Morgan Stanley China A Share Fund, Inc. Form N-PX August 24, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-21926

Morgan Stanley China A Share Fund, Inc.

(Exact name of registrant as specified in charter)

522 Fifth Avenue, New York, New York

10036

(Address of principal executive offices)

(Zip code)

Mary E. Mullin, Esq.

**Executive Director** 

Morgan Stanley Investment Management Inc.

522 Fifth Avenue

New York, New York 10036

(Name and address of agent for service)

Registrant's telephone number, including area code: 800-231-2608

Date of fiscal year end: 12/31

Date of reporting period: 7/1/16 - 6/30/17

ICA File Number: 811-21926

Reporting Period: 07/01/2016 - 06/30/2017 Morgan Stanley China A Share Fund, Inc.

======= Morgan Stanley China A Share Fund, Inc. =========

#### AISINO CORP.

Ticker: 600271
Meeting Date: DEC 19, 2016 Security ID: Y0017G124 Meeting Type: Special

Record Date: DEC 12, 2016

#	Proposal	Mgt Re	ec Vote Cast	Sponsor
1.01	Approve Criteria to Select Plan	For	For	Management
	Participants			
1.02	Approve Source and Number of Underlying	For	For	Management
	Stocks			
1.03	Approve Allocation of Restricted Stocks	For	For	Management
1.04	Approve Duration, Grant Date, Lock-up	For	For	Management
	Period and Unlocking Period			
1.05	Approve Grant Price	For	For	Management
1.06	Approve Conditions for Granting and	For	For	Management
	Unlocking			
1.07	Approve Rule on Non-Transfer and	For	For	Management
	Restriction on Sale			
1.08	Approve Methods and Procedures to	For	For	Management
	Adjust the Incentive Plan	_	_	
1.09	Approve Rights and Obligations of the	For	For	Management
	Plan Participants and the Company	_	_	
1.10	Approve How to Implement the Restricted	For	For	Management
	Stock Incentive Plan When There Are			
	Changes for the Company and Incentive			
1 11	Objects	П	For	Managanan
1.11	Approve Accounting Treatment	For For	For	Management
1.12 1.13	Approve Implementing Procedure	For	For	Management Management
1.13	Approve Principle of Restricted Stock Repurchase Cancellation	FOL	FOL	Management
2	Approve List of Participants for the	For	For	Management
۷	2016 Restricted Stocks Incentive Plan	IOI	101	Harragement
3	Approve Methods to Assess the	For	For	Management
5	Performance of Plan Participants	101	101	riarragement
4	Approve Authorization of the Board to	For	For	Management
-	Handle All Related Matters		202	
5	Elect Zhang Shaojun as Supervisor	For	For	Management
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#### AISINO CORP.

Ticker: 600271 Ticker: 600271 Security ID: Y0017G124 Meeting Date: APR 13, 2017 Meeting Type: Annual

Record Date: APR 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Adjustment to 2016 Daily	For	For	Management
	Related-party Transactions			
5	Approve Annual Report	For	For	Management
6	Approve Profit Distribution	For	For	Management
7	Amend Articles of Association	For	Abstain	Management
8	Approve Appointment of 2017 Auditor	For	For	Management
9	Approve Termination of Acquisition by	For	For	Management
	Issuance of Shares as well as			
	Withdrawal of Application Documents			
10	Approve Signing of Asset Purchase	For	For	Management
	Termination Agreement and Profit			
	Commitment Compensation Termination			
	Agreement			

### CHINA CONSTRUCTION BANK CORPORATION

Meeting Date: JUN 15, 2017
Record Date: MAY 15, 2017

Security ID: Y1397N119
Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Report of the Board of	For	For	Management
	Directors			-
2	Approve 2016 Report of Supervisors	For	For	Management
3	Approve 2016 Final Financial Accounts	For	For	Management
4	Approve 2016 Profit Distribution Plan	For	For	Management
5	Approve Budget of 2017 Fixed Assets	For	For	Management
	Investment			
6	Approve Remuneration Distribution and	For	For	Management
	Settlement Plan for Directors in 2015			
7	Approve Remuneration Distribution and	For	For	Management
	Settlement Plan for Supervisors in 2015			
8	Elect Malcolm Christopher McCarthy as	For	For	Management
	Director			
9	Elect Feng Bing as Director	For	For	Management
10	Elect Zhu Hailin as Director	For	For	Management
11	Elect Wu Min as Director	For	For	Management
12	Elect Zhang Qi as Director	For	For	Management
13	Elect Guo You as Supervisor	For	For	Management
14	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP as Auditors and Authorize			
	Board to Fix Their Remuneration			
15	Approve Issuance of Eligible Tier-2	For	For	Management
	Capital Instruments			
16.01	Approve Amendments to Articles of	For	Against	Management
	Association			
16.02	Amend Rules of Procedures Regarding	For	For	Management
	General Meetings of Shareholders'			
	General Meetings			
16.03	Amend Rules of Procedures for the Board	For	For	Management
	of Directors	_	_	
16.04	Amend Rules of Procedures for the Board	For	For	Management
	of Supervisors			

CHINA CYTS TOURS HOLDING CO., LTD.

Security ID: Y1400N106 Meeting Type: Special Ticker: 600138 Meeting Date: JUN 07, 2017

Record Date: JUN 01, 2017

Proposal Mgt Rec Vote Cast Sponsor Approve Guarantee Provision for For For Management 1

Wholly-owned Subsidiary

CHINA CYTS TOURS HOLDING CO., LTD.

Security ID: Y1400N106 Ticker: 600138 Meeting Date: NOV 16, 2016 Meeting Type: Special

Record Date: NOV 10, 2016

Mgt Rec Vote Cast Sponsor Proposal Approve Authorization of the Board of For For Management

Wuzhen Tours to Provide Guarantee
2 Approve Change in Raised Funds For For Management

Investment Project

CHINA CYTS TOURS HOLDING CO., LTD.

Ticker: Security ID: Y1400N106 600138 Meeting Date: MAY 26, 2017 Record Date: MAY 22, 2017 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Report of the Independent	For	For	Management
	Directors			
7	Elect Zhai Jinbu as Independent	For	For	Management
	Directors			
8	Approve Shareholder Return Plan in the	For	Against	Management
	Next Three Years			
9	Approve 2017 Guarantee Plan	For	Against	Management
10	Approve Signing of 2016 Related-party	For	For	Management
	Transaction Framework Agreement and			
	2017 Related-party Transactions			
11	Approve Appointment of Financial	For	For	Management
	Auditor and Internal Control Auditor			
	and Payment of Their Remuneration			

CHINA INTERNATIONAL TRAVEL SERVICE CORP. LTD.

Ticker: 601888 Security ID: Y149A3100 Meeting Date: APR 12, 2017 Meeting Type: Special

Record Date: APR 05, 2017

# Proposal Mgt Rec Vote Cast Sponsor

Approve 2017 Investment Plan For Abstain Management

Approve 2017 Draft of Financial Budget For Abstain Management

Approve Appointment of Auditor For For Management

Approve Appointment of Internal Control For For Management

Auditor

#### CHINA INTERNATIONAL TRAVEL SERVICE CORPORATION LIMITED

Ticker: 601888 Security ID: Y149A3100 Meeting Date: MAR 07, 2017 Meeting Type: Special

Record Date: FEB 27, 2017

Proposal Mgt Rec voce cast 7.1

Amend Articles of Association For For Management

Amend Procedures Regarding For For Management 1 Meetings of Board of Supervisors None For None For 3 Elect Nie Zhongquan as Supervisor Shareholder 4.01 Elect Li Gang as Non-Independent Shareholder Director None For 4.02 Elect Peng Hui as Non-Independent Shareholder Director None For 4.03 Elect Li Renzhi as Non-Independent Shareholder Director 4.04 Elect Chen Xianjun as Non-Independent None Shareholder For Director 5.01 Elect Zhang Rungang as Independent None For Shareholder Director 5.02 Elect Wang Bin as Independent Director None For Shareholder 5.03 Elect Liu Yan as Independent Director None For Shareholder

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#### CHINA INTERNATIONAL TRAVEL SERVICE CORPORATION LIMITED

Ticker: 601888 Security ID: Y149A3100 Meeting Date: FEB 15, 2017 Meeting Type: Special

Record Date: FEB 07, 2017

# Proposal Mgt Rec Vote Cast Sponsor

Approve Appointment of Auditor For For Management

Approve Appointment of Internal Control For For Management

Auditor

Approve Financial Services Agreement For For Management

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CHINA MERCHANTS SHEKOU INDUSTRIAL ZONE HOLDINGS CO., LTD.

Ticker: 001979 Security ID: Y14907102

Meeting Date: MAY 19, 2017 Meeting Type: Annual

Record Date: MAY 12, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Appointment of External Auditors	For	For	Management
7	Approve Daily Related Party Transaction	For	For	Management
8	Approve Deposit and Loan from China Merchants Bank	For	For	Management
9	Approve 2017 Provision of Guarantee Limit to Subsidiary	For	For	Management
10	Approve Provision of Financial Assistance to Associate Subsidiary	For	For	Management
11	Approve Change in Raised Funds Investment Project	For	For	Management
12	Approve Authorization in Joint Investment and Related Party Transactions	For	For	Management
13	Approve Purchase of Liability Insurance for Directors, Supervisors and Senior Management Members	For	For	Management
14	Amend Management System for External Donations	For	For	Management
15.01	Amend Related-Party Transaction Management System	For	For	Management
15.02	Amend Management System of Raised Funds	For	For	Management
16	Approve Provision of Guarantee to Subsidiary	For	For	Management

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CHINA NATIONAL NUCLEAR POWER CO., LTD.

Ticker: 601985 Security ID: Y1507R109 Meeting Date: NOV 17, 2016 Meeting Type: Special

Record Date: NOV 09, 2016

# Proposal Mgt Rec Vote Cast Sponsor
1 Approve Supplemental Financial Service For Against Management

Agreement

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CHINA NATIONAL NUCLEAR POWER CO., LTD.

Ticker: 601985 Security ID: Y1507R109
Meeting Date: SEP 09, 2016 Meeting Type: Special

Record Date: SEP 02, 2016

# Proposal Mgt Rec Vote Cast Sponsor
1 Amend Articles of Association For For Management
2.01 Elect Luan Tao as Supervisor For For Management

#### CHINA NATIONAL NUCLEAR POWER CO., LTD.

Meeting Date: JUN 09, 2017
Record Date: JUN 02, 2017

Security ID: Y1507R109
Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Report of the Independent Directors	For	For	Management
4	Approve to Adjust the Allowance of Independent Directors	For	For	Management
5	Approve Financial Statements	For	For	Management
6	Approve 2017 Financial Budget Report	For	For	Management
7	Approve Profit Distribution	For	For	Management
8	Approve 2017 Investment Plan	For	For	Management
9	Approve Annual Report and Summary	For	For	Management
10	Amend Management System of External Guarantee	For	For	Management
11	Approve Provision of Guarantee to Hunan Taohuajiang Nuclear Power Co., Ltd.	For	Against	Management
12	Approve Adjustment on Preliminary Design Project for Sanmen Nuclear Power	For	For	Management
1 2	Phase I Amend Articles of Association	Nama	7	Shareholder
13 14		None None	Against Against	Shareholder
	Amend Rules and Procedures Regarding Meetings of Board of Directors	None	Against	
15.01	Elect Zhang Tao as Non-independent Director	None	For	Shareholder
15.02	Elect Liu Bin as Non-independent Director	None	For	Shareholder
15.03	Elect Yu Jinhui as Non-independent Director	None	For	Shareholder
15.04	Elect Xiao Feng as Non-independent Director	None	For	Shareholder
15.05	Elect Shi Shuli as Non-independent Director	None	For	Shareholder
16 01	Elect Zhang Zhonglu as Supervisor	None	For	Shareholder
	Elect Hu Qinfang as Supervisor	None	For	Shareholder

#### CHINA OVERSEAS GRAND OCEANS GROUP LTD.

Security ID: Y1505S117 Meeting Type: Special Ticker: 81 Meeting Date: NOV 21, 2016

Record Date: NOV 17, 2016

Proposal Mgt Rec Vote Cast Sponsor Approve Sale and Purchase Agreement and For For Management 1 Related Transactions

CHINA OVERSEAS GRAND OCEANS GROUP LTD.

Security ID: Y1505S117 Ticker: 81 Meeting Date: MAY 23, 2017
Record Date: MAY 17, 2017 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and	For	For	Management
	Statutory Reports			
2	Approve Final Dividend	For	For	Management
3a	Elect Xiao Xiao as Director	For	For	Management
3b	Elect Yung Kwok Kee, Billy as Director	For	For	Management
3с	Elect Wang Man Kwan, Paul as Director	For	For	Management
3d	Elect Lo Yiu Ching, Dantes as Director	For	For	Management
3e	Elect Yang Lin as Director	For	For	Management
4	Authorize Board to Fix Remuneration of	For	For	Management
	Directors			
5	Approve BDO Limited as Auditors and	For	For	Management
	Authorize Board to Fix Their			
	Remuneration			
6	Authorize Repurchase of Issued Share	For	For	Management
	Capital			
7	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
8	Authorize Reissuance of Repurchased	For	Against	Management
	Shares			

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#### CHINA PACIFIC INSURANCE (GROUP) CO., LTD

Meeting Date: JUN 09, 2017
Record Date: JUN 02, 2017

Security ID: Y1505Z111

Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Report of the Board of	For	For	Management
	Directors			
2	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Annual Report of A shares	For	For	Management
4	Approve 2016 Annual Report of H shares	For	For	Management
5	Approve 2016 Financial Statements And	For	For	Management
	Statutory Reports			
6	Approve 2016 Profit Distribution Plan	For	For	Management
7	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP as PRC Auditor and Internal			
	Control Auditor and			
	PricewaterhouseCoopers as Overseas			
	Auditor and Authorize Board to Fix			
	Their Remuneration			
8	Approve 2016 Due Diligence Report	For	For	Management
9	Approve 2016 Report on Performance of	For	For	Management
	Independent Directors			
10	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
11	Approve Amendments to the Interim	For	For	Management
	Administrative Measures on Related			
	Party Transactions			

12 Approve the Development Plan for the Years 2017 to 2019	For	For	Management
13 Amend Articles of Association	For	Against	Management
14 Amend Rules and Procedures Regarding	For	For	Management
General Meetings of Shareholders			
15.01 Elect Kong Qingwei as Director	For	For	Management
15.02 Elect Huo Lianhong as Director	For	For	Management
15.03 Elect Wang Jian as Director	For	Against	Management
15.04 Elect Wang Tayu as Director	For	For	Management
15.05 Elect Kong Xiangqing as Director	For	For	Management
15.06 Elect Zhu Kebing as Director	For	For	Management
15.07 Elect Sun Xiaoning as Director	For	For	Management
15.08 Elect Wu Junhao as Director	For	For	Management
15.09 Elect Chen Xuanmin as Director	For	For	Management
15.10 Elect Bai Wei as Director	For	For	Management
15.11 Elect Lee Ka Sze, Carmelo as Director	For	Against	Management
15.12 Elect Lam Chi Kuen as Director	For	For	Management
15.13 Elect Zhou Zhonghui as Director	For	For	Management
15.14 Elect Gao Shanwen as Director	For	For	Management
16.01 Elect Zhang Xinmei as Supervisor	For	For	Management
16.02 Elect Lin Lichun as Supervisor	For	For	Management
16.03 Elect Zhou Zhuping as Supervisor	For	For	Management

#### CHINA PETROLEUM & CHEMICAL CORP.

Ticker: 386 Security ID: Y15010112
Meeting Date: JUN 28, 2017 Meeting Type: Annual
Record Date: MAY 26, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Report of the Board of	For	For	Management
	Directors			
2	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Financial Reports	For	For	Management
4	Approve 2016 Profit Distribution Plan	For	For	Management
5	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP and PricewaterhouseCoopers as			
	External Auditors and Authorize Board			
	to Fix Their Remuneration			
6	Authorize Board to Determine the 2017	For	For	Management
	Interim Profit Distribution			
7	Authorize Board to Determine the	For	Against	Management
	Proposed Plan for the Issuance of Debt			
	Financing Instruments			
8	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
9	Elect Li Yunpeng as Director	For	For	Management
10	Elect Zhao Dong as Supervisor	For	For	Shareholder
11	Amend Articles of Association and Rules	For	For	Management
	of Procedures of Board Meetings			
12	Approve Overseas Listing Plan of	For	For	Management
	Sinopec Marketing Co., Ltd.			_
13	Approve Compliance of Overseas Listing	For	For	Management
	of Sinopec Marketing Co., Ltd. with the			_
	Circular on Issues in Relation to			
	Regulating Overseas Listing of			
	Subsidiaries of Domestic-Listed			

Companies Approve Undertaking of the Company to For 14 For Management Maintain its Independent Listing Status Approve Description of the Sustainable For For 15 Management Profitability and Prospects of the Company Authorize Board to Deal with Overseas For For Management 16 Listing Matters of Sinopec Marketing Co., Ltd. Approve Provision of Assured For Against Management 17 Entitlement to H-Share Shareholders of the Company Only for Overseas Listing of Sinopec Marketing Co., Ltd.

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CHINA PETROLEUM & CHEMICAL CORP.

386 Ticker: Security ID: Y15010112 Meeting Date: JUN 28, 2017
Record Date: MAY 26 2017 Meeting Type: Special

Record Date: MAY 26, 2017

Proposal Mgt Rec Vote Cast Sponsor
Approve Provision of Assured For Against Management 1

Entitlement to H-Share Shareholders of the Company Only for Overseas Listing of Sinopec Marketing Co., Ltd.

CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Security ID: Y7503B105 Ticker: 000999 Meeting Date: DEC 22, 2016 Meeting Type: Special

Record Date: DEC 15, 2016

Mgt Rec Vote Cast Sponsor
For For Management Proposal Approve Purchase of Bank Financial For Products

2 Approve Appointment of 2016 Auditor For For Management 3 Elect Feng Yi as Supervisor None For Shareholder Shareholder

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105 Meeting Date: AUG 22, 2016 Meeting Type: Special

Record Date: AUG 15, 2016

# Proposal Mgt Rec Vote Cast Sponsor Approve Acquisition of Equity For For Management

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CHINA RESOURCES SANJIU MEDICAL & PHARMACEUTICAL CO., LTD.

Ticker: 000999 Security ID: Y7503B105

Meeting Date: MAY 22, 2017 Meeting Type: Annual

Record Date: MAY 16, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve 2017 Daily Related-party	For	For	Management
	Transactions			
7	Amend Articles of Association	For	For	Management
8	Approve Continued Business Cooperation	For	For	Management
	with Zhuhai Huarun Bank			

#### CHINA SOUTH PUBLISHING & MEDIA GROUP CO LTD

Meeting Date: MAY 17, 2017
Record Date: MAY 10, 2017

Security ID: Y15174108
Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Report of the Independent	For	For	Management
	Directors			
4	Approve Remuneration of Directors	For	For	Management
5	Approve Remuneration of Supervisors	For	For	Management
6	Approve Annual Report and Summary	For	For	Management
7	Approve Profit Distribution	For	For	Management
8	Approve Financial Statements	For	For	Management
9	Approve Appointment of Financial and	For	For	Management
	Internal Control Auditor			
10	Approve Signing of Financial Service	For	For	Management
	Agreement			
11	Approve 2016 Daily Related Party	For	For	Management
	Transactions and 2017 Daily Related			
	Party Transactions			
12	Approve Amendments to Articles of	For	Against	Management
	Association			

#### CHINA YANGTZE POWER CO., LTD.

Ticker: 600900 Security ID: Y1516Q142 Meeting Date: MAY 19, 2017 Meeting Type: Annual

Record Date: MAY 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of Supervisors	For	For	Management

3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Appointment of Financial	For	For	Management
	Auditor			
6	Approve Appointment of Internal Control	For	For	Management
	Auditor			
7.01	Elect Xia Ying as Supervisor	None	For	Shareholder

#### CHINA YANGTZE POWER CO., LTD.

Security ID: Y1516Q142 Meeting Type: Special Ticker: 600900 Meeting Date: MAR 09, 2017 Record Date: MAR 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Appointment of 2016 Financial	For	For	Management
	Report Auditor			
2	Approve Appointment of 2016 Internal	For	For	Management
	Control Auditor			
3	Approve Continued Signing of Financial	For	Against	Management
	Service Framework Agreement with Three			
	Gorges Finance Co., Ltd.			
4.01	Elect Zhang Biyi as Independent	For	For	Management
	Director			
4.02	Elect Wen Bingyou as Independent	For	For	Management
	Director			
5.01	Elect Huang Lixin as Supervisor	None	For	Shareholder

### CITIC SECURITIES CO., LTD.

Ticker: 600030 Security ID: Y1639NT Meeting Date: JUN 19, 2017 Meeting Type: Annual Record Date: MAY 19, 2017 Security ID: Y1639N117

#	Propos	al	Mgt Rec	Vote Cast	Sponsor
1	Approv	re 2016 Work Report of the Board	For	For	Management
2		re 2016 Work Report of the risory Committee	For	For	Management
3	-	re 2016 Annual Report	For	For	Management
4	Approv	re 2016 Profit Distribution Plan	For	For	Management
5	Approv Tian I	re PricewaterhouseCoopers Zhong LP and PricewaterhouseCoopers as al Auditors,	For	For	Management
	as Int	raterhouseCoopers Zhong Tian LLP ernal Control Auditor and Fix Remuneration			
6		re 2017 Estimated Investment for Proprietary Business	For	For	Management
8	Transa of the	re Potential Related Party actions Involved in the Issuances on Onshore and Offshore Corporate chancing Instruments	For	For	Management
9	for th Backed	re Increase of Authorized Amount he Business of Securitization I by Credit Asset Relating to Finance Business	For	For	Management

12	Approve Remuneration of Directors and Supervisors	For	For	Management
13.01	Approve Potential Related Party Transactions Between the Company and Its Subsidiaries and the CITIC Group and its Subsidiaries and Associates	For	For	Management
13.02	Approve Potential Related Party Transactions Between the Company and Its Subsidiaries and Companies in which Directors, Supervisors and Senior Management of the Company Hold Positions as Directors or Senior Management	For	For	Management
7.01	Approve Issuing Entity, Size of Issuance and Method of Issuance in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.02	Approve Type of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.03	Approve Term of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.04	Approve Interest Rate of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.05	Approve Security and Other Arrangements in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.06	Approve Use of Proceeds in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.07	Approve Issuing Price in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.08	Approve Targets of Issue and the Placement Arrangements of the RMB Debt Financing Instruments to the Shareholders in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.09	Approve Listing of the Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.10	Approve Resolutions Validity Period in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.11	Approve Authorization for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
10	Approve Issuance of Equity or Equity-Linked Securities without	For	Against	Management

Preemptive Rights

11 Ame	nd Articles	of	Association	For	For	Management
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CITIC SECURITIES CO., LTD.

Ticker: 600030 Security ID: Y1639N109
Meeting Date: JUN 19, 2017
Record Date: JUN 12, 2017

# 1 2	Proposal Approve 2016 Work Report of the Board Approve 2016 Work Report of the	Mgt Rec For	Vote Cast For For	Sponsor Management Management
۷	Supervisory Committee	ror	roi	Management
3	Approve 2016 Annual Report	For	For	Management
4	Approve 2016 Profit Distribution Plan	For	For	Management
5	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP and PricewaterhouseCoopers as			
	External Auditors, PricewaterhouseCoopers Zhong Tian LLP			
	as Internal Control Auditor and Fix			
	Their Remuneration			
6	Approve 2017 Estimated Investment	For	For	Management
	Amount for Proprietary Business			
7.01	Approve Issuing Entity, Size of	For	For	Management
	Issuance and Method of Issuance in			
	Relation to the Issuances of Onshore			
	and Offshore Corporate Debt Financing Instruments			
7.02	Approve Type of the Debt Financing	For	For	Management
	Instruments in Relation to the			
	Issuances of Onshore and Offshore			
	Corporate Debt Financing Instruments			
7.03	Approve Term of the Debt Financing	For	For	Management
	Instruments in Relation to the			
	Issuances of Onshore and Offshore			
7.04	Corporate Debt Financing Instruments Approve Interest Rate of the Debt	For	For	Management
7.01	Financing Instruments in Relation to	101	101	riariagement
	the Issuances of Onshore and Offshore			
	Corporate Debt Financing Instruments			
7.05	Approve Security and Other Arrangements	For	For	Management
	in Relation to the Issuances of Onshore			
	and Offshore Corporate Debt Financing			
7.06	Instruments Approve Use of Proceeds in Relation to	For	For	Management
7.00	the Issuances of Onshore and Offshore	roi	FOL	Mariagement
	Corporate Debt Financing Instruments			
7.07	Approve Issuing Price in Relation to	For	For	Management
	the Issuances of Onshore and Offshore			
	Corporate Debt Financing Instruments			
7.08		For	For	Management
	Placement Arrangements of the RMB Debt			
	Financing Instruments to the Shareholders in Relation to the			
	Issuances of Onshore and Offshore			
	Corporate Debt Financing Instruments			
7.09	Approve Listing of the Debt Financing	For	For	Management
	Instruments in Relation to the			
	Issuances of Onshore and Offshore			

7.10	Corporate Debt Financing Instruments Approve Resolutions Validity Period in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
7.11	Approve Authorization for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments in Relation to the Issuances of Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
8	Approve Potential Related Party Transactions Involved in the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments	For	For	Management
9	Approve Increase of Authorized Amount for the Business of Securitization Backed by Credit Asset Relating to Margin Finance Business	For	For	Management
10	Approve Issuance of Equity or Equity-Linked Securities without	For	Against	Management
	Preemptive Rights			
11	Preemptive Rights Amend Articles of Association	For	For	Management
11 12	± 2	For For	For For	Management Management
12	Amend Articles of Association Approve Remuneration of Directors and			_

### CITIC SECURITIES CO., LTD.

Meeting Date: JAN 19, 2017
Record Date: DEC 19, 2016

Security ID: Y1639N117
Meeting Type: Special

Caps and Related Transactions

Proposal Mgt Rec Vote Cast Sponsor
Approve Renewal of Securities and For For Management
Financial Products Transactions and # Proposal 1 Financial Products Transactions and Services Framework Agreement, Annual

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#### CITIC SECURITIES CO., LTD.

Security ID: Y1639N109 Meeting Type: Special Ticker: 600030 Meeting Date: JAN 19, 2017

Record Date: JAN 12, 2017

Mgt Rec Vote Cast Sponsor # Proposal

Approve Renewal of Securities and For For Management 1 Financial Products Transactions and Services Framework Agreement, Annual Caps and Related Transactions

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#### DAQIN RAILWAY CO., LTD.

Meeting Date: MAY 19, 2017
Record Date: MAY 15, 2017

Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Financial Statements and	For	For	Management
	2017 Financial Budget Report			
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Application for Uniformly	For	For	Management
	Registered Multi-breed Debt Financing			
	Instruments			
7	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
8	Approve Appointment of Financial	For	For	Management
	Auditor			
9	Approve Re-appointment of Internal	For	For	Management
	Control Auditor			
10.01	Elect Zhao Chunlei as Non-independent	None	For	Shareholder
	Director			
10.02	Elect Yu Meng as Non-independent	None	For	Shareholder
	Director			
10.03	Elect Guan Bolin as Non-independent	None	For	Shareholder
	Director			
10.04	Elect Huang Songqing as Non-independent	None	For	Shareholder
	Director			
10.05	Elect Tian Huimin as Non-independent	None	For	Shareholder
	Director		_	
10.06	Elect Tian Yunshan as Non-independent	None	For	Shareholder
	Director	_	_	
11.01	Elect Li Menggang as Independent	For	For	Management
11 00	Director			Managara
11.02	Elect Yang Wandong as Independent	For	For	Management
11 02	Director	П	П	Managanant
11.03	Elect Zan Zhihong as Independent	For	For	Management
11 04	Director			Management
	Elect Chen Lei as Independent Director	For	For	Management
	Elect Zheng Jirong as Supervisor	For	For	Management
	Elect Yang Jie as Supervisor	For	For	Management
12.03	Elect Liu Xingwu as Supervisor	For	For	Management

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DAQIN RAILWAY CO., LTD.

Ticker: 601006 Security ID: Y1997H108 Meeting Date: DEC 30, 2016 Meeting Type: Special

Record Date: DEC 22, 2016

# Proposal Mgt Rec Vote Cast Sponsor Approve Signing of Comprehensive For For Management

Service Framework Agreement

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#### FOCUSED PHOTONICS (HANGZHOU) INC.

Meeting Date: MAY 23, 2017
Record Date: MAY 18, 2017

Security ID: Y2574T101
Meeting Type: Annual

#	Proposal	Mat Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Appointment of Auditor	For	For	Management
7	Approve Change in Business Scope and	For	For	Management
	Amend Articles of Association			
8	Approve 2016 and 2017 Remuneration of	For	For	Management
	Directors, Supervisors and Senior			
	Management Members			
9	Approve Comprehensive Credit Line	For	For	Management
	Application from Banks and Other			
	Financial Institutions			

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#### GOERTEK INC.

Ticker: 002241 Security ID: Y2736010
Meeting Date: OCT 20, 2016 Meeting Type: Special
Record Date: OCT 14, 2016 Security ID: Y27360109

# 1.1	Proposal Elect Jiang Bin as Non-Independent Director	Mgt Rec For	Vote Cast For	Sponsor Management
1.2	Elect Jiang Long as Non-Independent Director	For	For	Management
1.3	Elect Duan Huilu as Non-Independent Director	For	For	Management
1.4	Elect Wang Yongtian as Non-Independent Director	For	For	Management
2.1	Elect Xia Shanhong as Independent Director	For	For	Management
2.2	Elect Xiao Xing as Independent Director	For	Against	Management
2.3	Elect Wang Tianmiao as Independent Director	For	For	Management
3	Elect Sun Hongbin as Supervisor	None	For	Shareholder
4	Approve Allowance of Independent Directors	For	For	Management
5	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For	Management
6	Approve to Formulate the Work System of	For	For	Management

	Independent Directors			
7	Amend Management System of Providing	For	For	Management
	External Guarantees			
8	Amend Related-Party Transaction	For	For	Management
	Management System			
9	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			
10	Amend Management System of Raised Funds	For	For	Management
11	Approve Guarantee Provision Plan	For	For	Shareholder

#### GOERTEK INC.

Ticker: 002241 Security ID: Y27360109 Meeting Date: NOV 29, 2016 Record Date: NOV 24, 2016 Meeting Type: Special

Proposal Mgt Rec Vote Cast Sponsor 1 Elect Liu Chengmin as Non-independent For For Management

Director

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#### GOERTEK INC.

Ticker: 002241 Security ID: Y27360109 Meeting Date: MAR 01, 2017 Meeting Type: Special

Record Date: FEB 22, 2017

Mgt Rec Vote Cast Sponsor
For For Management Proposal 1 Approve Management System of Investment For Risks

Approve Acquisition Agreement

For

For

### GOERTEK INC.

Ticker: 002241 Security ID: Y27360109 Meeting Date: APR 14, 2017 Meeting Type: Annual Record Date: APR 10, 2017

Proposal Mgt Rec Vote Cast Sponsor Approve Report of the Board of For For Management 1 Directors For For 2 Approve Report of the Board of Management Supervisors Approve Financial Statements For For Management
Approve Annual Report and Summary For For Management
Approve Profit Distribution For For Management
Approve Report on the Deposit and Usage For For Management 3 5 6 of Raised Funds Approve Guarantee Provision to Foreign For For Management 7 Loan of Hong Kong Subsidiary Amend Articles of Association For For Management Approve Internal Audit System For For Management Amend Articles of Association 8 9

Management

HENAN PINGGAO ELECTRIC CO., LTD.

Security ID: Y3122A107 Meeting Type: Special Ticker: 600312 Meeting Date: DEC 13, 2016

Record Date: DEC 08, 2016

Mgt Rec Vote Cast Sponsor
Amend Articles of Association For For Management
Approve Arrangements on the Use of Proceeds from 2015 Private Placement

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HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Meeting Date: JUL 20, 2016 Security ID: Y3122A107 Meeting Type: Special

Record Date: JUL 15, 2016

Proposal Mgt Rec Vote Cast Sponsor 1 Elect Cheng Limin as Non-Independent None For Shareholder

Director

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HENAN PINGGAO ELECTRIC CO., LTD.

Ticker: 600312 Security ID: Y3122A107 Meeting Date: OCT 31, 2016 Meeting Type: Special

Record Date: OCT 26, 2016

Proposal Mgt Rec Vote Cast Sponsor
Approve Daily Related Party Transaction For Against Management 1

(Framework) Agreement with Related

Party

Amend Rules and Procedures Regarding For For Management

Meetings of Board of Supervisors

3 Approve Appointment of 2016 Auditor and For Against Management

Internal Control Auditor

4 Amend Articles of Association For For Management

#### INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

1398 Security ID: Y3990B104 Meeting Date: JUN 27, 2017 Meeting Type: Annual

Record Date: MAY 26, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Work Report of the Board	For	For	Management
	of Directors			
2	Approve 2016 Work Report of the Board	For	For	Management
	of Supervisors			
3	Approve 2016 Audited Accounts	For	For	Management
4	Approve 2016 Profit Distribution Plan	For	For	Management
5	Approve 2017 Fixed Asset Investment	For	For	Management

	Budget			
6	Approve KPMG Huazhen LLP as the	For	For	Management
	Domestic External Auditor and KPMG as			
	the International External Auditor and			
	Authorize Board to Fix Their			
	Remuneration			
7	Elect Ye Donghai as Director	For	For	Shareholder
8	Elect Mei Yingchun as Director	For	For	Shareholder
9	Elect Dong Shi as Director	For	For	Shareholder
10	Amend Articles of Association	For	For	Shareholder

#### INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED

Ticker: 1398 Security ID: Y3990B1
Meeting Date: NOV 29, 2016 Meeting Type: Special
Record Date: OCT 28, 2016 Security ID: Y3990B104

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Gu Shu as Director	For	For	Management
2	Elect Sheila Colleen Bair as Director	For	For	Management
3	Approve 2015 Remuneration Plan to	For	For	Management
	Directors and Supervisors			
4	Elect Wang Jingdong as Director	For	For	Shareholder

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#### INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y40847108 Meeting Date: DEC 26, 2016
Record Date: DEC 16, 2016 Meeting Type: Special

# 1	Proposal Approve Draft of Stock Option Incentive	Mgt Rec For	Vote Cast For	Sponsor Management
	Plan and Restricted Stock Option Plan			
	and its Summary			
2	Approve Methods to Assess the	For	For	Management
	Performance of Plan Participants			
3	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			

#### INNER MONGOLIA YILI INDUSTRIAL GROUP CO., LTD.

Ticker: 600887 Security ID: Y408DG116 Meeting Type: Annual Meeting Date: APR 21, 2017

Record Date: APR 12, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Annual Report and Summary	For	For	Management
2	Approve Report of the Board of	For	For	Management
	Directors			
3	Approve Report of the Board of	For	For	Management
	Supervisors			
4	Approve Company's Operating Strategy and Investment Plan	For	For	Management

5	Approve Financial Statements and 2017 Financial Budget Report	For	For	Management
6	Approve Profit Distribution	For	For	Management
7.01	Elect Pan Gang as Non-independent	For	For	Management
, • • •	Director	101	101	11411490110110
7.02	Elect Liu Chunhai as Non-independent	For	For	Management
	Director			
7.03	Elect Hu Liping as Non-independent	For	For	Management
	Director			,
7.04	Elect Wang Xiaogang as Non-independent	For	For	Management
	Director			
7.05	Elect Yan Junrong as Non-independent	For	For	Management
	Director			
7.06	Elect Zhang Junping as Non-independent	For	For	Management
	Director			
7.07	Elect Gao Debu as Independent Director	For	For	Management
7.08	Elect Gao Hong as Independent Director	For	For	Management
7.09	Elect Zhang Xinling as Independent	For	For	Management
	Director			
7.10	Elect Lv Gang as Independent Director	For	For	Management
7.11	Elect Xiao Bin as Independent Director	For	For	Management
8.01	Elect Zhan Yiwen as Supervisor	For	For	Management
8.02	Elect Peng Heping as Supervisor	For	For	Management
9	Approve Remuneration of Directors	For	For	Management
10	Approve Remuneration of Supervisors	For	For	Management
11	Approve Report of the Independent	For	For	Management
	Directors			
12	Approve Provision of Guarantee by	For	For	Management
	Subsidiary			
13	Approve Amendments to Articles of	For	For	Management
	Association			
14	Approve Issuance of Medium-term Notes	For	For	Management
	and Ultra Short-term Financial Bill			
15	Approve Appointment of Financial and	For	For	Management
	Internal Control Auditor as well as			
	Fixing the Remuneration			

#### JIANGSU EXPRESSWAY CO. LTD.

Ticker: 177 Security ID: Y4443L111
Meeting Date: OCT 27, 2016 Meeting Type: Special
Record Date: SEP 26, 2016

# Proposal Mgt Rec Vote Cast Sponsor
1.01 Elect Gu Dejun as Director For For Management
1.02 Elect Wu Xinhua as Director For For Management

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#### JIANGSU EXPRESSWAY CO. LTD.

Ticker: 00177 Meeting Date: JUN 22, 2017 Security ID: Y4443L111 Meeting Type: Annual

Record Date: MAY 22, 2017

# Proposal Mgt Rec Vote Cast Sponsor Approve 2016 Report of the Board of For For Management Directors

2	Approve 2016 Report of the Supervisory Committee	For	For	Management
3	Approve 2016 Audit Report	For	For	Management
4	Approve 2016 Final Account Report	For	For	Management
5	Approve 2017 Financial Budget Report	For	For	Management
6	Approve 2016 Final Profit Distribution Plan and Final Dividend	For	For	Management
7	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
8	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Internal Control Auditor and Authorize Board to Fix Their Remuneration	For	For	Management
9	Approve Issuance of Ultra-short-term Notes	For	For	Management
10	Approve Lending of Funds Raised by the Issuance of Ultra-short Term Notes	For	For	Management
11.01	Elect Yao Yongjia as Director	For	For	Management
12.01	Elect Chen Zhongyang as Supervisor	For	For	Management

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#### JIANGSU YANGHE BREWERY JOINT-STOCK CO., LTD.

Ticker: 002304 Security ID: Y444AE101 Meeting Date: MAY 19, 2017 Meeting Type: Annual Record Date: MAY 16, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Re-appointment of 2017	For	For	Management
	Financial Auditor			
7	Elect Wang Kai as Non-independent	For	For	Management
	Director			
8	Approve Amendments to Articles of	For	For	Management
	Association			

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#### KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108 Meeting Date: MAY 18, 2017 Meeting Type: Annual

Record Date: MAY 12, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management

5	Approve Profit Distribution	For	For	Management
6	Approve Report on the Deposit and Usage	For	For	Management
	of Raised Funds			
7	Approve Internal Control	For	For	Management
	Self-Evaluation Report			
8	Approve Re-appointment of Auditor	For	For	Management
9	Approve Application of Bank Credit	For	For	Management
	Financing			
10	Approve Provision of Guarantee to	For	For	Management
	Controlled Subsidiary			
11	Approve Use of Idle Raised Funds to	For	For	Management
	Conduct Cash Management			
12	Approve Use of Idle Own Funds to	For	For	Management
	Conduct Cash Management			
13	Approve Employee Share Purchase Plan	For	For	Management
	(Draft)			
14	Approve Management System for Employee	For	For	Management
	Share Purchase Plan			
15	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			

#### KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108 Meeting Date: AUG 12, 2016 Meeting Type: Special

Record Date: AUG 08, 2016

# Proposal Mgt Rec Vote Cast Sponsor
1 Approve Provision of Guarantee to For For Management
Controlled Subsidiary

#### KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A10
Meeting Date: JUN 23, 2017 Meeting Type: Special
Record Date: JUN 19, 2017 Security ID: Y7684A108

# 1 2	Proposal Approve Profit Distribution Elect Yang Yan as Supervisor	Mgt Rec For None	Vote Cast For	Sponsor Management Shareholder
3.01	Elect Wan Lianbu as Non-Independent Director	None	For	Shareholder
3.02	Elect Zhang Xiaoyi as Non-Independent Director	None	For	Shareholder
3.03	Elect Gao Yiwu as Non-Independent Director	None	For	Shareholder
3.04	Elect Chen Hongkun as Non-Independent	None	For	Shareholder
3.05	Elect Cui Bin as Non-Independent Director	None	For	Shareholder
4.01	Elect Wang Rong as Independent Director	None	For	Shareholder
4.02	Elect Wang Xiaofeng as Independent Director	None	For	Shareholder
4.03	Elect Zhu Zuqiang as Independent Director	None	For	Shareholder
4.04	Elect Lv Xiaofeng as Independent	None	For	Shareholder

Director

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#### KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108 Meeting Date: NOV 18, 2016 Meeting Type: Special

Record Date: NOV 11, 2016

# Proposal Mgt Rec Vote Cast Sponsor 1 Approve Provision of Guarantee For For Management

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#### KINGENTA ECOLOGICAL ENGINEERING GROUP CO LTD

Ticker: 002470 Security ID: Y7684A108 Meeting Date: MAR 15, 2017 Meeting Type: Special

Record Date: MAR 09, 2017

# Proposal Mgt Rec Vote Cast Sponsor
1 Approve Expand in Business Scope and For For Management

Amend Article of Association

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#### MIDEA GROUP CO., LTD.

Ticker: 000333 Security ID: Y6S40V103
Meeting Date: APR 21, 2017 Meeting Type: Annual

Record Date: APR 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Annual Report and Summary	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Phase 4 Stock Option Incentive	For	For	Management
	Plan and Its Summary			
7	Approve Methods to Assess the	For	For	Management
	Performance of Plan Participants In			
	Connection to Phase 4 Stock Option			
	Incentive Plan			
8	Approve Authorization of the Board to	For	For	Management
	Handle All Matters Related to Phase 4			
	Stock Option Incentive Plan			
9	Approve 2017 Draft and Summary of	For	For	Management
	Restricted Stock Incentive Plan			
10	Approve Methods to Assess the	For	For	Management
	Performance of Plan Participants In			
	Connection to Restricted Stock			
	Incentive Plan			
11	Approve Authorization of the Board to	For	For	Management
	Handle All Matters Related to			
	Restricted Stock Incentive Plan			

12.01	Approve Guarantee Provision for Midea Group Finance Co., Ltd	For	For	Management
12.02	Approve Guarantee Provision for Guangdong Midea Refrigeration Equipment	For	For	Management
12.03	Co., Ltd Approve Guarantee Provision for Guangzhou Hualing Refrigeration Equipment Co., Ltd	For	For	Management
12.04	Approve Guarantee Provision for Foshan Midea Kaili Refrigeration Equipment Co., Ltd	For	For	Management
12.05	Approve Guarantee Provision for Guangdong Midea Kitchen Appliances Manufacturing Co., Ltd	For	For	Management
12.06	Approve Guarantee Provision for Guangdong Weite Vacuum Electronics Manufacturing Co., Ltd	For	For	Management
12.07	Approve Guarantee Provision for Foshan Shuide Midea Washing Machine Manufacturing Co., Midea	For	For	Management
12.08	Approve Guarantee Provision for	For	For	Management
12.09	Guangdong Midea Warm Equipment Co., Ltd Approve Guarantee Provision for Guangdong Midea Commercial Air	For	For	Management
12.10	Conditioning Equipment Co., Ltd Approve Guarantee Provision for Guangdong Midea Xikesi Electronic Co.,	For	For	Management
12.11	Approve Guarantee Provision for Guangdong Midea Daily Appliances	For	For	Management
12.12	Manufacturing Co., Ltd Approve Guarantee Provision for Foshan Shunde Midea Electrical Heating Appliances Manufacturing Co., Ltd	For	For	Management
12.13	Approve Guarantee Provision for Guangdong Midea Kitchen & Toilet Appliances Manufacturing Co., Ltd	For	For	Management
12.14	Approve Guarantee Provision for Foshan Shunde Media Drinking Machine Manufacturing Co., Ltd	For	For	Management
12.15	Approve Guarantee Provision for Foshan Midea Qinghu Water Purifier Equipment Co., Ltd	For	For	Management
12.16	Approve Guarantee Provision for Guangdong Midea Environmental Appliance Manufacturing Co., Ltd	For	For	Management
12.17	Approve Guarantee Provision for Guangdong Meizhi Refrigeration Equipment Co., Ltd	For	For	Management
12.18	Approve Guarantee Provision for Guangdong Meizhi Precision Manufacturing Co., Ltd	For	For	Management
12.19	Approve Guarantee Provision for Guangdong Welling Electric Manufacturing Co., Ltd	For	For	Management
12.20	Approve Guarantee Provision for Foshan Welling Washing Appliance Manufacturing Co., Ltd	For	For	Management
12.21	Approve Guarantee Provision for Ningbo Midea Union Resource Supply Co., Ltd	For	For	Management
12.22	Approve Guarantee Provision for Foshan Welling Material Supply Co., Ltd	For	For	Management

12.23	Approve Guarantee Provision for Guangzhou Kaizhao Commercial Trade Co., Ltd	For	For	Management
12.24	Approve Guarantee Provision for Guangdong Midea Group Wuhu Refrigeration Equipment Co., Ltd	For	For	Management
12.25	Approve Guarantee Provision for Wuhu Meizhi Air Conditioning Equipment Co., Ltd	For	For	Management
12.26	Approve Guarantee Provision for Hefei Midea Refrigerator Co., Ltd	For	For	Management
12.27	Approve Guarantee Provision for Hubei Midea Refrigerator Co., Ltd	For	For	Management
12.28	Approve Guarantee Provision for Hefei Hualing Co., Ltd	For	For	Management
12.29	Approve Guarantee Provision for Guangzhou Midea Hualing Refrigerator Co., Ltd	For	For	Management
12.30	Approve Guarantee Provision for Hefei Midea Warm Equipment Co., Ltd	For	For	Management
12.31	Approve Guarantee Provision for Hefei Meilianbo Air Conditioning Equipment Co., Ltd	For	For	Management
12.32	Approve Guarantee Provision for Wuhu Midea Kitchen & Toilet Appliances Manufacturing Co., Ltd	For	For	Management
12.33	Approve Guarantee Provision for Wuhu Midea Kitchen Appliance Manufacturing Co., Ltd	For	For	Management
12.34	Approve Guarantee Provision for Anhui Meizhi Refrigeration Equipment Co., Ltd	For	For	Management
12.35	Approve Guarantee Provision for Anhui Meizhi Precision Manufacturing Co., Ltd	For	For	Management
12.36	Approve Guarantee Provision for Welling Wuhu Electric Motor Manufacturing Co.,		For	Management
12.37	Approve Guarantee Provision for Ande Zhilian Technology Co., Ltd	For	For	Management
12.38	Approve Guarantee Provision for Hefei Midea Washing Machine Co., Ltd	For	For	Management
12.39	Approve Guarantee Provision for Jiangsu Midea Cleaning Appliances Co., Ltd	For	For	Management
12.40	Approve Guarantee Provision for Jiangxi Midea Guiya Lighting Co., Ltd	For	For	Management
12.41	Approve Guarantee Provision for Chongqing Midea General Refrigeration Equipment Co., Ltd	For	For	Management
12.42	Approve Guarantee Provision for Zhejiang Meizhi Compressor Co., Ltd	For	For	Management
12.43	Approve Guarantee Provision for Midea International Holding Co., Ltd	For	For	Management
12.44	Approve Guarantee Provision for Midea International Trade Co., Ltd	For	For	Management
12.45	Approve Guarantee Provision for Midea BVI Ltd	For	For	Management
12.46	Approve Guarantee Provision for Midea Refrigeration Hong Kong Ltd	For	For	Management
12.47	Approve Guarantee Provision for Kapu Macau Overseas Business Services Ltd	For	For	Management
12.48	Approve Guarantee Provision for Welling International Hong Kong Ltd	For	For	Management
12.49	Approve Guarantee Provision for Midea	For	For	Management

	Investment Development Co., Ltd			
12.50	Approve Guarantee Provision for Main Power Electrical Factory Ltd	For	For	Management
12.51	Approve Guarantee Provision for Midea Appliances Singapore Trade Pte., Ltd	For	For	Management
12.52	Approve Guarantee Provision for Toshiba Daily Appliance Co., Ltd	For	For	Management
12.53	Approve Guarantee Provision for Midea Daily Appliances Vietnam Co., Ltd	For	For	Management
12.54	Approve Guarantee Provision for Springer Carrier Ltda. and Climazon Industrial Ltda.	For	For	Management
12.55	Approve Guarantee Provision for Carrier (Chile) $S.A$	For	For	Management
12.56	Approve Guarantee Provision for Midea Electrics Netherlands B.V.	For	For	Management
12.57	Approve Guarantee Provision for MIDEA AMERICA CORP.	For	For	Management
12.58	Approve Guarantee Provision for PT.MIDEA Planet Indonesia	For	For	Management
	Approve Guarantee Provision for Midea Scott & English Electronics SDN BHD	For	For	Management
12.60	Approve Guarantee Provision for MIDEA AMERICA(CANANDA) CORP	For	For	Management
12.61	Approve Guarantee Provision for MIDEA MEXICO, S. DE R.L. DE C.V	For	For	Management
12.62	Approve Guarantee Provision for Orient Household Appliances Ltd.	For	For	Management
12.63	Approve Guarantee Provision for Midea Electric Netherlands (I) B.V.	For	For	Management
	Approve Guarantee Provision for Midea India Private Limited	For	For	Management
	Approve Guarantee Provision for Midea Electric Trading (Thailand) Limited	For	For	Management
12.66	Approve Guarantee Provision for Misr Refrigeration and Air Conditioning Manufacturing Co.	For	For	Management
12.67	Approve Guarantee Provision for Concepcion Midea INC	For	For	Management
13	Approve 2017 Forward Foreign Exchange Transactions Investment Special Report	For	For	Management
14	Approve 2017 Use of Own Funds to Conduct Entrusted Asset Management	For	For	Management
15	Amend Articles of Association	For	For	Management
16	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			,
17	Approve Appointment of Auditor	For	For	Management
18	Amend Articles of Association Due to Business Need	None	For	Shareholder
19.01	Elect Yin Bitong as Non-Independent Director	For	For	Management
19.02	Elect Zhu Fengtao as Non-Independent Director	For	For	Management

### NARI TECHNOLOGY DEVELOPMENT LTD CO

Ticker: 600406 Security ID: Y6S99Q112
Meeting Date: DEC 13, 2016 Meeting Type: Special
Record Date: DEC 06, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Change of Auditor	For	For	Management
2	Approve Change of Business Scope and	For	For	Management
	Amend Articles of Association			
3	Approve Increase Amount in 2016 Daily	For	For	Management
	Related-party Transactions			

#### NARI TECHNOLOGY DEVELOPMENT LTD CO

Security ID: Y6S99Q112 Meeting Type: 0 Ticker: 600406 Meeting Date: JAN 19, 2017

Record Date: JAN 12, 2017

Proposal Mgt Rec Vote Cast Sponsor
Approve Change in Registered Address For For Management

and Amend Articles of Association

#### NARI TECHNOLOGY DEVELOPMENT LTD CO

Meeting Date: MAY 23, 2017 Record Date: MAY 16. 2017 Security ID: Y6S99Q112 Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Financial Statements	For	For	Management
2	Approve 2016 Profit Distribution	For	For	Management
3	Approve 2016 Report of the Independent	For	For	Management
	Directors			
4	Approve 2016 Report of the Board of	For	For	Management
	Directors			
5	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
6	Approve 2016 Annual Report and Summary	For	For	Management
7	Approve 2017 Financial Budget Report	For	For	Management
8	Approve Daily Related-party	For	Against	Management
	Transactions and Financial Service			
	Agreement with Related-party			
9	Approve Appointment of 2017 Financial	For	For	Management
	Auditor and Internal Control Auditor			

#### NARI TECHNOLOGY DEVELOPMENT LTD CO

Security ID: Y6S99Q112 Meeting Type: Special Ticker: 600406 Meeting Date: MAR 27, 2017

Record Date: MAR 20, 2017

Proposal Mgt Rec Vote Cast Sponsor
Approve Continued Suspension of Trading For For Management 1

in Connection to Major Asset

Restructuring

NAVINFO CO., LTD.

Meeting Date: MAY 18, 2017
Record Date: MAY 15, 2017

Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Annual Report and Summary	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve Appointment of Auditor	For	For	Management
7.1	Elect Wu Jinfeng as Director	For	For	Management
7.2	Elect Sun Yuguo as Director	For	For	Management
7.3	Elect Cheng Peng as Director	For	For	Management
7.4	Elect Zheng Yongjin as Director	For	For	Management
7.5	Elect Zhong Xiangping as Director	For	For	Management
7.6	Elect Zhan Weibiao as Director	For	For	Management
8.1	Elect Ren Guangming as Director	For	For	Management
8.2	Elect Li Xiang as Director	For	For	Management
8.3	Elect Wu Aijin as Director	For	For	Management
9.1	Elect Xu Jinhui as Supervisor	For	For	Management
9.2	Elect Liu Zheng as Supervisor	For	For	Management
10	Approve Adjustment to the Allowance of	For	For	Management
	Independent Directors			
11	Approve Change in Registered Capital and Amend Articles of Association	For	For	Management
12	Approve 2017 Daily Related Party Transactions	For	For	Management
13	Approve Provision of Guarantee to Wholly Owned Subsidiary	For	For	Management
14	Approve Application of Loan by Wholly Owned Subsidiary	For	For	Management
15	Approve Participation in the Investment to Establish M & A Funds	For	Abstain	Management
16	Approve Shouldering of Difference Make-up Responsibility in Connection to Establish M & A Funds	For	Against	Management
17	Approve Additional Investment in the Construction of Production Base and Office Building Using Portion of Raised Funds and Interest	For	For	Management

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POLY REAL ESTATE GROUP CO., LTD.

Ticker: 600048 Security ID: Y6987R107 Meeting Date: AUG 12, 2016 Meeting Type: Special

Record Date: AUG 03, 2016

# Proposal Mgt Rec Vote Cast Sponsor 1.01 Approve Criteria to Select Plan For Against Management Participants 1.02 Approve Source, Number and Type of For Against Management Underlying Stocks

1.03 1.04	Approve Granting of Underlying Stocks Approve Duration, Grant Date, Exercise Date and Lock-up Period	For For	Against Against	Management Management
1.05	Approve Exercise Price and	For	Against	Management
1.06	Price-setting Basis Approve Conditions for Granting and Exercising	For	Against	Management
1.07	Approve Method and Procedure to	For	Against	Management
1.08	Adjustment the Incentive Plan Approve Accounting Treatment and Effect to Company's Performance	For	Against	Management
1.09	Approve Procedures for Granting and	For	Against	Management
1.10	Exercising Approve Rights and Obligations of the Plan Participants and the Company	For	Against	Management
1.11	Approve Conditions to Change or Terminate the Incentive Plan & Other	For	Against	Management
2.	Matters Approve Authorization of the Board to	For	Against	Management
_	Handle All Related Matters		119011100	Harragemerre
3	Approve Appraisal Measures of the Stock Option Incentive Plan	For	Against	Management
4	Approve Management Method for the Stock Option Incentive Plan	For	Against	Management

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#### POLY REAL ESTATE GROUP CO., LTD.

Meeting Date: MAY 11, 2017
Record Date: MAY 02, 2017 Security ID: Y6987R107 Meeting Type: Annual

1	#	Proposal	Mgt Rec	Vote Cast	Sponsor
-	1	Approve Report of the Board of	For	For	Management
		Directors			
2	2	Approve Report of the Board of	For	For	Management
		Supervisors			
3	3	Approve 2017 Investment Plan	For	For	Management
4	4	Approve Financial Statements	For	For	Management
ŗ	5	Approve Profit Distribution and	For	For	Management
		Capitalization of Capital Reserves			
(	6	Approve Annual Report and Summary	For	For	Management
-	7	Approve 2017 External Guarantee	For	Abstain	Management
		Provision			
8	3	Approve Appointment of Auditor	For	For	Management
(	9	Approve Application of Loan from China	For	For	Management
		Poly Group Co. and Guarantees			
-	10	Approve Related Party Transactions with	For	Against	Management

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11 Approve Related-party Transactions For Abstain Management

POLY REAL ESTATE GROUP CO., LTD.

Poly Finance Co., Ltd.

Between Company and Joint Ventures

Ticker: 600048 Security ID: Y6987R107
Meeting Date: MAR 16, 2017 Meeting Type: Special
Record Date: MAR 07, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Issuance of Debt Financing	For	Against	Management
	Instruments			
2	Approve Amendments to Articles of	For	Against	Management
	Association			

#### POLY REAL ESTATE GROUP CO., LTD.

Meeting Date: DEC 29, 2016
Record Date: DEC 20, 2016

Record Date: DEC 20, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Xing Yi as Non-Independent	None	For	Shareholder
	Director			
2	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Directors			
3	Approve Shareholder Return Plan in the	For	For	Management
	Next Three Years			

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#### QINGDAO HAIER CO., LTD.

Ticker: 600690 Ticker: 600690 Security ID: Y7166P1
Meeting Date: JUN 28, 2017 Meeting Type: Annual Security ID: Y7166P102 Record Date: JUN 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Financial Statements	For	For	Management
2	Approve Annual Report and Summary	For	For	Management
3	Approve Report of the Board of	For	For	Management
	Directors			
4	Approve Internal Control	For	For	Management
	Self-Evaluation Report			
5	Approve Profit Distribution	For	For	Management
6	Approve Appointment of Auditor	For	For	Management
7	Approve 2017 Daily Related Party	For	For	Management
	Transactions			
8	Approve 2017 Provision of Guarantee to	For	For	Management
	Subsidiary			
9	Approve Formulation of Foreign Exchange	For	For	Management
	Fund Derivatives Business			
10	Approve Amendments to Articles of	For	For	Management
	Association			
11	Amend Management System of Providing	For	For	Management
	External Guarantees			_
12	Amend Investment Management System	For	For	Management
13	Amend Working System for Independent	For	For	Management
	Directors			_
14	Approve Formulation of Management	For	For	Management
	System for Foreign Exchange Derivative			
	Transactions			
15	Approve Report of the Board of	For	For	Management
	Supervisors			· ·
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SAIC MOTOR CORP., LTD.

Ticker: 600104 Security ID: Y7443N102 Meeting Date: MAY 25, 2017 Meeting Type: Annual

Record Date: MAY 18, 2017

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Report of the Independent Directors	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Financial Statements	For	For	Management
6	Approve Annual Report and Summary	For	For	Management
7	Approve Use of Remaining Raised Funds from 2010 Private Placement to	For	For	Management
_	Replenish Working Capital			
8	Approve Guarantee Provision for	For	Abstain	Management
_	Shanghai GM Auto Financing Co., Ltd			
9	Approve Guarantee Provision by Global Chexiang Auto Leasing Co., Ltd to Its Subsidiary	For	For	Management
10	Approve Guarantee Provision by Huayu	For	Abstain	Management
10	Auto System Co., Ltd. to Huayu Ke'erben Shimite Aluminium Technology Co., Ltd		110000111	11411490110110
11	Approve Guarantee Provision by Huayu	For	Abstain	Management
	Auto System Co., Ltd. to Its Controlled Subsidiaries			J
12	Approve Appointment of Financial Auditor	For	For	Management
13	Approve Appointment of Internal Control Auditor	For	For	Management

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SAIC MOTOR CORP., LTD.

Ticker: 600104 Security ID: Y7443N102 Meeting Date: DEC 01, 2016 Meeting Type: Special

Record Date: NOV 24, 2016

# Proposal Mgt Rec Vote Cast Sponsor

Approve Extension of Resolution For For Management
Validity Period on Private Placement

Approve Extension on Authorization of For For Management
the Board on Private Placement

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SHANGHAI JAHWA UNITED CO., LTD.

Ticker: 600315 Security ID: Y7685E109 Meeting Date: JUN 28, 2017 Meeting Type: Special

Record Date: JUN 20, 2017

# Proposal Mgt Rec Vote Cast Sponsor

1	Approve Acquisition Plan	For	For	Management
2	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
3	Approve Provision of Internal Deposit	For	For	Management
	for the External Loan of Subsidiary			
4	Approve Increase in Financial	For	Abstain	Management
	Investment			
5	Approve Amendments to Articles of	For	For	Management
	Association			

#### SHANGHAI JAHWA UNITED CO., LTD.

Ticker: 600315 Security ID: Y7685E109
Meeting Date: APR 27, 2017 Meeting Type: Annual
Record Date: APR 19, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of Directors	For	For	Management
2	Approve Report of the Board of Supervisors	For	For	Management
3	Approve Annual Report	For	For	Management
4	Approve Financial Statements	For	For	Management
5	Approve Annual Profit Distribution Plan	For	For	Management
6	Approve Repurchase and Cancellation on	For	For	Management
	Portion of the Restricted Shares in			
	Connection to 2015 Stock Option			
	Incentive Plan			
7	Approve 2017 Financial Budget Report	For	Abstain	Management
8	Approve Appointment of 2017 Financial	For	For	Management
	and Internal Control Auditor			
9	Approve 2017 Daily Related Party	For	Abstain	Management
	Transactions with China Ping An			
	Insurance (Group) Co., Ltd. and Its			
	Subsidiaries			
10	Approve Purchase of Liability Insurance	For	For	Management
	for Directors, Supervisors and Senior			
	Executives			

#### SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

Ticker: 601607 Security ID: Y7685S108 Meeting Date: JUN 23, 2017 Meeting Type: Annual Record Date: JUN 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Report of the Board of	For	For	Management
	Directors			
2	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Annual Report	For	For	Management
4	Approve 2016 Final Accounts Report	For	For	Management
5	Approve 2017 Financial Budget	For	For	Management
6	Approve 2016 Profit Distribution Plan	For	For	Management
7	Approve Proposal Regarding Payment of	For	For	Management
	Auditor's Fees for 2016			

8	Approve Auditors	For	For	Management
9	Approve Proposal Regarding External	For	For	Management
	Guarantees for 2017			
10	Approve Amendments to the Commitment	For	For	Management
	Regarding the Shares Held by Employees			
	and the Employee Share Ownership			
	Committee			
11	Approve Issuance of Debt Financing	For	For	Management
	Products			
12	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			

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#### SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

Ticker: 601607 Security ID: Y7685S116
Meeting Date: JUN 23, 2017 Meeting Type: Annual
Record Date: JUN 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Report of the Board of	For	For	Management
	Directors			
2	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Annual Report	For	For	Management
4	Approve 2016 Final Accounts Report	For	For	Management
5	Approve 2017 Financial Budget	For	For	Management
6	Approve 2016 Profit Distribution Plan	For	For	Management
7	Approve Proposal Regarding Payment of	For	For	Management
	Auditor's Fees for 2016			
8	Approve Auditors	For	For	Management
9	Approve Proposal Regarding External	For	For	Management
	Guarantees for 2017			
10	Approve Amendments to the Commitment	For	For	Management
	Regarding the Shares Held by Employees			
	and the Employee Share Ownership			
	Committee			
11	Approve Issuance of Debt Financing	For	For	Management
	Products			
12	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			

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#### SHANGHAI PHARMACEUTICALS HOLDING CO., LTD.

Ticker: 601607 Security ID: Y7685S116 Meeting Date: OCT 20, 2016 Meeting Type: Special

Record Date: OCT 11, 2016

Preemptive Rights

# Proposal Mgt Rec Vote Cast Sponsor
1 Elect Zhou Jun as Director For For Management
2 Amend Articles of Association For For Management

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SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103 Meeting Date: JUN 29, 2017 Meeting Type: Annual

Record Date: JUN 22, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution	For	For	Management
5	Approve Annual Report and Summary	For	For	Management
6	Approve Daily Related-party	For	For	Management
	Transactions and Approve 2017 Daily			
	Related-party Transactions			

SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103 Meeting Date: NOV 09, 2016 Meeting Type: Special

Record Date: NOV 03, 2016

# Proposal Mgt Rec Vote Cast Sponsor 1.1 Elect Luo Yude as Non-independent For For Management

For None 1.2 Elect Chen Jinzu as Non-Independent Shareholder

Director

None For Amend Articles of Association Shareholder

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SHENZHEN AIRPORT CO., LTD.

Ticker: 000089 Security ID: Y7741V103 Meeting Date: MAR 02, 2017 Record Date: FFR 23 2017 Meeting Type: Special

# Proposal Mgt Rec Vote Cast Sponsor 1 Approve Termination of Management Lease For For Management

Agreement on AB Terminal Commercial

Transformation Project

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SHENZHEN OVERSEAS CHINESE TOWN HOLDING CO., LTD

Ticker: 000069 Security ID: Y7742V102 Meeting Date: MAY 03, 2017 Meeting Type: Annual

Record Date: APR 27, 2017

# Proposal Mgt Rec Vote Cast Sponsor
1 Approve Annual Report and Summary For For Management
2 Approve Profit Distribution and For For Management
Capitalization of Capital Reserves
3 Approve Report of the Board of For For Management

	Directors			
4	Approve Report of the Board of Supervisors	For	For	Management
5	Approve Report of the Independent Directors	For	For	Management
6	Approve 2017-2018 Comprehensive Credit Line Applied by Company from Bank and Financial Institutions	For	Against	Management
7	Approve 2017-2018 Application of Entrust Loan from Huaqiao City Group Co., Ltd.	For	For	Management
8	Approve 2017-2018 Guarantee Provision for Associated Company by Company and Controlled Subsidiary	For	For	Management
9	Approve 2017-2018 Financial Assistance Provision for Associated Company	For	For	Management
10	Approve 2017 Daily Related Party Transactions	For	For	Management
11	Approve Allowance for Independent Director	For	For	Management
12	Approve Repurchase of Shares from Resigned Employees	For	For	Management
13	Amend Articles of Association	For	Against	Management
14	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For	Management
15	Approve Disposal Agreement	For	For	Management

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#### SHENZHEN OVERSEAS CHINESE TOWN HOLDING CO., LTD

Meeting Date: NOV 15, 2016
Record Date: NOV 08, 2016

Security ID: Y7742V10
Meeting Type: Special Security ID: Y7742V102

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Elect Duan Xiannian as Non-independent	For	For	Management
	Director			
1.02	Elect Wang Xiaowen as Non-independent	For	For	Management
	Director			
1.03	Elect Wang Jiuling as Non-independent	For	For	Management
	Director			
1.2.1	Elect Xu Gang as Independent Director	For	For	Management
1.2.2	Elect Yu Hailong as Independent	For	For	Management
	Director			
1.2.3	Elect Wu Andi as Independent Director	For	For	Management
1.2.4	Elect Zhou Jichang as Independent	For	For	Management
	Director			
2.01	Elect Zong Jian as Supervisor	None	For	Shareholder
2.02	Elect Ye Xiangyang as Supervisor	None	For	Shareholder
3	Approve Amendments to Articles of	For	For	Management
	Association			

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#### TSINGTAO BREWERY CO., LTD.

Meeting Date: JUN 30, 2017 Record Date: MAY 26, 2017 Security ID: Y8997D110
Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Work Report of the Board	For	For	Management
	of Directors			
2	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Financial Report (Audited)	For	For	Management
4	Approve 2016 Profit and Dividend	For	For	Management
	Distribution Plan			
5	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP as Auditor and Authorize Board			
	to Fix Their Remuneration			
6	Approve PricewaterhouseCoopers Zhong	For	For	Management
	Tian LLP as Internal Control Auditor			
	and Authorize Board to Fix Their			
	Remuneration			

#### TSUI WAH HOLDINGS LIMITED

Ticker: 1314 Security ID: G91150105
Meeting Date: AUG 26, 2016 Meeting Type: Annual
Record Date: AUG 19, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and	For	For	Management
	Statutory Reports			
2	Approve Final Dividend	For	For	Management
3	Approve Special Dividend	For	For	Management
4a1	Elect Cheung Yue Pui as Director	For	For	Management
4a2	Elect Ho Ting Chi as Director	For	For	Management
4a3	Elect Wong Chi Kin as Director	For	For	Management
4b	Authorize Board to Fix Remuneration of	For	For	Management
	Directors			
5	Approve Ernst & Young as Auditors and	For	For	Management
	Authorize Board to Fix Their			
	Remuneration			
6	Approve Issuance of Equity or	For	Against	Management
	Equity-Linked Securities without			
	Preemptive Rights			
7	Authorize Repurchase of Issued Share	For	For	Management
	Capital			
8	Authorize Reissuance of Repurchased	For	Against	Management
	Shares			

# WANGFUJING GROUP CO ., LTD.

Ticker: 600859 Security ID: Y0770F107 Meeting Date: DEC 23, 2016 Meeting Type: Special

Record	Date:	NOV	14,	2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Company's Eligibility for Major	For	For	Management
	Assets Restructuring			
2.01	Approve Plan on Major Asset Purchase	For	For	Management
2.02	Approve Transaction Parties	For	For	Management
2.03	Approve Target Asset	For	For	Management

2.04	Approve Transaction Price and Pricing Basis	For	For	Management
2.05	Approve Transaction Price Payment Arrangement	For	For	Management
2.06	Approve Performance Commitment and Compensation Manner	For	For	Management
2.07	Approve Delivery of Underlying Assets	For	For	Management
2.08	Approve Attribution of Profit and Loss During the Transition Period	For	For	Management
2.09	Approve Liability for Breach of Contract	For	For	Management
2.10		For	For	Management
2.10	Approve Claims and Liabilities Arrangement as well as Staffing Arrangements	roi	LOI	Management
2.11	Approve Resolution Validity Period	For	For	Management
3	Approve the Notion That This	For	For	Management
J	Transaction Constitute as Related-Party Transaction	roi	FOI	Management
4	Approve the Notion that the Transaction	For	For	Management
4	Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	FOI	FOI	rialiagement
_		П	П	Management
5	Approve Transaction Complies with	For	For	Management
	Article 11 of Measures for the			
	Administration of Significant Assets			
	Reorganization of Listed Companies			
6	Approve Draft and Summary Report of	For	For	Management
	Major Asset Purchase and Related Party			
	Transaction			
7		П	For	Managana
7	Approve Signing of Conditional Asset	For	r OI	Management
•	Purchase Agreement	_	_	
8	Approve Signing of Conditional Profit	For	For	Management
	Compensation Agreement			
9	Approve Relevant Audit Report, Review	For	For	Management
	Report and Evaluation Report of the			
	Transaction			
10	Approve Independence of Appraiser, the	For	For	Management
	Validity of Hypothesis, the Relevance			,
	of Valuation Purpose and Approach as			
	1 11			
	Well as the Fairness of Pricing	_	_	
11	Approve the Completeness and Compliance	For	For	Management
	of Implementation of Legal Proceedings			
	of the Transactions and Validity of the			
	Submitted Legal Documents Regarding			
	this Transaction			
12	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
13	Approve Impact of Dilution of Current	For	For	Management
13	Returns and the Relevant Measures to be	101	101	Harragement
	Taken			
14	Approve Amendments to Articles of	For	For	Management
	Association			
15.01	Elect Liu Yi as Non-independent	For	For	Management
	Director			
15.02	Elect Dong Jiasheng as Non-independent	For	For	Management
	Director			
15 02		For	For	Management
10.03	Elect Du Baoxiang as Non-independent	For	For	Management
<u>.                                    </u>	Director	_	_	
15.04	Elect Yu Zhongfu as Non-independent	For	For	Management
	Director			
15.05	Elect Zhang Xuegang as Non-independent	For	For	Management
	Director			

15.06	Elect Geng Jiaqi as Non-independent Director	For	For	Management
15.07	Elect Zou Yan as Non-independent Director	For	For	Management
16.01	Elect Long Tao as Independent Director	For	For	Management
16.02	Elect Dong Ansheng as Independent	For	For	Management
	Director			
16.03	Elect Du Jiabin as Independent Director	For	For	Management
16.04	Elect Quan Zhongguang as Independent	For	For	Management
	Director			
17.01	Elect Wang Bin as Supervisor	For	For	Management
17.02	Elect Ding Yali as Supervisor	For	For	Management

#### WANGFUJING GROUP CO ., LTD.

Meeting Date: APR 07, 2017
Record Date: MAR 29. 2017

Security ID: Y0775J104
Meeting Type: Annual Record Date: MAR 29, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Report of the Board of	For	For	Management
	Supervisors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution and Cash	For	For	Management
	Dividends Plan			
5	Approve Annual Report and Summary	For	For	Management
6	Approve Appointment of 2017 Auditor	For	For	Management
7	Approve Issuance of Ultra Short-term	For	For	Management
	Financial Bill and Medium-term Notes			
8	Approve Authorization of the Board to	For	For	Management
	Handle All Matters Related to Issuance			
	of Ultra Short-term Financial Bill and			
	Medium-term Notes			
9	Approve Company's Eligibility for	For	For	Management
	Corporate Bond Issuance			
10	Approve Corporate Bond Issuance	For	For	Management
11	Approve Authorization of the Board to	For	For	Management
	Handle All Related Matters			
12	Approve Acquisition Plan	For	For	Management

#### WANGFUJING GROUP CO., LTD.

Security ID: Y0775J104 Meeting Type: Special Ticker: 600859 Meeting Date: MAR 10, 2017 Record Date: MAR 03, 2017

Proposal Mgt Rec Vote Cast Sponsor
Approve Continued Suspension of Trading For For Management 1

in Connection to Major Asset

Restructuring

XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104 Meeting Date: NOV 14, 2016
Record Date: NOV 07 2016 Meeting Type: Special

Record Date: NOV 07, 2016

# Proposal Mgt Rec Vote Cast Sponsor 1 Approve Change of Auditor For For Management

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XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104
Meeting Date: AUG 30, 2016 Meeting Type: Special
Record Date: AUG 23, 2016

# Proposal Mgt Rec Vote Cast Sponsor Approve Amendment on Business Scope and For For Management

Amend Articles of Association

XJ ELECTRIC CO., LTD.

Ticker: 000400 Security ID: Y9722U104 Meeting Type: Annual Meeting Date: MAY 23, 2017

Record Date: MAY 16, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Annual Report and Summary	For	For	Management
2	Approve Report of the Board of	For	For	Management
	Directors			
3	Approve Financial Statements	For	For	Management
4	Approve Profit Distribution and	For	For	Management
	Capitalization of Capital Reserves			
5	Approve Appointment of Auditor	For	For	Management
6	Approve 2017 Daily Related-party	For	For	Management
	Transactions			
7	Approve 2016 Daily Related-party	For	For	Management
	Transactions Beyond Estimates			
8	Approve Signing of Overall Daily	For	For	Management
	Related-party Transaction Agreement			
9	Approve Signing of Financial Services	For	Abstain	Management
	Agreement			
10	Approve Report of the Board of	For	For	Management
	Supervisors			

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YUNNAN BAIYAO GROUP CO., LTD.

Ticker: 000538 Meeting Date: OCT 18, 2016 Security ID: Y9879F108 Meeting Type: Special

Record Date: OCT 11, 2016

# Proposal Mgt Rec Vote Cast Sponsor Approve Continued Suspension of Trading For For Management

#### YUNNAN BAIYAO GROUP CO., LTD.

Meeting Date: MAY 26, 2017
Record Date: MAY 19, 2017

Meeting Type: Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve 2016 Report of the Board of	For	For	Management
	Directors			
2	Approve 2016 Report of the Board of	For	For	Management
	Supervisors			
3	Approve 2016 Financial Statements	For	For	Management
4	Approve 2016 Annual Report and Summary	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve 2016 Financial Budget Report	For	For	Management
7	Approve Appointment of Financial	For	For	Management
	Auditor and Internal Control Auditor			
8.01	Elect Wang Jianhua as Non-Independent	None	For	Shareholder
	Director			
8.02	Elect Qiu Xiaohua as Non-Independent	None	For	Shareholder
	Director			
8.03	Elect Song Chengli as Non-Independent	None	For	Shareholder
	Director			
9.01	Elect Zhong Jie as Supervisor	None	For	Shareholder
9.02	Elect You Guanghui as Supervisor	None	For	Shareholder

#### ZHEJIANG HUACE FILM & TV CO., LTD.

Ticker: 300133 Security ID: Y988B810
Meeting Date: APR 17, 2017 Meeting Type: Special
Record Date: APR 12, 2017 Security ID: Y988B8109

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Amend Rules and Procedures Regarding	For	For	Management
	General Meetings of Shareholders			
1.02	Amend Management System of Raised Funds	For	For	Management
2	Amend Rules and Procedures Regarding	For	For	Management
	Meetings of Board of Supervisors			
3	Approve 2017 Application of Bank	For	For	Management
	Comprehensive Credit Line			

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#### ZHEJIANG HUACE FILM & TV CO., LTD.

Ticker: 300133 Security ID: Y988B8109 Meeting Date: JUN 01, 2017 Meeting Type: Special

Record Date: MAY 25, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.01	Approve Criteria to Select Plan	For	For	Management
	Participants			
1.02	Approve Source, Number and Allocation	For	For	Management
	of Underlying Stocks			
1.03	Approve Duration, Grant Date, Unlocking	, For	For	Management

	Period and Lock-up Period of Underlying Stocks			
1.04	Approve Exercise/Granting Price and	For	For	Management
	Price-setting Basis			
1.05	Approve Conditions for Granting and	For	For	Management
	Unlocking			
1.06	Approve Methods and Procedures to	For	For	Management
	Adjust the Incentive Plan			
1.07	Approve Accounting Treatment	For	For	Management
1.08	Approve Implementation Procedures	For	For	Management
1.09	Approve Rights and Obligations of the	For	For	Management
	Plan Participants and the Company			
1.10	Approve How to Implement the Restricted	For	For	Management
	Stock Incentive Plan When There Are			
	Changes for the Company and Incentive			
	Objects			
2	Approve Management Method of Second	For	For	Management
	Phase Stock Incentive Plan			
3	Approve Authorization of Board to	For	For	Management
	Handle All Related Matters			

#### ZHEJIANG HUACE FILM & TV CO., LTD.

Meeting Date: MAY 16, 2017
Record Date: MAY 10, 2017

Meeting Type: Annual

# 1 2	Proposal Approve Annual Report and Summary Approve Report of the Board of	Mgt Rec For For	Vote Cast For For	Sponsor Management Management
3	Directors Approve Report of the Board of	For	For	Management
4	Supervisors Approve Financial Statements	For	For	Management
5	Approve Auditor's Report	For	For	Management
6	Approve Shareholder Return Plan in the	For	For	Management
	Next Three Years			
7	Approve Profit Distribution	For	For	Management
8	Approve Remuneration of Directors and	For	For	Management
	Supervisors			
9	Approve Provision for Asset Impairment	For	For	Management
10	Approve Report on the Deposit and Usage of Raised Funds $ \\$	For	For	Management
11	Approve 2017 Appointment of Auditor	For	For	Management

#### ZHONGBAI HOLDINGS GROUP CO., LTD.

Ticker: 000759 Security ID: Y9715V101 Meeting Date: NOV 15, 2016 Meeting Type: Special

Record Date: NOV 10, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Transfer of Equity	For	For	Management
2	Approve Increase in the Amount and	For	For	Management
	Scope of Idle Own Funds to be Invested			
	in Financial Products			

#### ZHONGBAI HOLDINGS GROUP CO., LTD.

Ticker: 000759 Security ID: Y9715V101 Meeting Date: MAY 19, 2017

Record Date: MAY 15 2017

Security ID: Y9715V

Meeting Type: Annual

Record Date: MAY 15, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Report of the Board of	For	For	Management
	Directors			
2	Approve Annual Report and Summary	For	For	Management
3	Approve Report of the Board of	For	For	Management
	Supervisors			
4	Approve Financial Statements	For	For	Management
5	Approve Profit Distribution	For	For	Management
6	Approve 2017 Daily Related Party	For	For	Management
	Transactions			
7	Approve Bank Credit Lines and Guarantee	For	For	Management
	Letters			
8	Approve Provision of Guarantee to	For	Abstain	Management
	Controlled Subsidiaries			
9	Approve Appointment of Auditor	For	For	Management
10	Approve Transfer of Equity of	For	For	Management
	Subsidiary			
11	Approve Management Method for the	For	Abstain	Management
	Remuneration for Company's Operators			
12	Approve Change in Commitment by	For	For	Management
	Controlling Shareholder			

#### ZHONGBAI HOLDINGS GROUP CO., LTD.

Security ID: Y9715V101 000759 Ticker: Meeting Date: OCT 13, 2016
Record Date: OCT 10, 2016 Meeting Type: Special

Proposal Mgt Rec Vote Cast Sponsor For For Management Proposal Approve Related Commitment for Industrial Fund Priority Limited

Partner

2 Approve Conduct of Asset Securitization For For Management

====== END NPX REPORT

### **SIGNATURES**

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Morgan Stanley China A Share Fund, Inc.

By (Signature and Title)\* /s/ John H. Gernon

John H. Gernon

President and Principal Executive Officer

Date <u>August 24, 2017</u>

<sup>\*</sup> Print the name and title of each signing officer under his or her signature.