Edgar Filing: NORTHERN TRUST CORP - Form 4

| NORTHERN Form 4 April 23, 201 | TRUST CORP 5 | | | | | | | | | | |
|--|-------------------------------|--|--|---------------------------------------|-----------|------------------------|--|---|--|---------------------|--|
| | | | | | | | | | | PPROVAL | |
| | UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | |
| Check this if no longe | -# | STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES | | | | | | | Expires: | January 31, 2005 | |
| subject to Section 16 Form 4 or | 51AIEM 5. | | | | | | | 'NERSHIP OF | Estimated a burden hou response | average Irs per | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| CROWN SUSAN Symbol | | | r Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | NORTHERN TRUST CORP [NTRS] | | | | | (Check all applicable) | | | | | |
| | | | Date of Earliest Transaction (Month/Day/Year) | | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| | | | | 04/21/2015 | | | | below) below) | | | |
| Filed(Mont | | | | ndment, Date Original th/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| CHICAGO, | IL 60601 | | | | | | | Person | | .porung | |
| (City) | (State) | (Zip) | Table | I - Non-D | erivative | Secur | ities Ac | quired, Disposed o | of, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | n Date, if | Code Disposed of (D) | | | | SecuritiesIBeneficially(OwnedI | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | | | | Code V | Amour | (A) or nt (D) | | Reported Transaction(s) (Instr. 3 and 4) | (| (instr. i) | |
| Common Stock Units | 04/21/2015 | | | А | 1,530 | А | \$0 | 18,321.739 <u>(1)</u> | D | | |
| Common Stock | | | | | | | | 18,400 | D | | |
| Common Stock | | | | | | | | 4,000 | I | See ftn. (2) | |
| Common Stock | | | | | | | | 339,696 | I | See ftn. (3) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

|] | 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Securi (Instr. | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | | |
|---|----------|---------------|---------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| CROWN SUSAN 222 NORTH LASALLE STF SUITE 2000 CHICAGO, IL 60601 | REET | Х | | | | | | | |
| Signatures | | | | | | | | | |
| /s/ Susan Crown | 04/23/2 | 2015 | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | | |
| Explanation of B | lesn | onse | S: | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents common stock units payable automatically on a one-for-one basis in shares of the Issuer's stock.
- (2) Owned by various trusts of which the children of the Reporting Person are the beneficiaries.
- (3) Owned by a partnership, Henry Crown and Company (Not Incorporated), of which trusts for the benefit of the Reporting Person and the children of the Reporting Person are limited partners.

Remarks:

The Reporting Person disclaims beneficial ownership of the shares described in Footnotes 2 and 3, except to the extent of her Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners

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