RANKIN THOMAS T
Form 4/A
February 04, 2019

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
**Washington, D.C. 20549**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

| | |
|---|---|
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN THOMAS T

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)
10/24/2018

4. If Amendment, Date Original Filed(Month/Day/Year)
10/25/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
_____ Officer (give title below) __X__ Other (specify below)
Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Class A Common Stock | 10/24/2018 | | P | | 0 (1) (2) | A | $ 60.66 (3) | 172 | I | Reporting person's proportionate interest in shares held in Rankin Associates VI |
| Class A Common Stock | 10/24/2018 | | P | | 0 (1) (4) | A | $ 60.66 (3) | 172 | I | Spouse's proportionate interest in shares held by Rankin |

| | | | |
|---|---|---|---|
| | | | Associates VI |
| Class A Common Stock | 3,622 | I | Held by Spouse (5) |
| Class A Common Stock | 14,343 | I | Spouses proportionate interest in shares held by RA1 (5) |
| Class A Common Stock | 1,843 | I | spouse's proportionate limited partnership interest in shares held by RA II LP (5) |
| Class A Common Stock | 12,791 | I | Serves as Trustee of GSTs for the benefit of James Rankin |
| Class A Common Stock | 12,791 | I | Serves as Trustee of GSTs for the benefit of Matthew Rankin |
| Class A Common Stock | 12,791 | I | Serves as trustee of GSTs for the benefit of Thomas P. Rankin |
| Class A Common Stock | 7 | D | |
| Class A Common Stock | 19 | I | proportionate general partnership interest in shares of Rankin Associates IV, L.P held by the Trust |
| | 25,324 | I | |

| | | | |
|---|---|---|---|
| Class A Common Stock | | | proportionate interest in shares held in RA1 |
| Class A Common Stock | 35,872 | I | proportionate limited partnership interests in shares held by Rankin Associates II, L.P |
| Class A Common Stock | 65,824 | I | Proportionate LP interest in shares held in RA4 |
| Class A Common Stock | 216 | I | Proportionate Interest in shares held by RAV |
| Class A Common Stock | 54 | I | Proportionate interest in shares held by RA5 held by RMI |
| Class A Common Stock | 60 | I | Proportionate interest in shares held by RA6 held by RMI |
| Class A Common Stock | 1,975 | I | Proportionate interest in shares held in RMI |
| Class A Common Stock | 148,623 | I | Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
**(*e.g.*, puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price Derivati Security (Instr. 5) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Class B Common Stock | (6) | | | | | | | (6) | (6) | Class A Common Stock | 3,622 | |
| Class B Common Stock | (6) | | | | | | | (6) | (6) | Class A Common Stock | 25,657 | |
| Class B Common Stock | (6) | | | | | | | (6) | (6) | Class A Common Stock | 1,843 | |
| Class B Common Stock | (6) | | | | | | | (6) | (6) | Class A Common Stock | 6,889 | |
| Class B Common Stock | (6) | | | | | | | (6) | (6) | Class A Common Stock | 6,889 | |
| Class B Common Stock | (6) | | | | | | | (6) | (6) | Class A Common Stock | 6,889 | |
| Class B | (6) | | | | | | | (6) | (6) | Class A | 7 | |

| | | | | | | |
|---|---|---|---|---|---|---|
| Common Stock | | | | | Common Stock | |
| Class B Common Stock | (6) | | (6) | (6) | Class A Common Stock | 31 |
| Class B Common Stock | (6) | | (6) | (6) | Class A Common Stock | 45,300 |
| Class B Common Stock | (6) | | (6) | (6) | Class A Common Stock | 35,872 |
| Class B Common Stock | (6) | | (6) | (6) | Class A Common Stock | 104,286 |
| Class B Common Stock | (6) | | (6) | (6) | Class A Common Stock | 1,975 |
| Class B Common Stock | (6) | | (6) | (6) | Class A Common Stock | 145,912 |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| RANKIN THOMAS T<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | | | | Member of a Group |

# Signatures

| | |
|---|---|
| /s/ Suzanne S. Taylor, attorney-in-fact | 02/04/2019 |
| **Signature of Reporting Person | Date |

# Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** Shares purchased pursuant to 10b5-1 plan

**(2)** Original filing included a transaction that did not occur.

**(3)** 2018-Oct-24 -Block 1 Weighted Average- Share Price represents average price between $60.33 and $60.94.

**(4)** Original filing included a transaction that did not occur.

**(5)** Reporting Person disclaims beneficial ownership of all such shares.

**(6)** N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.