Edgar Filing: Van Berkel Monica - Form 4/A

Van Berkel											
February 01									OMB A	PPROVAL	
FORM	UNITED	STATES		RITIES A			IGE	COMMISSIO	N OMB Number:	3235-0287	
Check th if no lon subject to Section 7 Form 4 c Form 5	ger o STATEN 16. or									January 31, 2005 average urs per . 0.5	
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17										
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Van Berkel Monica			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]				,	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle)		of Earliest T	ransaction						
10420 RESEARCH ROAD, SE			(Month/Day/Year) 08/22/2011					Director 10% Owner X Officer (give title Other (specify below) below) Chief Admin. Officer			
			4. If Amendment, Date Original Filed(Month/Day/Year) 08/24/2011				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
ALBUQUE	ERQUE, NM 871	23						Person		eporting	
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securiti	ies A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D) 4 and 5) (A) or	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Rep	port on a separate line	e for each cl	ass of sec	urities benet	ficially ow	ned dired	ctly o	r indirectly.			
								pond to the colle ained in this form		SEC 1474 (9-02)	

required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	De
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	0 () ()	Acquired or Dispose D) Instr. 3, 4 and 5)	ed of				(In
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (3)	<u>(1)</u>	08/22/2011		А	2	45,000		(2)	(2)	Emcore Common Stock	45,000

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
Van Berkel Monica 10420 RESEARCH ROAD, SE ALBUQUERQUE, NM 87123			Chief Admin. Officer						
Cignotures									

Signatures

/s/ Monica Van Berkel	02/01/2012		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Emcore common stock. Restricted stock units are payable, at the election of the issuer, in cash, Emcore common stock, or a combination of the two.

The restricted stock units vest in three equal annual installments beginning on August 22, 2012. Vested shares of Emcore common stock, (2) an amount in cash equal to their fair market value or a combination of both will be delivered to the reporting person not later than 30 days after vesting occurs.

- (3) On August 24, 2011, the reporting person filed a Form 4 reporting an annual grant of 45,000 restricted stock units to the reporting person under the Company's 2010 Equity Incentive Plan in Table I instead of Table II.
- (4) The restricted stock units were awarded to the reporting person for no cash or other similar consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.