Ca	.st	Sponsor
1	Approve 2017 Work Report of the Board	For		For		Management
2	Approve 2017 Work Report of the Supervisory Committee	For		For		Management
3	Approve 2017 Annual Report	For		For		Management
4	Approve 2017 Profit Distribution Plan	For		For		Management
5	Approve PricewaterhouseCoopers Zhong	For		For		Management
	Tian LLP and PricewaterhouseCoopers as					
	PRC and International Auditors					
	Respectively, PricewaterhouseCoopers					
	Zhong Tian LLP as Internal Control					
_	Auditor and Fix Their Remuneration					
6	Approve 2018 Estimated Investment	For		For		Management
8	Amount for Proprietary Business	П		П		Managana
0	Approve Potential Related Party Transactions Involved in the Issuances	For		For		Management
	of the Onshore and Offshore Corporate					
	Debt Financing Instruments					
10	Approve Remuneration of Directors and	For		For		Management
10	Supervisors	101		101		riarragemerre
11.01	Approve Contemplated Related	For		For		Management
	Party/Connected Transactions Between					5
	the Company and Its Subsidiaries and					
	the CITIC Group and Its Subsidiaries					
	and Associates					
11.02	Approve Contemplated Related	For		For		Management
	Party/Connected Transactions Between					
	the Company and Its Subsidiaries and					
10	Other Related/Connected Parties	П		П		Charahal dan
12 14	Elect Kuang Tao as Director Elect Liu Hao as Supervisor	For For		For For		Shareholder Shareholder
7.01	Approve Issuing Entity, Size of	For		For		Management
7.01	Issuance and Method of Issuance in	101		101		Harragement
	Relation to the Re-authorization of					
	Issuances of Onshore and Offshore					
	Corporate Debt Financing Instruments					
7.02	Approve Type of the Debt Financing	For		For		Management
	Instruments in Relation to the					
	Re-authorization of Issuances of					
	Onshore and Offshore Corporate Debt					
	Financing Instruments	_		_		
7.03	Approve Term of the Debt Financing	For		For		Management
	Instruments in Relation to the Re-authorization of Issuances of					
	Onshore and Offshore Corporate Debt					
	Financing Instruments					
7.04	Approve Interest Rate of the Debt	For		For		Management
	Financing Instruments in Relation to					
	the Re-authorization of Issuances of					
	Onshore and Offshore Corporate Debt					
	Financing Instruments					
7.05	Approve Security and Other Arrangements	For		For		Management
	in Relation to the Re-authorization of					
	Issuances of Onshore and Offshore					
7.06	Corporate Debt Financing Instruments	F^~		For		Managomon+
7.00	Approve Use of Proceeds in Relation to the Re-authorization of Issuances of	LOI		TOT		Management
	Onshore and Offshore Corporate Debt					
	Financing Instruments					
	-					

7.07	Approve Issuing Price in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.08	Approve Targets of Issue and the Placement Arrangements of the RMB Debt Financing Instruments to the Shareholders in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.09	Approve Listing of the Debt Financing Instruments in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.10	Approve Resolutions Validity Period in Relation to the Re-authorization of Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.11	Approve Authorization for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and H Shares	For	Against	Management
13	Amend Articles of Association	For	For	Management

### DAQIN RAILWAY CO., LTD.

Meeting Date: MAY 23, 2018 Meeting Type: Annual Record Date: MAY 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
0	Directors	_	_	
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements and 2018	For	For	Management
	Financial Budget Report			
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Amend Articles of Association	For	For	Management
7	Approve Appointment of Financial	For	For	Management
	Auditor			
8	Approve Appointment of Internal Control	For	For	Management
	Auditor			
9.1	Elect Bi Shoufeng as Non-Independent	None	For	Shareholder
	Director			
10.1	Elect Zhang Yongging as Supervisor	None	For	Shareholder
	3 31 3 1			

FOCUSED PHOTONICS (HANGZHOU) INC.

Ticker: 300203 Security ID: Y2574T101 Meeting Date: SEP 15, 2017
Record Date: SEP 08, 2017 Meeting Type: Special

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Extension of Resolution	For	For	Management
	Validity Period of Private Placement			
2	Approve Extension of Authorization of	For	For	Management
	the Board to Handle Matters on Private			
	Placement			
3	Approve Amendments to Articles of	For	For	Management
	Association to Expand Business Scope			
4	Approve Water Ecological Environment	For	For	Shareholder
	Management Agreement with Ultimate			
	Controlling Shareholder			

### FOCUSED PHOTONICS (HANGZHOU) INC.

Ticker: 300203 Security ID: Y2574T101
Meeting Date: MAY 23, 2018 Meeting Type: Annual
Record Date: MAY 18. 2018

Record Date: MAY 18, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve 2018 Appointment of Auditor	For	For	Management
7	Approve Remuneration of Director and	For	For	Management
	Senior Management Members			
8	Approve Provision of Guarantee	For	For	Management

#### GOERTEK INC.

Ticker: 002241 Security ID: Y27360109 Meeting Date: APR 23, 2018 Meeting Type: Annual

Record Date: APR 18, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Report on the Deposit and Usage	For	For	Management
	of Raised Funds			
7	Approve Application of Bank Credit	For	For	Management
	Lines			
8	Approve Provision of Guarantee to HK	For	For	Management

	Subsidiary			
9	Approve Provision of Guarantee to	For	For	Management
	Denmark Subsidiary			
10	Approve Foreign Exchange Derivatives	For	For	Management
	Trading			
11	Approve Appointment of Auditor	For	For	Management
12	Approve Shareholder Return in the Next	For	For	Management
	Three Years			
13	Amend Related-Party Transaction	For	For	Management
	Management System			

#### GOERTEK INC.

Ticker: 002241
Meeting Date: SEP 12, 2017
Record Date: SEP 05, 2017 Security ID: Y27360109 Meeting Type: Special

Proposal Mgt Rec Vote Cast Sponsor Approve Amendments to Articles of For For Management Proposal

Association

2 Approve Appointment of Auditor For For Management

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#### GUANGSHEN RAILWAY CO., LTD.

Ticker: 525 Ticker: 525
Meeting Date: JUN 06, 2018 Security ID: Y2930P108 Meeting Type: Annual

Record Date: MAY 04, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2017 Work Report of the Board of Directors	For	For	Management
2	Approve 2017 Work Report of the Supervisory Committee	For	For	Management
3	Approve 2017 Audited Financial Statements	For	For	Management
4	Approve 2017 Profits Distribution Proposal	For	For	Management
5	Approve 2018 Financial Budget	For	For	Management
6	Approve PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors and Authorize Board and Audit Committee to Fix Their Remuneration	For	For	Management
7	Approve Resumption of Land Use Rights over Guangzhou East Shipai Old Goods Yard	For	For	Management
8.01	Elect Guo Ji'an as Director	None	For	Shareholder
8.02	Elect Chen Xiaomei as Director	None	For	Shareholder

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#### HAND ENTERPRISE SOLUTIONS CO., LTD.

Security ID: Y3001F102 Meeting Type: Special Ticker: 300170 Meeting Date: JAN 15, 2018 Record Date: JAN 08, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Approve Criteria to Select Plan	For	For	Shareholder
	Participants			
1.02	Approve Source, Type and Number of	For	For	Shareholder
	Underlying Stocks			
1.03	Approve Allocation of Underlying Stocks	For	For	Shareholder
	to Incentive Targets	_	_	a) 1 1 1
1.04	Approve Duration, Granting Date and	For	For	Shareholder
1.05	Lock-up Period	For	For	Shareholder
1.05	Approve Grant Price and Price-setting Basis	FOL	ror	Sharehorder
1.06	Approve Unlocking Arrangements and	For	For	Shareholder
1.00	Assessment Conditions	101	101	01141 0110 1401
1.07	Approve Adjustment on Equity Incentive	For	For	Shareholder
	Plan			
1.08	Approve Granting Procedures of Equity	For	For	Shareholder
	to Incentive Targets			
1.09	Approve Rights and Obligations of the	For	For	Shareholder
	Plan Participants and the Company			
1.10	Approve Changes and Termination of	For	For	Shareholder
	Equity Incentive Plan			
1.11	Approve Accounting Treatment and Effect	For	For	Shareholder
	on Company's Business Performance	_	_	a) 1 1 1
1.12	Approve Share Repurchase Cancellation	For	For	Shareholder
2	Approve Authorization of Board to Handle All Related Matters	For	For	Management
3	Approve Methods to Assess the	For	For	Managamant
J	Performance of Plan Participants	LOT	LOT	Management
	retrormance of trail tarrictbance			

#### HAND ENTERPRISE SOLUTIONS CO., LTD.

Meeting Date: FEB 07, 2018 Meeting Type: Special Record Date: JAN 31, 2018 Security ID: Y3001F102

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Joint Investment in Equity	For	For	Management
	Investment Funds and Related-party			
	Transactions			
2	Approve Comprehensive Bank Credit Line	For	For	Management
	Application			
3	Approve Adjustment in 2015 Employee	For	For	Management
	Share Purchase Plan and Extend Its			
	Duration			

#### HUAFA INDUSTRIAL CO., LTD. ZHUHAI

Security ID: Y3739J103 Meeting Type: Annual 600325 Ticker: Meeting Date: MAY 09, 2018 Record Date: MAY 03, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management

	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve 2018 Financial Budget Report	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Approve Corporate Social Responsibility	For	For	Management
	Report			
8	Approve Report of the Independent	For	For	Management
	Directors			
9	Approve Appointment of Auditor	For	For	Management

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#### HUAFA INDUSTRIAL CO., LTD. ZHUHAI

Ticker: 600325 Security ID: Y3739J103
Meeting Date: MAY 14, 2018 Meeting Type: Special

Record Date: MAY 08, 2018

# Proposal Mgt Rec Vote Cast Sponsor

1.1 Elect Guo Lingyong as Non-independent For For Management
Director

1.2 Elect Tang Jianjun as Non-independent For For Management
Director

1.3 Elect Zhang Xuebing as Independent For For Management
Director

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#### HUAFA INDUSTRIAL CO., LTD. ZHUHAI

Ticker: 600325 Security ID: Y3739J103 Meeting Date: FEB 12, 2018 Meeting Type: Special

Record Date: FEB 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Remuneration of Directors	For	For	Management
2	Approve 2016 Remuneration of	For	For	Management
	Supervisors			
3	Approve Repurchase Cancellation of	For	For	Management
	Performance Shares that has been			
	Granted but Not Yet Unlocked			
4	Approve Issuance of Property Remaining	For	For	Management
	Payment Asset Support Special Plan and			
	Related-party Transactions			
5	Approve Authorization of Board to	For	For	Management
	Handle All Related Matters			

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### HUAFA INDUSTRIAL CO., LTD. ZHUHAI

Ticker: 600325 Security ID: Y3739J103 Meeting Date: MAR 16, 2018 Meeting Type: Special

Record Date: MAR 12, 2018

# Proposal Mgt Rec Vote Cast Sponsor

Approve Authorization of the Company and Subsidiary to Apply for Financing

Mgt Rec Vote Cast Sponsor

For For Management

2	Approve 2018 Guarantee Plan	For	Against	Management
3	Approve Loan and Comprehensive Credit	For	For	Management
	Line Applications			
4	Approve Authorization of the Company's	For	Against	Management
	Management Team to Engage in External			
	Investments			
5	Approve Authorization of the Company's	For	Against	Management
	Management Team to Engage in Land			
	Acquisition			
6	Amend Financial Services Agreement and	For	Against	Management
	Related-party Transaction			

#### HUAFA INDUSTRIAL CO., LTD. ZHUHAI

Security ID: Y3739J103 Meeting Type: 2 600325 Meeting Date: APR 12, 2018

Record Date: APR 03, 2018

Proposal Mgt Rec Vote Cast Sponsor
Amend Articles of Association For For Management
Amend Rules and Procedures Regarding For For Management 1 Meetings of Board of Directors

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#### HUAFA INDUSTRIAL CO., LTD. ZHUHAI

600325 Security ID: Y3739J103 Ticker: Meeting Date: MAY 30, 2018 Meeting Type: Special Record Date: MAY 24, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	For	Management
	Issuance of Corporate Bonds			
2.1	Approve Issue Size	For	For	Management
2.2	Approve Bond Maturity	For	For	Management
2.3	Approve Bond Interest Rate and Method	For	For	Management
	of Determination			
2.4	Approve Issue Manner	For	For	Management
2.5	Approve Target Subscribers	For	For	Management
2.6	Approve Use of Proceeds	For	For	Management
2.7	Approve Safeguard Measures of Debts	For	For	Management
	Repayment			
2.8	Approve Resolution Validity Period	For	For	Management
3	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
4	Approve Appointment of Underwriters for	For	For	Management
	this Corporate Bonds Issuance			

#### INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

Meeting Date: JUN 26, 2018 Meeting Type: Annual Record Date: MAY 25, 2018 1398 Security ID: Y3990B104

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2017 Work Report of the Board	For	For	Management
	of Directors			
2	Approve 2017 Work Report of the Board	For	For	Management
	of Supervisors			
3	Approve 2017 Audited Accounts	For	For	Management
4	Approve 2017 Profit Distribution Plan	For	For	Management
5	Approve 2018 Fixed Asset Investment	For	For	Management
	Budget			
6	Approve 2018-2020 Capital Planning	For	For	Management
7	Approve KPMG Huazhen LLP and KPMG as	For	For	Management
	External Auditors and KPMG Huazhen LLP			
	as Internal Control Auditors			
8	Elect Cheng Fengchao Director	For	For	Management
9	Amend Plan on Authorization of the	For	For	Management
	Shareholders' General Meeting to the			
	Board of Directors			

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### INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

Ticker: 1398 Security ID: Y3990B104 Meeting Date: NOV 29, 2017 Meeting Type: Special

Record Date: OCT 27, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Remuneration of Directors and	For	For	Management
	Supervisors for 2016			
2	Elect Anthony Francis Neoh as Director	For	For	Management
3	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			
4	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Directors			
5	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			

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#### INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y408DG116
Meeting Date: SEP 11, 2017 Meeting Type: Special

Record Date: SEP 01, 2017

# 1	Proposal Elect Zhao Chengxia as Non-Independent Director	Mgt Rec For	Vote Cast For	Sponsor Management
2	Approve Write-off of Partial Stock Incentive Option and Repurchase Cancellation of Partial Restricted	For	For	Management
3	Stock Amend Articles of Association	For	For	Management

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INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y408DG116

Meeting Date: MAY 31, 2018 Meeting Type: Annual

Record Date: MAY 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Annual Report and Summary	For	For	Management
2	Approve Report of the Board of	For	For	Management
	Directors			
3	Approve Report of the Board of	For	For	Management
	Supervisors			
4	Approve Business Strategy and	For	For	Management
	Investment Plan			
5	Approve Financial Statements and 2018	For	For	Management
	Financial Budget Report			
6	Approve Profit Distribution	For	For	Management
7	Approve Report of the Independent	For	For	Management
	Directors			
8	Approve Provision of Guarantee	For	For	Management
9	Approve Amendments to Articles of	For	For	Management
	Association			
10	Approve Cancellation of Stock Option	For	For	Management
	and Repurchase Cancellation of			
	Performance Shares			
11	Approve Appointment of Financial	For	For	Management
	Auditor and Internal Control Auditor as			
	well as Their Remuneration			

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#### JIANGSU EXPRESSWAY CO. LTD.

Ticker: 177 Meeting Date: JUN 21, 2018 Meeting Type: Annual Record Date: MAY 21, 2010 Security ID: Y4443L111

Record Date: MAY 21, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2017 Report of the Board of	For	For	Management
	Directors			
2	Approve 2017 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2017 Financial Statements and	For	For	Management
	Statutory Reports			
4	Approve 2017 Annual Financial	For	For	Management
	Statements			
5	Approve 2018 Financial Budget Report	For	For	Management
6	Approve 2017 Profit Distribution Plan	For	For	Management
7	Approve Deloitte Touche Tohmatsu	For	For	Management
	Certified Public Accountants LLP as			
	Auditors and Internal Auditors and			
	Authorize Board to Fix Their			
	Remuneration			
8	Approve Amendments to Articles of	For	For	Management
	Association			
9	Approve Issuance of Debt Financing	None	Non-Voting	Management
	Instruments		_	_
9.1	Approve Issue Size and Method	For	For	Management
9.2	Approve Type of Debt Financing	For	For	Management
	Instruments			
9.3	Approve Maturity of Debt Financing	For	For	Management
	Instruments			-
9.4	Approve Target Subscribers and Placing	For	For	Management
	Arrangement for Shareholders			

9.5	Approve Interest Rate	For	For	Management
9.6	Approve Use of Proceeds	For	For	Management
9.7	Approve Listing Exchange	For	For	Management
9.8	Approve Guarantee Provision	For	For	Management
9.9	Approve Resolution Validity Period	For	For	Management
9.10	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
10.1	Elect Gu Dejun as Director	For	For	Management
10.2	Elect Chen Yanli as Director	For	For	Management
10.3	Elect Chen Yongbing as Director	For	For	Management
10.4	Elect Yao Yongjia as Director	For	For	Management
10.5	Elect Wu Xinhua as Director	For	For	Management
10.6	Elect Hu Yu as Director	For	For	Management
10.7	Elect Ma Chung Lai, Lawrence as	For	For	Management
	Director			
11.1	Elect Zhang Zhuting as Independent	For	For	Management
	Director			
11.2	Elect Chen Liang as Independent	For	For	Management
	Director			
11.3	Elect Lin Hui as Independent Director	For	For	Management
11.4	Elect Zhou Shudong as Independent	For	For	Management
	Director			
12.1	Elect Yu Lanying as Supervisor	For	For	Management
12.2	Elect Ding Guozhen as Supervisor	For	For	Management
12.3	Elect Pan Ye as Supervisor	For	For	Management

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### JIANGSU YANGHE BREWERY JOINT-STOCK CO., LTD.

Meeting Date: JAN 29, 2018
Record Date: JAN 24, 2018

Security ID: Y444AE10
Meeting Type: Special Security ID: Y444AE101

# 1	Proposal Approve Amendments to Articles of Association	Mgt Rec For	Vote Cast For	Sponsor Management
2.1	Elect Wang Yao as Non-independent Director	For	For	Management
2.2	Elect Zhong Yu as Non-independent Director	For	For	Management
2.3	Elect Han Feng as Non-independent Director	For	For	Management
2.4	Elect Wang Kai as Non-independent Director	For	For	Management
2.5	Elect Cong Xuenian as Non-independent	For	For	Management
2.6	Elect Zhou Xinhu as Non-independent Director	For	For	Management
2.7	Elect Liu Huashuang as Non-independent Director	For	For	Management
3.1	Elect Xu Zhijian as Independent Director	For	For	Management
3.2	Elect Cai Yunqing as Independent Director	For	For	Management
3.3	Elect Ji Xueqing as Independent Director	For	For	Management
3.4	Elect Chen Tongguang as Independent Director	For	For	Management
4.1 4.2	Elect Chen Yiqin as Supervisor Elect Zhou Wenqi as Supervisor	For For	For For	Management Management

4.3 Elect Chen Taisong as Supervisor For For Management

JIANGSU YANGHE BREWERY JOINT-STOCK CO., LTD.

Ticker: 002304 Security ID: Y444AE101 Meeting Date: MAY 23, 2018
Record Date: MAY 18, 2018 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Appointment of Financial Report	For	For	Management
	Auditor			

MIDEA GROUP CO., LTD.

Ticker: 000333 Security ID: Y6S40V103 Meeting Date: APR 23, 2018 Meeting Type: Annual

Record Date: APR 16, 2018

#	Proposal	Mat Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisor			
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Stock Option Incentive Plan and	For	For	Management
	Its Summary			
7	Approve Appraisal Measures of the Stock	For	For	Management
	Option Incentive Plan			
8	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters on Stock			
	Option Incentive Plan			
9	Approve Draft and Summary of	For	For	Management
	Performance Shares Incentive Plan			
10	Approve Methods to Assess the	For	For	Management
	Performance of Plan Participants			
11	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters on			
	Performance Shares Incentive Plan			
12	Approve Phase IV Global Partnership	For	For	Management
	Stock Ownership Plan Draft and Summary			
13	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters on Phase IV			
	Global Partnership Stock Ownership Plan			
14	Approve Phase I Business Partnership	For	For	Management
	Stock Ownership Plan Draft and Summary			
15	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters on Phase I			

	Business Partnership Stock Ownership Plan			
16	Approve Provision of Guarantee	For	For	Management
17	Approve Special Report on Foreign	For	For	Management
	Exchange Capital Derivatives Business			
	Investment			
18	Approve Use of Idle Funds to Conduct	For	For	Management
	Entrusted Asset Management			
19	Approve Deposit and Loan Related Party	For	For	Management
	Transactions			
20	Amend Articles of Association	For	For	Management
21	Approve Appointment of Auditor	For	For	Management

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MIDEA GROUP CO., LTD.

Ticker: 000333 Security ID: Y6S40V103
Meeting Date: SEP 15, 2017 Meeting Type: Special
Record Date: SEP 08. 2017

Record Date: SEP 08, 2017

# Proposal Mgt Rec Vote Cast Sponsor 1 Approve 2017 Deposit, Loan and For For Management

Related-party Transaction

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#### NARI TECHNOLOGY DEVELOPMENT LTD CO

Ticker: 600406 Security ID: Y6S99Q112 Meeting Date: JUN 14, 2018
Record Date: TUN 05 Meeting Type: Annual

Record Date: JUN 07, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Financial Statements	For	For	Management
2	Approve Profit Distribution	For	For	Management
3	Approve Report of the Independent Directors	For	For	Management
4	Approve Report of the Board of	For	For	Management
	Directors			-
5	Approve Report of the Board of	For	For	Management
	Supervisors			
6	Approve Annual Report and Summary	For	For	Management
7	Approve 2018 Financial Budget Report	For	For	Management
8	Approve 2018 Appointment of Financial	For	For	Management
	and Internal Control Auditor			
9	Approve Entrusted Loan Application and	For	For	Management
	Related Party Transactions			
10	Approve 2018 Daily Related Party	For	Against	Management
	Transactions and Financial Services			
	Related Transactions			
11	Approve Change in Registered Capital,	For	Abstain	Management
	Business Scope and Amend Articles of Association			
1.0	1100001401011			Managara
12	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For	Management
1.3	Amend Rules and Procedures Regarding	For	For	Management
TJ	Meetings of Board of Directors	LOI	LOI	Harragement
1.4	Approve Changes in Accounting Estimates	For	For	Management
15.1	Elect Xiong Yanren as Independent	For	For	Management
10.1	Brese Arong ranten as independent	101	1 01	11anagement

Director

#### NARI TECHNOLOGY DEVELOPMENT LTD CO

Meeting Date: AUG 21, 2017
Record Date: AUG 14, 2017

Security ID: Y6S99Q112
Meeting Type: Special

	·			
# 1	Proposal Approve Company's Eligibility for Assets Purchase by Cash Payment and Issuance of New Shares to Specific Parties as well as Raising Supporting Funds	Mgt Rec For	Vote Cast For	Sponsor Management
2	Approve Transaction Constitute as Related-Party Transaction	For	For	Management
3.01	Approve Transaction Parties	For	For	Management
3.02	Approve Target Assets	For	For	Management
3.03	Approve Transaction Method	For	For	Management
3.04	Approve Transaction Amount	For	For	Management
3.05	Approve Issue Price in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.06	Approve Issue Type and Par Value in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.07	Approve Asset Purchased Amount, Payment Price and Issue Amount in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.08	Approve Issue Price Adjustment Mechanism in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.09	Approve Share Lock-up Period in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.10	Approve Cash Payment Method and Payment Time in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.11	Approve Performance Commitments and Compensation Arrangements in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.12	Approve Transition Period Arrangements in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.13	Approve Distribution Arrangement of Undistributed Earnings in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.14	Approve Arrangement of Employees in Connection to Issuance of New Shares and Cash Payment for Asset Purchase	For	For	Management
3.15	Approve Issue Price in Connection to Raising Supporting Funds	For	For	Management
3.16	Approve Issue Type and Par Value in Connection to Raising Supporting Funds	For	For	Management

3.17				
3.17	Approve Raising Supporting Funds and Issue Amount in Connection to Raising	For	For	Management
	Supporting Funds			
3.18	Approve Share Lock-up Situation in Connection to Raising Supporting Funds	For	For	Management
3.19	Approve Use of Proceeds in Connection to Raising Supporting Funds	For	For	Management
3.20	Approve Resolution Validity Period	For	For	Management
4	Approve Report (Draft) on Company's	For	For	Management
•	Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds and Its Summary		101	nanagemene
5	Approve the Notion that the Transaction	For	For	Management
	Complies with Article IV of Provisions on Several Issues Regulating the Major			
	Asset Restructuring of Listed Companies	_	_	
6	Approve the Notion that the Transaction	For	For	Management
	Does not Constitute as Article 13 of			
	the Management Approach Regarding Major			
	Asset Restructuring of Listed Companies			
7	Approve the Notion that the Transaction	For	For	Management
	Complies with Article 11 of the			
	Management Approach Regarding Major			
	Asset Restructuring of Listed Companies			
8	Approve the Notion that the Transaction	For	For	Management
	Complies with Article 43 of the			,
	Management Approach Regarding Major			
	Asset Restructuring of Listed Companies			
9	Approve the Completeness and Compliance	For	For	Management
	of Implementation of Legal Proceedings	101	- 0-	Tidiid g omorio
	of the Transactions and Validity of the			
	or the franctions and variately or the			
	Submitted Logal Doguments Pogarding			
	Submitted Legal Documents Regarding			
1.0	this Transaction	Eom	Eon	Managamant
10	this Transaction Approve Signing of Conditional Asset	For	For	Management
10	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares	For	For	Management
	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement			-
10	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset	For	For	Management Management
	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by			-
	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset			-
11	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement			Management
	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription			-
11	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement	For	For	Management
11	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation	For	For	Management
11	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement	For	For	Management Management
11	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation	For	For	Management Management
11 12 13	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement	For For	For For	Management Management Management
11 12 13	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review	For For	For For	Management Management Management
11 12 13	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the	For For	For For	Management Management Management
11 12 13 14	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction	For For For	For For For	Management Management Management Management
11 12 13 14	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance	For For For	For For For	Management Management Management Management
11 12 13 14	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as	For For For	For For For	Management Management Management Management
11 12 13 14	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	For For For	For For For	Management Management Management Management Management
11 12 13 14	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing Approve Impact of Dilution of Major	For For For	For For For	Management Management Management Management
11 12 13 14 15	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing Approve Impact of Dilution of Major Assets Restructuring	For For For	For For For For	Management Management Management Management Management
11 12 13 14	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing Approve Impact of Dilution of Major Assets Restructuring Approve White Wash Waiver and Related	For For For	For For For	Management Management Management Management Management
11 12 13 14 15	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing Approve Impact of Dilution of Major Assets Restructuring Approve White Wash Waiver and Related Transactions	For For For For	For For For For For	Management Management Management Management Management Management Management
11 12 13 14 15	this Transaction Approve Signing of Conditional Asset Purchase Agreement by Issue of Shares and Subscription Agreement Approve Signing of Conditional Asset Purchase Supplemental Agreement by Issue of Shares and Subscription Agreement Approve Profit Forecast Compensation Agreement Approve Profit Forecast Compensation Supplemental Agreement Approve Relevant Audit Report, Review Report and Evaluation Report of the Transaction Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing Approve Impact of Dilution of Major Assets Restructuring Approve White Wash Waiver and Related	For For For	For For For For	Management Management Management Management Management

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POLY REAL ESTATE GROUP CO., LTD.

Ticker: 600048 Security ID: Y6987R107 Meeting Date: DEC 20, 2017 Meeting Type: Special

Record Date: DEC 12, 2017

# Proposal Mgt Rec Vote Cast Sponsor 1 Approve Acquisition of Equity For For Management

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### QINGDAO CITYMEDIA CO., LTD.

Security ID: Y7172H102 Meeting Type: Annual Meeting Date: MAY 31, 2018
Record Date: MAY 24, 2018 Ticker: 600229

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Amendments to Articles of	For	Abstain	Management
	Association			
7	Approve Appointment of Auditor and	For	For	Management
	Payment of Their Remuneration			
8	Approve Extension of Raised Funds	For	For	Management
	Investment Project			
9	Approve Report on Impairment Test of	For	For	Management
	Major Assets Reorganization			
10	Approve Changes in Deadline for	For	For	Management
	Implementation of Major Asset			
	Restructuring			
11	Elect Yin Lunzhu as Supervisor	For	For	Shareholder

### QINGDAO HAIER CO., LTD.

Ticker: 600690 Security ID: Y7166P102 Meeting Date: NOV 23, 2017 Meeting Type: Special

Record Date: NOV 15, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for	For	For	Management
	Issuance of Convertible Bonds			
2	Approve Plan on Issuance of Convertible	For	For	Management
	Bonds			
2.1	Approve Issue Type	For	For	Management
2.2	Approve Issue Scale	For	For	Management
2.3	Approve Par Value and Issue Price	For	For	Management
2.4	Approve Bond Maturity	For	For	Management
2.5	Approve Interest Rate	For	For	Management
2.6	Approve Debt Repayment Period and	For	For	Management
	Manner			
2.7	Approve Conversion Period	For	For	Management
2.8	Approve Method of Determination and	For	For	Management

	Adjustment of Conversion Price			
2.9	Approve Terms for Downward Adjustment of Conversion Price	For	For	Management
2.10	Approve Determination of Conversion of Shares	For	For	Management
2.11	Approve Terms of Redemption	For	For	Management
2.12	Approve Terms of Sell-Back	For	For	Management
2.13	Approve Dividend Distribution Post	For	For	Management
	Conversion			3
2.14	Approve Issue Manner and Target Subscriber	For	For	Management
2.15	Approve Placing Arrangement for Shareholders	For	For	Management
2.16	Approve Matters Relating to Meetings of Bond Holders	For	For	Management
2.17	Approve Raised Funds Investment of CNY 2,101,500,000	For	For	Management
2.18	Approve Raised Funds Investment of CNY 977,750,000	For	For	Management
2.19	Approve Raised Funds Investment of CNY 468,090,000	For	For	Management
2.20	Approve Raised Funds Investment of CNY 450,880,000	For	For	Management
2.21	Approve Raised Funds Investment of CNY 1,641,760,000	For	For	Management
2.22	Approve Guarantee Matters	For	For	Management
2.23	Approve Deposit Management of Raised Funds	For	For	Management
2.24	Approve Resolution Validity Period	For	For	Management
3	Approve Plan for Convertible Bonds	For	For	Management
	Issuance			
4	Approve Feasibility Analysis Report on the Use of Proceeds	For	For	Management
4.1	Approve Consumer Upgrades Ice and Other	For	For	Management
4.1	Production Line Intelligent	roi	FOI	rianagement
4 0	Manufacturing Upgrade Expansion Project	_	_	
4.2	Approve Kitchen Electric and Power	For	For	Management
4 2	Capacity Project	П	П.	Managanana
4.3	Approve Overseas Emerging Market	For	For	Management
1 1	Manufacturing Base Construction Project	П	П.	Managanana
4.4	Approve COSMOPlat Industrial	For	For	Management
4	Interconnection Project			Management
4.5	Approve Repayment of Debts	For	For	Management
5	Approve Report on the Usage of Previously Raised Funds	For	For	Management
6	Approve Impact of Dilution of Current	For	For	Management
Ü	Returns on Major Financial Indicators	101	101	110110190110110
	and the Relevant Measures to be Taken			
7	Approve Authorization of Board to	For	For	Management
•	Handle All Related Matters	101	101	riariagemerie
8	Approve Shareholder Returns for the	For	For	Management
	Next Three Years			
9	Approve Bond Holder Meeting Principles	For	For	Management
10	Approve 2017 Related Party Transaction	For	For	Management

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QINGDAO HAIER CO., LTD.

Ticker: 600690 Security ID: Y7166P102 Meeting Date: MAY 18, 2018 Meeting Type: Annual

Record Date: MAY 11, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors	_	_	
2	Approve Report of the Board of	For	For	Management
	Supervisors	_	_	
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management
5	Approve Internal Control Audit Report	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Approve Appointment of Auditor	For	For	Management
8	Approve Signing of Daily Related-party	For	Abstain	Management
	Transactions Agreement and 2018 Daily			
	Related-party Transactions			
9	Approve Provision of Guarantee	For	For	Management
10	Approve Foreign Exchange Fund	For	For	Management
	Derivatives Business			
11	Approve Amendments to Articles of	For	For	Management
	Association			
12	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Directors			
13	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			
14	Approve Acquisition of Equity and	For	For	Management
	Related-party Transactions			
15	Approve Authorization of the Board to	For	For	Management
	Handle All Matters Related to			
	Acquisition of Equity and Related-party	•		
	Transactions			
16	Approve Extension in Authorization for	For	For	Management
	Board to Handle All Matters Related to			-
	Issuance of Convertible Bonds			

#### QINGDAO HAIER CO., LTD.

Ticker: 600690 Security ID: Y7166P102
Meeting Date: APR 27, 2018 Meeting Type: Special
Record Date: APR 20, 2018

# 1	Proposal Approve Issuance of D Class Shares and	Mgt Rec For	Vote Cast For	Sponsor Management
	Listing in China Europe International			
	Exchange	_	_	
2	Approve Initial Public Offering of D	For	For	Management
	Shares in the China Europe			
	International Exchange as well as Its			
	Listing			
2.1	Approve Share Type and Par Value	For	For	Management
2.2	Approve Issue Time	For	For	Management
2.3	Approve Issue Manner	For	For	Management
2.4	Approve Issue Size	For	For	Management
2.5	Approve Pricing Method	For	For	Management
2.6	Approve Target Subscribers	For	For	Management
2.7	Approve Principles of Offering	For	For	Management
3	Approve Conversion of Company to	For	For	Management
	Foreign Fund-Raising Company			
4	Approve Resolution Validity Period	For	For	Management
5	Approve Authorization of Board to	For	For	Management

	Handle All Related Matters			
6	Approve Report on the Usage of	For	For	Management
	Previously Raised Funds			
7	Approve Use of Proceeds	For	For	Management
8	Approve Distribution Arrangement of	For	For	Management
	Cumulative Earnings			
9	Amend Articles of Association in	For	For	Management
	Connection to the Listing of D Shares			
	in the China Europe International			
	Exchange			
10	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			
11	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Directors			
12	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			
13	Amend Working System for Independent	For	For	Management
	Directors			
14	Amend Management System for Providing	For	For	Management
	External Guarantees			
15	Approve Formulation of Foreign	For	For	Management
	Securities and Listing-related			
	Confidentiality and File Management			
	System			
16	Approve Purchase of Liability Insurance	For	Abstain	Management
	for Directors, Supervisors and Senior			
	Management Members			
17	Amend Articles of Association	For	For	Management

# SAIC MOTOR CORP., LTD.

Ticker: 600104 Security ID: Y7443N102
Meeting Date: JUN 26, 2018 Meeting Type: Annual
Record Date: JUN 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of	For	For	Management
3	Supervisors Approve Report of the Independent	For	For	Management
4	Directors			Management
4 5	Approve Profit Distribution Approve Financial Statements	For For	For For	Management Management
6	Approve Annual Report and Summary	For	For	Management
7	Approve Provision of Guarantee to SAIC General Motors Financial Co., Ltd.	For	Against	Management
8	Approve Provision of Guarantee to Controlled Subsidiary by Global Chexiang Car Rental Co., Ltd.	For	For	Management
9	Approve Provision of Guarantee by Huayu Automotive Systems Co., Ltd. to Huayuan Korben Schmidt Aluminum Technology Co.,		For	Management
10	Approve Provision of Guarantee by Huayu Automotive Systems Co., Ltd. to its Subsidiaries	For	For	Management
11 12	Approve to Appoint Financial Auditor Approve to Appoint Internal Control	For For	For For	Management Management

Approve Amendments to Articles of Association to Expand Business Scope  14.1 Elect Chen Hong as Non-independent For For Shareholder Director  14.2 Elect Chen Zhixin as Non-independent For For Shareholder Director  14.3 Elect Wang Jian as Non-independent For For Shareholder Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder Shareholder For For Shareholder		Auditor			
14.1 Elect Chen Hong as Non-independent For For Shareholder Director  14.2 Elect Chen Zhixin as Non-independent For For Shareholder Director  14.3 Elect Wang Jian as Non-independent For For Shareholder Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder Shareholder	13	Approve Amendments to Articles of	For	For	Management
Director  14.2 Elect Chen Zhixin as Non-independent For For Shareholder Director  14.3 Elect Wang Jian as Non-independent For For Shareholder Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder Shareholder		Association to Expand Business Scope			
14.2 Elect Chen Zhixin as Non-independent For For Shareholder Director  14.3 Elect Wang Jian as Non-independent For For Shareholder Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder Shareholder	14.1	Elect Chen Hong as Non-independent	For	For	Shareholder
Director  14.3 Elect Wang Jian as Non-independent For For Shareholder Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder Shareholder		Director			
14.3 Elect Wang Jian as Non-independent For For Shareholder Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder	14.2	Elect Chen Zhixin as Non-independent	For	For	Shareholder
Director  15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder Shareholder For For Shareholder		Director			
15.1 Elect Tao Xinliang as Independent For For Shareholder Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder	14.3	Elect Wang Jian as Non-independent	For	For	Shareholder
Director  15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder		Director			
15.2 Elect Li Ruoshan as Independent For For Shareholder Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder	15.1	Elect Tao Xinliang as Independent	For	For	Shareholder
Director  15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder		Director			
15.3 Elect Zeng Saixing as Independent For For Shareholder Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder	15.2	Elect Li Ruoshan as Independent	For	For	Shareholder
Director  16.1 Elect Bian Baiping as Supervisor For For Shareholder  16.2 Elect Chen Weifeng as Supervisor For For Shareholder		Director			
16.1 Elect Bian Baiping as Supervisor For For Shareholder 16.2 Elect Chen Weifeng as Supervisor For For Shareholder	15.3	Elect Zeng Saixing as Independent	For	For	Shareholder
16.2 Elect Chen Weifeng as Supervisor For For Shareholder		Director			
	16.1	1 3 1	For	For	
16.3 Elect Yi Lian as Supervisor For For Shareholder	16.2	Elect Chen Weifeng as Supervisor	For	For	
	16.3	Elect Yi Lian as Supervisor	For	For	Shareholder

### SAIC MOTOR CORP., LTD.

Ticker: 600104 Security ID: Y7443N102
Meeting Date: NOV 21, 2017 Meeting Type: Special

Record Date: NOV 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Amendments to Articles of	For	Against	Management
	Association			
2	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			
3	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			
4	Elect Ding Ning as Supervisor	For	For	Management

SHANGHAI JAHWA UNITED CO., LTD.

Ticker: 600315 Security ID: Y7685E109
Meeting Date: MAY 28, 2018 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Repurchase and Cancellation of	For	For	Management
	Performance Shares			
7	Approve Amendments to Articles of	For	For	Management
	Association			
8	Elect Wang Lujun as Independent	For	For	Management
	Director			
9	Approve 2018 Financial Budget Report	For	For	Management
10	Approve to Appoint Financial Auditor	For	For	Management
10	Approve to Appoint Financial Auditor	For	For	Management

	and Internal Control Auditor			
11	Approve Daily Related Party Transaction	For	Against	Management
12	Approve Investment in Financial	For	Against	Management
	Products			
13	Approve Stock Option Incentive Plan	For	For	Management
	Draft and Its Summary			
14	Approve Methods to Assess the	For	For	Management
	Performance of Plan Participants			
15	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			

#### SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

Ticker: 601607 Security ID: Y7685S108
Meeting Date: JUN 26, 2018 Meeting Type: Annual
Record Date: JUN 15, 2018

Record	d Date: JUN 15, 2018			
#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2017 Report of the Board of Directors	For	For	Management
2	Approve 2017 Report of the Board of Supervisors	For	For	Management
3	Approve 2017 Annual Report	For	For	Management
4	Approve 2017 Final Accounts Report and 2018 Financial Budget	For	For	Management
5	Approve PricewaterhouseCoopers Zhong Tian LLP as Domestic Auditor, PricewaterhouseCoopers as Overseas Auditor and Payment of Auditors' Fees for 2017	For	For	Management
6	Approve 2017 Profit Distribution Plan	For	For	Management
7	Approve External Guarantees for 2018	For	For	Management
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	For	Against	Management
9	Amend Articles of Association and Rules of Procedure of the Board of Directors	For	For	Management
10	Approve Issuance of Debt Financing Products	For	For	Management
11	Approve Satisfaction of the Conditions of the Issuance of Corporate Bonds	For	For	Management
12	Approve Issuance of Corporate Bonds	For	For	Management
12.01	Approve Face Value of Bonds to Be Issued and Scale of Issuance in Relation to the Issuance of Corporate Bonds	For	For	Management
12.02	Approve Issuing Price of Bonds and the Way to Determine Interest Rate in Relation to the Issuance of Corporate Bonds	For	For	Management
12.03	Approve Term of Bonds in Relation to the Issuance of Corporate Bonds	For	For	Management
12.04	Approve Way of Principal and Interest Repayment in Relation to the Issuance of Corporate Bonds	For	For	Management
12.05	Approve Way and Target of Issuance in Relation to the Issuance of Corporate Bonds	For	For	Management
12.06	Approve Use of Proceeds in Relation to	For	For	Management

12.07	the Issuance of Corporate Bonds Approve Arrangement of Placement to Shareholders in Relation to the Issuance of Corporate Bonds	For	For	Management
12.08	-	For	For	Management
12.09	Approve Redemption and Put Provision in Relation to the Issuance of Corporate Bonds	For	For	Management
12.10	Approve Credit Standing of the Company and Measures to Guarantee Repayment in Relation to the Issuance of Corporate Bonds	For	For	Management
12.11	Approve Way of Underwriting in Relation to the Issuance of Corporate Bonds	For	For	Management
12.12	Approve Listing Arrangements in Relation to the Issuance of Corporate Bonds	For	For	Management
12.13	Approve Validity Period of the Resolution in Relation to the Issuance of Corporate Bonds	For	For	Management
12.14	Approve Authorizations for the Executive Committee of the Board in Relation to the Issuance of Corporate Bonds	For	For	Management

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#### SHANGHAI PUDONG DEVELOPMENT BANK CO., LTD.

Ticker: 600000 Security ID: Y7689F102 Meeting Date: MAY 28, 2018 Meeting Type: Annual

Record Date: MAY 21, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements and 2018	For	For	Management
	Financial Budget Report			
4	Approve Profit Distribution	For	For	Management
5	Approve Appointment of Auditor	For	For	Management
6	Approve Issuance of Capital Bonds	For	For	Management
7	Approve Report on the Usage of	For	For	Management
	Previously Raised Funds			

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### SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103 Meeting Date: AUG 30, 2017 Meeting Type: Special

Record Date: AUG 23, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Elect Luo Yude as Non-independent	For	For	Management
1.02	Director Elect Chen Jinzu as Non-independent Director	For	For	Management

1.03	Elect Chen Minsheng as Non-independent Director	For	For	Management
1.04	Elect Chen Fanhua as Non-independent Director	For	For	Management
1.05	Elect Xu Yan as Non-independent Director	For	For	Management
2.01	Elect Huang Yaying as Independent Director	For	For	Management
2.02	Elect Shen Weitao as Independent Director	For	For	Management
2.03	Elect Zhao Bo as Independent Director	For	For	Management
3.01	Elect Wu Yuejuan as Supervisor	For	For	Management
3.02	Elect Zhang Zisheng as Supervisor	For	For	Management

#### SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103 Meeting Date: JAN 17, 2018 Meeting Type: Special

Record Date: JAN 10, 2018

Mgt Rec Vote Cast Sponsor Proposal Approve Appointment of 2017 Financial For For Management 1

Auditor and Internal Control Auditor

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#### SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103 Meeting Date: APR 26, 2018 Meeting Type: Annual

Record Date: APR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of Board of Directors	For	For	Management
2	Approve Report of Board of Supervisors	For	For	Management
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve 2018 Daily Related Party	For	For	Management
	Transaction Estimates			
7	Amend Articles of Association	For	Abstain	Management

#### SHENZHEN AIRPORT CO., LTD.

Security ID: Y7741V103 Ticker: 000089 Meeting Date: NOV 15, 2017 Meeting Type: Special

Record Date: NOV 08, 2017

Proposal Mgt Rec Vote Cast Sponsor
Approve Use of Own Funds to Purchase For For Management
Principal-guaranteed Wealth Management 1

Principal-guaranteed Wealth Management

Products

STANLEY AGRICULTURAL GROUP CO., LTD.

Meeting Date: JUN 05, 2018
Record Date: MAY 29, 2018

Security ID: Y8151R10
Meeting Type: Special Security ID: Y8151R103

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Approve Provision of Financial	For	Against	Management
	Assistance for Nehe Stanley Jufeng			
	Agricultural Service Co., Ltd.			
1.2	Approve Provision of Financial	For	Against	Management
	Assistance for Jining Stanley Tiancheng			
	Agricultural Service Co., Ltd.			
1.3	Approve Provision of Financial	For	Against	Management
	Assistance for Renqiu City Stanley			
	Agricultural Service Co., Ltd.			
1.4	Approve Provision of Financial	For	Against	Management
	Assistance for Wengniu Teqi Stanley			
	Agricultural Technology Consulting			
	Service Co., Ltd.			
1.5	Approve Provision of Financial	For	Against	Management
	Assistance for Licheng Stanley Ruihe			
	Agricultural Service Co., Ltd.			

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#### STANLEY AGRICULTURAL GROUP CO., LTD.

Ilcker: 002588 Security ID: Y8151R103
Meeting Date: MAY 16, 2018 Meeting Type: Annual
Record Date: MAY 09, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Report of the Independent Directors	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Approve Special Report on the Deposit and Usage of Raised Funds	For	For	Management
8	Approve Internal Control Self-Evaluation Report	For	For	Management
9	Approve 2018 Appointment of Auditor	For	For	Management
10	Approve 2018 Use of Idle Own Funds to Invest in Financial Products	For	For	Management
11	Approve 2018 Comprehensive Bank Credit Line Application	For	For	Management
12	Approve Provision of Guarantee	For	For	Management
13	Approve Use of Own Funds to Conduct Risk Investment	For	Against	Management
14	Approve 2018 Daily Related Party Transactions	For	For	Management
15	Amend Articles of Association	For	For	Management

### TSUI WAH HOLDINGS LIMITED

Meeting Date: AUG 25, 2017
Record Date: AUG 18, 2017

Security ID: G911501
Meeting Type: Annual Security ID: G91150105

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and	For	For	Management
	Statutory Reports			
2	Approve Final Dividend	For	For	Management
3	Approve Special Dividend	For	For	Management
4a1	Elect Lee Yuen Hong as Director	For	For	Management
4a2	Elect Lee Tsz Kin Kenji as Director	For	For	Management
4a3	Elect Cheng Chung Fan as Director	For	For	Management
4a4	Elect Cheng Yu Sang as Director	For	For	Management
4a5	Elect Tang Man Tsz as Director	For	For	Management
4a6	Elect Yim Kwok Man as Director	For	For	Management
4b	Authorize Board to Fix Remuneration of	For	For	Management
	Directors			
5	Approve Ernst & Young as Independent	For	For	Management
	Auditor and Authorize Board to Fix			
	Their Remuneration			
6	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
7	Authorize Repurchase of Issued Share	For	For	Management
	Capital			
8	Authorize Reissuance of Repurchased	For	Against	Management
	Shares			

#### VENUSTECH GROUP INC

Ticker: 002439 Security ID: Y0776410 Meeting Date: DEC 11, 2017 Meeting Type: Special Record Date: DEC 04, 2017 Security ID: Y07764106

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for Public Issuance of Convertible Bonds	For	For	Management
2	Approve Plan for Public Issuance of Convertible Bonds	For	For	Management
3.01	Approve Issue Type	For	For	Management
3.02	Approve Issue Scale	For	For	Management
3.03	Approve Amount and Issue Price	For	For	Management
3.04	1 1	For	For	_
	Approve Bond Maturity			Management
3.05	Approve Bond Interest Rate	For	For	Management
3.06	Approve Method and Term for the	For	For	Management
	Repayment of Principal and Interest			
3.07	Approve Conversion Period	For	For	Management
3.08	Approve Determination and Adjustment of	For	For	Management
	Conversion Price			_
3.09	Approve Downward Adjustment of	For	For	Management
	Conversion Price			,
3.10	Approve Method on Handling Fractional	For	For	Management
	Shares Upon Conversion			
3.11	Approve Terms of Redemption	For	For	Management
3.12	Approve Terms of Sell-Back	For	For	Management
3.13	Approve Dividend Distribution Post	For	For	Management
J. 10				

	Conversion			
3.14	Approve Issue Manner and Target	For	For	Management
	Subscribers			
3.15	Approve Placing Arrangement for	For	For	Management
	Shareholders			
3.16	Approve Matters Relating to Meetings of	For	For	Management
	Bond Holders			
3.17	Approve Use of Proceeds	For	For	Management
3.18	Approve Safekeeping of Raised Funds	For	For	Management
3.19	Approve Guarantee Matters	For	For	Management
3.20	Approve Resolution Validity Period	For	For	Management
4	Approve Report on the Usage of	For	For	Management
	Previously Raised Funds			
5	Approve Feasibility Analysis Report on	For	For	Management
	the Intended Usage of Raised Funds			
6	Approve Impact of Dilution of Current	For	For	Management
	Returns on Major Financial Indicators			
	and the Relevant Measures to be Taken			
_	as well as Relevant Undertakings			
7	Approve Rules and Procedures Regarding	For	For	Management
	the Meeting of the Bondholders	_		
8	Approve Shareholder Return Plan in the	For	For	Management
	Next Three Years	_		
9	Approve Establishment of Special	For	For	Management
	Accounts for Raised Funds			
10	Approve Authorization of Board to	For	For	Management
	Handle All Related Matters			

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#### VENUSTECH GROUP INC

Ticker: 002439 Security ID: Y07764106 Meeting Date: NOV 28, 2017 Meeting Type: Special

Record Date: NOV 21, 2017

# Proposal Mgt Rec Vote Cast Sponsor Approve Acquisition of Equity For For Management

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### WANGFUJING GROUP CO ., LTD.

Ticker: 600859 Security ID: Y0775J104
Meeting Date: SEP 04, 2017 Meeting Type: Special

Record Date: AUG 29, 2017

Proposal	Mgt Rec	Vote Cast	Sponsor
Approve Company's Eligibility for	For	For	Management
Merger by Absorption as well as			
Related-party Transactions			
Approve Plan Summary	For	For	Management
Approve Transaction Price and Pricing	For	For	Management
Basis			
Approve Payment Arrangements	For	For	Management
Approve Share Type and Par Value Per	For	For	Management
Share			
Approve Transaction Parties	For	For	Management
Approve Adjustment on Issuance Price	For	For	Management
Approve Adjustment on Issue Size	For	For	Management
	Approve Company's Eligibility for Merger by Absorption as well as Related-party Transactions Approve Plan Summary Approve Transaction Price and Pricing Basis Approve Payment Arrangements Approve Share Type and Par Value Per Share Approve Transaction Parties Approve Adjustment on Issuance Price	Approve Company's Eligibility for For Merger by Absorption as well as Related-party Transactions Approve Plan Summary For Approve Transaction Price and Pricing For Basis Approve Payment Arrangements For Approve Share Type and Par Value Per For Share Approve Transaction Parties For Approve Adjustment on Issuance Price For	Approve Company's Eligibility for For Merger by Absorption as well as Related-party Transactions Approve Plan Summary For For Approve Transaction Price and Pricing For Basis Approve Payment Arrangements For For Approve Share Type and Par Value Per For For Share Approve Transaction Parties For For Approve Adjustment on Issuance Price For For

2.08	Approve Lock-up Period	For	For	Management
2.09	Approve Listing Exchange	For	For	Management
2.10	Approve Distribution of Retained Earnings and Cumulative Earnings	For	For	Management
2.11	Approve Transitional Profit or Loss	For	For	Management
	Approve Claims and Debts Treatment as			_
2.12	well as Creditor Protection	For	For	Management
2.13	Approve Staffing Arrangements	For	For	Management
2.14	Approve Dissenting Shareholder	For	For	Management
	Protection Mechanism			
2.15	Approve Asset Delivery and Share Issuance	For	For	Management
2.16	Approve Responsibility for Breach of Contract	For	For	Management
2.17	Approve Resolution Validity Period	For	For	Management
3	Approve This Transaction Constitutes as	For	For	Management
	Related-party Transaction			-
4	Approve Transaction Complies with	For	For	Management
	Article IV of Provisions on Several			
	Issues Regulating the Major Asset			
	Restructuring of Listed Companies			
5	Approve Draft Report and Summary on	For	For	Management
	Merger by Absorption as well as			
	Related-party Transactions			
6	Approve Signing of Merger by Absorption	For	For	Management
	Agreement			
7	Approve Audit Report, Review Report and	For	For	Management
	Asset Evaluation Report Related to This			
	Transaction			
8	Approve Independence of Appraiser, the	For	For	Management
	Validity of Hypothesis, the Relevance			_
	of Valuation Purpose and Approach as			
	Well as the Fairness of Pricing			
9	Approve Basis and Fairness of Pricing	For	For	Management
	for This Transaction			_
10	Approve Impact of Dilution of Current	For	For	Management
	Returns on Major Financial Indicators			
	and the Relevant Measures to be Taken			
11	Approve Completeness and Compliance of	For	For	Management
	Implementation of Legal Proceedings of	101	- 0-	11411490110110
	the Transactions and Validity of the			
	Submitted Legal Documents Regarding			
	this Transaction			
12	Approve Authorization of Board to	For	For	Management
	Handle All Related Matters	101	1 01	11a11agement
1 2		Eom	For	Managamant
13	Elect Du Jianguo as Non-Independent Director	For	For	Management
14 01		For	For	Managaman±
	Elect Fang Zihong as Supervisor	For	For	Management
14.02	Elect Wang Hexin as Supervisor	For	For	Management

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### WANGFUJING GROUP CO ., LTD.

Ticker: 600859 Security ID: Y0775J104
Meeting Date: JUN 29, 2018 Meeting Type: Special
Record Date: JUN 25, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Amend Articles of Association	For	Against	Management
2.1	Elect Wu Gang as Non-independent	For	For	Management

Director

2.2 Elect Zhao Hong as Non-independent For For Management

Director

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#### WANGFUJING GROUP CO ., LTD.

Meeting Date: APR 13, 2018 APR 09, 2018

Security ID: Y0775J104 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution and	For	For	Management
	Dividend Distribution Plan			
5	Approve Annual Report and Summary	For	For	Management
6	Approve 2018 Appointment of Auditor	For	For	Management
7	Approve Provision of Guarantee to	For	Against	Management
	Controlled Subsidiary			

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#### WULIANGYE YIBIN CO., LTD.

Ticker: 000858 Security ID: Y9718N106 Meeting Date: JUN 08, 2018 Meeting Type: Annual

Record Date: JUN 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Annual Report	For	For	Management
2	Approve Report of the Board of	For	For	Management
	Directors			
3	Approve Report of the Board of	For	For	Management
	Supervisors			
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Budget Report	For	For	Management
7	Approve 2018 Daily Related-party	For	Against	Management
	Transactions			
8	Approve Appointment of Auditor	For	For	Management
9	Amend Articles of Association Regarding	For	For	Management
	Party Committee			

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#### XJ ELECTRIC CO., LTD.

Ticker: 000400 Meeting Date: MAY 17, 2018 Security ID: Y9722U104 Meeting Type: Annual

Record Date: MAY 11, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Annual Report and Summary	For	For	Management
2	Approve Report of the Board of	For	For	Management

	Directors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution and	For	For	Management
	Capitalization of Capital Reserves			
5	Approve Appointment of Auditor	For	For	Management
6	Approve Financial Service Agreement	For	Abstain	Management
7	Approve Amendments to Articles of	For	For	Management
	Association			
8	Approve Implementing Rules for	For	For	Management
	Cumulative Voting System (Draft)			
9	Approve Report of the Board of	For	For	Management
	Supervisors			

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#### XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104
Meeting Date: DEC 27, 2017 Meeting Type: Special
Record Date: DEC 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Zhou Qun as Non-Independent	For	For	Shareholder
	Director			
2	Approve Amendments to Articles of	For	Against	Management
	Association			
3	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			
4	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Directors			
5	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			
6	Approve Increase in 2017 Daily	For	For	Management
	Related-party Transactions			
7	Approve Estimated 2018 Daily	For	For	Management
	Related-party Transactions			
8	Approve Continued Financial Service	For	Abstain	Management
	Agreement			

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#### XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104
Meeting Date: SEP 12, 2017 Meeting Type: Special
Record Date: SEP 06, 2017

# 1.01	Proposal Elect Zhang Xusheng as Non-independent	_	Vote Cast For	Sponsor Shareholder
1.02	3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	None	For	Shareholder
2.01	Director Elect Zhang Xinchang as Supervisor	None	For	Shareholder

#### YUNNAN BAIYAO GROUP CO., LTD.

Ticker: 000538 Security ID: Y9879F108

Meeting Type: Annual Meeting Date: MAY 10, 2018

Record Date: MAY 04, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve 2018 Financial Budget Report	For	For	Management
7	Approve Appointment of Auditor and	For	For	Management
	Internal Control Auditor			
8	Approve Allowance Management System for	For	For	Management
	Independent Directors			

ZHEJIANG HUACE FILM & TV CO., LTD.

Meeting Date: JUL 11, 2017
Record Date: JUL 104 2017

Meeting Type: Constitution of the constitution of th

Record Date: JUL 04, 2017

# Proposal Mgt Rec Vote Cast Sponsor Approve Change of Partial Use of For For Management

Proceeds

ZHEJIANG YINLUN MACHINERY CO., LTD.

Meeting Date: MAY 08, 2018
Record Date: APR 26, 2018

Security ID: Y9892T1
Meeting Type: Annual Security ID: Y9892T101

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve 2018 Financial Budget Report	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Approve Comprehensive Credit Line	For	For	Management
	Application			
8	Approve Provision of Guarantee for	For	Against	Management
	Subsidiary			
9	Approve Appointment of Auditor	For	For	Management

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ZHONGBAI HOLDINGS GROUP CO., LTD.

Ticker: 000759 Security ID: Y9715V101 Meeting Type: Special Meeting Date: AUG 17, 2017 Record Date: AUG 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Issuance of Perpetual Debt	For	For	Management
2	Elect Ma Quanli as Non-independent	None	For	Shareholder
	Director			
3.01	Elect Zhu Qiang as Supervisor	None	For	Shareholder
3.02	Elect Liao Xuehua as Supervisor	None	For	Shareholder

ZHONGBAI HOLDINGS GROUP CO., LTD.

Security ID: Y9715V101
Meeting Type: Special Ticker: 000759 Meeting Date: JUN 14, 2018 Record Date: JUN 11, 2018

Proposal Mgt Rec Vote Cast Sponsor Elect Sun Jin as Independent Director For For Management

====== END NPX REPORT

### **SIGNATURES**

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Morgan Stanley China A Share Fund, Inc.

By (Signature and Title)\* /s/ John H. Gernon

John H. Gernon

President and Principal Executive Officer

Date August 28, 2018

<sup>\*</sup> Print the name and title of each signing officer under his or her signature.