#### Edgar Filing: STAAB THOMAS R II - Form 4

STAAB TH Form 4 November (	OMAS R II 09, 2018									
FORM	ΛΔ								OMB AI	PPROVAL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549								COMMISSION	OMB Number:	3235-0287
Check th if no lon subject to Section Form 4 Form 5 obligation may con <i>See</i> Insta 1(b).	nger to 16. or Dins ttinue. Section 1	F CHAN Section 10 Public Ut	GES IN I SECUR	January 31 2005 Estimated average burden hours per response 0.5						
(Print or Type	Responses)									
	Address of Reportin IOMAS R II	ng Person <u>*</u>	Symbol	Name and YST PHA CRX]			-	5. Relationship of Issuer (Chec	Reporting Pers	
(Last)         (First)         (Middle)         3. Date of (Month/D           4505 EMPEROR BLVD., SUITE         11/07/20           200         11/07/20				-	ansaction			Director 10% Owner X Officer (give title Other (specify below) below) Senior Vice President and CFO		
(Street) 4. If Amer				ndment, Dat th/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securit	ties Acq	uired, Disposed of	, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, any (Month/Day/Yea		on Date, if	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock (1)	11/07/2018			М	672	А	\$ 3.22	138,055	D	
Common Stock (1)	11/07/2018			S	672	D	\$8	137,383	D	
Common Stock (1)	11/08/2018			М	11,113	А	\$ 3.22	148,496	D	
Common Stock (1)	11/08/2018			S	11,113	D	\$ 8.09 (2)	137,383	D	
	11/08/2018			М	2,302	А		139,685	D	

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Common Stock (1)					\$ 3.78	
Common Stock <sup>(1)</sup>	11/08/2018	S	2,302	D	\$ 8.3 137,383	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	iorDe Se Ac or (D (In	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	′ (A	<b>A</b> )	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Emp. Stock Option (Right to Buy) <u>(1)</u>	\$ 3.22	11/07/2018		М			672	05/23/2017	05/23/2026	Common Stock	672
Emp. Stock Option (Right to Buy) (1)	\$ 3.22	11/08/2018		М		1	11,113	05/23/2017	05/23/2026	Common Stock	11,113
Emp. Stock Option (Right to Buy) (1)	\$ 3.78	11/08/2018		М			2,302	07/01/2012	07/01/2021	Common Stock	2,302

### **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
STAAB THOMAS R II 4505 EMPEROR BLVD.			Senior Vice President and CFO	

#### SUITE 200 DURHAM, NC 27703

## Signatures

/s/ Alane P. Barnes, by power of attorney

11/09/2018

<u>\*\*</u>Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 17, 2018.

The price in column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$8.00 to \$8.15. The

(2) reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.