## Edgar Filing: HOU HONG Q - Form 4

HOU HONG O

Form 4	-									
May 02, 2012 FORM Check thi if no long subject to Section 10 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	<b>4</b> UNITED S s box s box s box STATEM 5. 5. 5. 5. 5. 5. 5. 5. 5. 5.	W ENT OF CHA uant to Section	ashington, NGES IN 1 SECUR 16(a) of the Utility Hold	D.C. 209 BENEFI ITIES e Securiti ling Com	549 CIA es Ez pany	L OW Kchang Act c	COMMISSION NERSHIP OF ge Act of 1934, ff 1935 or Section 40	OMB Number: Expires: Estimated burden hou response	irs per	
(Print or Type R	Responses)									
1. Name and Address of Reporting Person <u>*</u> HOU HONG Q			2. Issuer Name <b>and</b> Ticker or Trading Symbol EMCORE CORP [EMKR]				5. Relationship of Reporting Person(s) to Issuer			
(Last) 10420 RESE SE, ALBUQ	iddle) 3. Date (Month 04/27/	3. Date of Earliest Transaction (Month/Day/Year) 04/27/2012				(Check all applicable) X Director 10% Owner X Officer (give title Other (specify below) below) CEO & President				
	(Street)		nendment, Da onth/Day/Year	-			6. Individual or J Applicable Line) _X_ Form filed by Form filed by Person		erson	
(City)	(State) (Z	Zip) Ta	ble I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Code r) (Instr. 8)	TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Emcore Common Stock	04/27/2012		Code V A	Amount 326 (1)		Price \$ 4.6	76,937 <u>(2)</u>	D		
Emcore Common Stock							6,346 <u>(3)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
HOU HONG Q 10420 RESEARCH ROAD, SE ALBUQUERQUE, NM 87123	Х		CEO & President				

## **Signatures**

Alfredo Gomez,	04/30/2012		
attorney-in-fact			
<u>**Signature of Reporting Person</u>	Date		

\*\*Signature of Reporting Person

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant (1)to Rule 16b-3(d).
- Includes 25,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in two equal annual (2)installments beginning on January 28, 2013.
- (3) Shares attributable to EMCORE Corporation 401(k) account as of March 31, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.