

RANKIN VICTOIRE G

Form 4

January 08, 2019

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN VICTOIRE G

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/04/2019

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)

Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	01/04/2019		P		151 ⁽¹⁾	A	\$ 63.8527	14,296	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin
Class A Common Stock	01/04/2019		P		302 ⁽¹⁾	A	\$ 63.8527	128,417	I	spouse serves as Trustee of a Trust for the benefit of Alfred M.

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								Rankin, Jr ⁽²⁾
Class A Common Stock	01/04/2019	P	603 ⁽¹⁾	A	\$ 63.8527	78,029	I	serves as Trustee of a Trust for the benefit of Victoire G. Rankin
Class A Common Stock	01/04/2019	P	452 ⁽¹⁾	A	\$ 63.8527	42,922	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin ⁽²⁾
Class A Common Stock	01/04/2019	P	15 ⁽¹⁾	A	\$ 63.06 ⁽³⁾	14,871	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	01/04/2019	P	1 ⁽¹⁾	A	\$ 63.06 ⁽³⁾	596	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	01/04/2019	P	15 ⁽¹⁾	A	\$ 63.06 ⁽³⁾	113,769	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	01/04/2019	P	15 ⁽¹⁾	A	\$ 63.06 ⁽³⁾	113,769	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	01/04/2019	P	1 ⁽¹⁾	A	\$ 64.14 ⁽⁴⁾	71	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
	01/04/2019	P	42 ⁽¹⁾	A		14,913	I	

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Class A Common Stock					\$ 64.14 <u>(4)</u>			Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	01/04/2019	P	1 <u>(1)</u>	A	\$ 64.14 <u>(4)</u>	597	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	01/04/2019	P	42 <u>(1)</u>	A	\$ 64.14 <u>(4)</u>	113,811	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	01/04/2019	P	42 <u>(1)</u>	A	\$ 64.14 <u>(4)</u>	113,811	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock						21,920	I	Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						9,600	I	spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <u>(2)</u>
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting

			Person's spouse ⁽²⁾
Class A Common Stock	10,271	I	Spouse's proportionate limited partnership interest in shares held by RA1 ⁽²⁾
Class A Common Stock	541	I	Spouses's proportionate limited partnership interest in shares held by AMR RAI ⁽²⁾
Class A Common Stock	19	I	Spouse's proportionate partnership interest in RAIV ⁽²⁾
Class A Common Stock	1,087	I	Spouses proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	255	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI") ⁽²⁾
Class A Common Stock	87	I	spouse's proportionate interest in

			shares held by RAVI held by Rankin Management, Inc.
Class A Common Stock	18,625	I	spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr ⁽²⁾
Class A Common Stock	42,666	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1 ⁽²⁾
Class A Common Stock	25,099	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proportionate interest in RAII ⁽²⁾
Class A Common Stock	46,182	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 ⁽²⁾
Class A Common Stock	555	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	554	I	Reporting person's proportionate interest in

shares held by
Rankin
Associates VI

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	25,524
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	9,600
Class B Common Stock	(5)					(5)	(5)	Class A Common Stock	14,160

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	17,556
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	18,373
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	541
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	31
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,721
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,975
Class B Common	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common	18,625

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Stock			Stock		
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	76,330	
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	25,099	
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	73,166	
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	747	
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	94,809	
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	94,809	
	<u>(5)</u>	<u>(5)</u>		21,006	

Class B
Common
Stock

Class A
Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
RANKIN VICTOIRE G 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124	Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

01/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2019-Jan-4 -Block 1 Weighted Average- Share Price represents average price between \$62.81 and \$63.77.
- (4) 2019-Jan-4 -Block 2 Weighted Average- Share Price represents average price between \$63.95 and \$64.20.
- (5) N/A

- (6) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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