

MKS INSTRUMENTS INC  
Form 4  
August 05, 2008

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BERLINGHIERI LEO**

(Last) (First) (Middle)

2 TECH DRIVE

(Street)

ANDOVER, MA 01810

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

**MKS INSTRUMENTS INC [MKSI]**

3. Date of Earliest Transaction (Month/Day/Year)

08/04/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	08/04/2008		F <sup>(8)</sup>	V	15,657	D	
					\$ 20.81		
					54,353	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: MKS INSTRUMENTS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 29.93					01/05/2005 <sup>(1)</sup>	01/06/2014	Common Stock	15,000
Stock Option (Right to Buy)	\$ 27.11					11/11/2004 <sup>(2)</sup>	11/11/2013	Common Stock	35,000
Stock Option (Right to Buy)	\$ 23.5					01/30/2003 <sup>(2)</sup>	01/30/2012	Common Stock	30,000
Stock Option (Right to Buy)	\$ 17.25					03/21/2002 <sup>(2)</sup>	03/21/2011	Common Stock	30,000
Stock Option (Right to Buy)	\$ 18.44					06/24/2003 <sup>(1)</sup>	06/24/2012	Common Stock	466
Stock Option (Right to Buy)	\$ 14.72					07/30/2005 <sup>(2)</sup>	07/30/2014	Common Stock	50,000
Stock Option (Right to Buy)	\$ 32					01/04/2001 <sup>(2)</sup>	01/04/2010	Common Stock	11,000
Stock Option (Right to Buy)	\$ 24.5					11/14/2001 <sup>(3)</sup>	11/14/2011	Common Stock	125,000
Stock Option	\$ 16.88					11/22/2003 <sup>(2)</sup>	11/22/2012	Common Stock	30,000

(Right to Buy)						
Stock Option (Right to Buy)	\$ 20.02		10/15/2002 <sup>(4)</sup>	10/15/2011	Common Stock	880
Restricted Stock Unit	<sup>(5)</sup> <sup>(7)</sup>		<sup>(6)</sup>	<sup>(6)</sup>	Common Stock	74,288
Stock Option (Right to Buy)	\$ 17.6		07/01/2004 <sup>(2)</sup>	07/01/2013	Common Stock	50,000
Stock Option (Right to Buy)	\$ 12.97		02/07/2004 <sup>(1)</sup>	02/07/2013	Common Stock	482
Stock Option (Right to Buy)	\$ 17.6		07/01/2004 <sup>(1)</sup>	07/01/2013	Common Stock	375

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERLINGHIERI LEO 2 TECH DRIVE ANDOVER, MA 01810	X		President and CEO	

## Signatures

/s/ Joseph M. Tocci  
/ POA

08/05/2008

<sup>(u)</sup>Signature of Reporting Person      Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Vests 100% one year after grant
- (2) 25% after first year, 6.25% each quarter thereafter - total 4 years.
- (3) This option grant vests upon issue.
- (4) Vests as follows: 33 1/3 after the first year and each successive 12 month period thereafter.
- (5) Each restricted stock unit represents the right to receive one share of common stock of MKS Instruments, Inc.
- (6) One half of RSUs shall vest in equal annual installments over three years, and the other half shall be subject to the achievement of performance criteria, and thereafter shall vest in equal annual installments over three years.

## Edgar Filing: MKS INSTRUMENTS INC - Form 4

(7) Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

(8) Disposition made pursuant to terms of grant in order to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.