

RANKIN VICTOIRE G  
Form 4  
November 06, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/05/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                                      |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Class A Common Stock            | 11/05/2018                           |  | P                              | 124 <sup>(1)</sup> A  | \$ 64.9841 6,929  | I  | spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin |
| Class A Common Stock            | 11/05/2018                           |  | P                              | 248 <sup>(1)</sup> A  | \$ 64.9841 113,672  | I  | spouse serves as Trustee of a Trust for the benefit of Alfred M.                           |

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|                            |            |   |                    |   |                            |         |   |  |
|----------------------------|------------|---|--------------------|---|----------------------------|---------|---|--|
| Class A<br>Common<br>Stock | 11/05/2018 | P | 496 <sup>(1)</sup> | A | \$<br>64.9841              | 48,723  | I | Rankin, Jr <sup>(2)</sup><br>serves as<br>Trustee of a<br>Trust for the<br>benefit of<br>Victoire G.<br>Rankin         |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 371 <sup>(1)</sup> | A | \$<br>64.9841              | 20,808  | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of<br>Bruce T.<br>Rankin <sup>(2)</sup>                        |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <sup>(1)</sup>   | A | \$ 64.98<br><sup>(3)</sup> | 58      | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAV held by<br>Rankin<br>Management,<br>Inc.             |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 429 <sup>(1)</sup> | A | \$ 64.98<br><sup>(3)</sup> | 112,707 | I | Spouse serves<br>as trustee of<br>Trust fbo Clara<br>LT Rankin<br>representing<br>interest in<br>shares held by<br>RA5 |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <sup>(1)</sup>   | A | \$ 64.98<br><sup>(3)</sup> | 228     | I | Represents<br>spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V                     |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <sup>(1)</sup>   | A | \$ 64.98<br><sup>(3)</sup> | 65      | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAVI held by<br>Rankin<br>Management,<br>Inc.            |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 41 <sup>(1)</sup>  | A | \$ 64.98<br><sup>(3)</sup> | 7,391   | I | Spouse serves<br>as trustee of<br>Trust fbo Clara  |

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|                            |            |   |               |   |                        |         |   |  |
|----------------------------|------------|---|---------------|---|------------------------|---------|---|--|
| Class A<br>Common<br>Stock | 11/05/2018 | P | 82 <u>(1)</u> | A | \$ 64.98<br><u>(3)</u> | 18,385  | I | LT Rankin<br>representing<br>interest in<br>shares held by<br>RA6<br><br>Spouse serves<br>as trustee for<br>GSTs for the<br>benefit of<br>Helen Butler |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 82 <u>(1)</u> | A | \$ 64.98<br><u>(3)</u> | 18,385  | I | Spouse serves<br>as trustee for<br>the GSTs for<br>the benefit of<br>Clara Williams  |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <u>(1)</u>  | A | \$ 64.98<br><u>(3)</u> | 191     | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI  |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <u>(1)</u>  | A | \$ 64.98<br><u>(3)</u> | 191     | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <u>(1)</u>  | A | \$ 64.98<br><u>(3)</u> | 191     | I | Spouse's<br>brother's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock |            |   |               |   |                        | 175,278 | I | Represents<br>Spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>AMR<br>Associates LP                             |
| Class A<br>Common<br>Stock |            |   |               |   |                        | 9,600   | I | spouse serves<br>as Trustee of<br>Trusts for the   |

|                      |        |   |  |  |
|----------------------|--------|---|--|--|
|                      |        |   |  | benefit of each of grantor's grandchildren <u>(2)</u>  |
| Class A Common Stock | 14,752 | I |  | Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse <u>(2)</u> |
| Class A Common Stock | 10,271 | I |  | Spouse's proportionate limited partnership interest in shares held by RA1 <u>(2)</u>                 |
| Class A Common Stock | 951    | I |  | Spouses's proportionate limited partnership interest in shares held by AMR RAI <u>(2)</u>            |
| Class A Common Stock | 19     | I |  | Spouse's proportionate partnership interest in RAIIV <u>(2)</u>                                      |
| Class A Common Stock | 1,087  | I |  | Spouses proportionate limited partnership interest in shares held by Rankin Associates IV, L.P       |
| Class A Common Stock | 1,975  | I |  | spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI")                    |

|                            |        |   |   |
|----------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 18,625 | I | (2)<br>spouse serves<br>as Trustee of<br>Trusts for the<br>benefit of the<br>Estate of<br>Alfred M.<br>Rankin, Sr (2)       |
| Class A<br>Common<br>Stock | 42,666 | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of BT<br>Rankin, Trust's<br>proportionate<br>interest of RA1<br>(2) |
| Class A<br>Common<br>Stock | 26,119 | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of BT<br>Rankin, Trust<br>proportionate<br>interest in<br>RAII (2)  |
| Class A<br>Common<br>Stock | 46,182 | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of BT<br>Rankin, Trust's<br>proportionate<br>interest of RA4<br>(2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>Derivati<br>Security<br>(Instr. 5) |
|---|--|---|---|--------------------------------------|---|--|---|--|
|---|--|---|---|--------------------------------------|---|--|---|--|

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Disposed  
of (D)  
(Instr. 3,  
4, and 5)

|                            | Code | V | (A) | (D)        | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount or<br>Number of<br>Shares |
|----------------------------|------|---|-----|------------|---------------------|--------------------|----------------------------|----------------------------------|
| Class B<br>Common<br>Stock |      |   |     | <u>(4)</u> | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock | 204,146                          |
| Class B<br>Common<br>Stock |      |   |     | <u>(4)</u> | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock | 9,600                            |
| Class B<br>Common<br>Stock |      |   |     | <u>(4)</u> | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock | 14,160                           |
| Class B<br>Common<br>Stock |      |   |     | <u>(4)</u> | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock | 17,556                           |
| Class B<br>Common<br>Stock |      |   |     | <u>(4)</u> | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock | 18,373                           |
| Class B<br>Common          |      |   |     | <u>(4)</u> | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common          | 951                              |

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| Stock                      |     |  |     | Stock                      |        |
|----------------------------|-----|--|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (4) |  | (4) | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | (4) |  | (4) | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | (4) |  | (4) | Class A<br>Common<br>Stock | 1,975  |
| Class B<br>Common<br>Stock | (4) |  | (4) | Class A<br>Common<br>Stock | 18,625 |
| Class B<br>Common<br>Stock | (4) |  | (4) | Class A<br>Common<br>Stock | 76,330 |
| Class B<br>Common<br>Stock | (4) |  | (4) | Class A<br>Common<br>Stock | 26,119 |

|                            |     |     |     |                            |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 73,166 |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 747    |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 21,006 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |                   |
|---|---------------|-----------|---------|-------------------|
|   | Director      | 10% Owner | Officer | Other             |
| RANKIN VICTOIRE G<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 |               |           |         | Member of a Group |



## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

11/06/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Nov-5-Weighted Average - Share Price represents average price between \$64.83 and \$64.99.
- (4) N/A

- BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's
- (5) spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.