#### **COOPER COMPANIES INC**

Form 4 January 10, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* White Albert G III

2. Issuer Name and Ticker or Trading Symbol

COOPER COMPANIES INC [COO]

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Issuer

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

01/08/2014

(Month/Day/Year)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

(Check all applicable)

below) VP & Chief Strategy Officer

RD., SUITE 590

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### PLEASANTON, CA 94588

6140 STONERIDGE MALL

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securit TransactionAcquired Code Disposed (Instr. 8) (Instr. 3, 4		<b>)</b> )	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	01/08/2014		M	2,250	A	(1)	19,440	D	
Common Stock	01/08/2014		F	1,219 (2)	D	\$0	18,221	D	
Common Stock	01/08/2014		M	1,975	A	<u>(1)</u>	20,196	D	
Common Stock	01/08/2014		F	1,031 (2)	D	\$0	19,165	D	
Common Stock	01/08/2014		M	681	A	<u>(1)</u>	19,846	D	

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Common Stock	01/08/2014	F	356 <u>(2)</u>	D	\$0	19,490	D
Common Stock	01/08/2014	A	4,500 (3) (4)	A	\$0	23,990	D
Common Stock	01/08/2014	F	2,243 (2)	D	\$0	21,747	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (1)	01/08/2014		M	2,250	<u>(5)</u>	<u>(6)</u>	Common Stock	2,250	
Restricted Stock Units	\$ 0 (1)	01/08/2014		M	1,975	<u>(7)</u>	<u>(6)</u>	Common Stock	1,975	
Restricted Stock Units	\$ 0 (1)	01/08/2014		M	681	<u>(8)</u>	(6)	Common Stock	681	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

White Albert G III 6140 STONERIDGE MALL RD. SUITE 590 PLEASANTON, CA 94588

VP & Chief Strategy Officer

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## **Signatures**

/s/ Albert G. 01/09/2014 White III

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (2) 1/8/14 RSU/PSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on 1/8/14) of \$124.07 was used.
- (3) PSU Deferral: Ownership of these shares was deferred for a designated period as provided by the terms of the underlying performance share award agreement.
- (4) Shares awarded on achievement of maximum performance targets under Performance Share Awards granted February 10, 2009.
- (5) Grant Date 11/29/10 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/12, 1/8/13, 1/8/14 and 1/8/15.
- (6) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (7) Grant Date 12/14/11 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/13, 1/8/14, 1/8/15 and 1/8/16.
- (8) Grant Date 12/12/12 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/14, 1/8/15, 1/8/16, 1/8/17 and 1/8/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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