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WATERS CORP /DE/

Form 3

February 11, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement WATERS CORP /DE/ [WAT] Khanna Rohit (Month/Day/Year) 02/10/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 34 MAPLE STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person MILFORD, MAÂ 01757 (give title below) (specify below) Form filed by More than One Sr VP, Applied Technology Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) $D^{(1)}$ Â Common Stock 7,891 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable	e and	3. Title and A	mount of	4.	5.	6. Nature of Indirect
Security	Expiration Date		Securities Underlying		Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)		Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	D. F. 11 I	Expiration Date	Title	Amount or Number of	Derivative	Security:	
	Date Exercisable				Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	12/11/2008(2)	12/11/2017	Common Stock	15,000	\$ 77.94	D	Â
Stock Option (Right to Buy)	12/09/2010(3)	12/09/2019	Common Stock	10,000	\$ 59.44	D	Â
Stock Option (Right to Buy)	12/09/2011(4)	12/09/2020	Common Stock	20,000	\$ 79.05	D	Â
Stock Option (Right to Buy)	12/07/2012(5)	12/07/2021	Common Stock	23,000	\$ 79.15	D	Â
Stock Option (Right to Buy)	12/11/2013(6)	12/11/2022	Common Stock	23,000	\$ 87.06	D	Â
Stock Option (Right to Buy)	12/06/2014(7)	12/06/2023	Common Stock	16,000	\$ 98.21	D	Â
Stock Option (Right to Buy)	12/11/2015(8)	12/11/2024	Common Stock	25,000	\$ 113.36	D	Â
Stock Option (Right to Buy)	12/09/2016(9)	12/09/2025	Common Stock	23,454	\$ 128.93	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
noporting o where I tunio / 12uur ess	Director	10% Owner	Officer	Other		
Khanna Rohit 34 MAPLE STREET MILFORD, MA 01757	Â	Â	Sr VP, Applied Technology	Â		

Signatures

/s/ Rohit Khanna 02/11/2016

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 10,182 Restricted Stock Units vest 20% per annum for a 5 year period beginning on December 6, 2014. A total of 1,814 shares of common stock representing taxes were withheld at vesting dates December 6, 2014 and December 6, 2015.
- (2) These options vested 20% per annum for a 5 year period beginning on December 11, 2008.
- (3) The grant of 20,000 options vested 20% per annum for a 5 year period beginning on December 9, 2010.
- (4) These options vest 20% per annum for a 5 year period beginning on December 9, 2011.
- (5) These options vest 20% per annum for a 5 year period beginning on December 7, 2012.
- (6) These options vest 20% per annum for a 5 year period beginning on December 11, 2013.
- (7) These options vest 20% per annum for a 5 year period beginning on December 6, 2014.

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- (8) These options vest 20% per annum for a 5 year period beginning on December 11, 2015.
- (9) These options vest 20% per annum for a 5 year period beginning on December 9, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.