ILLUMINA INC Form 4 October 14, 2008

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or
Form 5
obligations
may continue.

See Instruction
1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person <u>\*</u> FLATLEY JAY T

(First)

\_ ....

(Middle)

(Zip)

9885 TOWNE CENTRE DR

(Street)

(State)

SAN DIEGO, CA 92121

2. Issuer Name **and** Ticker or Trading
Symbol

5. Relationship of Reporting Person(s) to Issuer

ILLUMINA INC [ILMN]

3. Date of Earliest Transaction (Month/Day/Year)

10/09/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

President and CEO

(Check all applicable)

10% Owner

Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting
Person

Director

below)

X\_ Officer (give title

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		140	C 1 - 11011-1	ciivative	Secui	incs Acqu	irea, Disposea oi,	or Denemerali	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	10/09/2008		M	7,500 (1)	A	\$ 1.385	857,126	D	
Common Stock	10/09/2008		S	7,500 (1)	D	\$ 30.629 (2) (3)	849,626	D	
Common Stock	10/09/2008		M	1,666 (1)	A	\$ 2.995	851,292	D	
Common Stock	10/09/2008		S	1,666 (1)	D	\$ 30.785 (3) (4)	849,626	D	
	10/09/2008		M		A	\$ 2.995	852,124	D	

Edgar Filing: ILLUMINA INC - Form 4

Common Stock			2,498 (1)			
Common Stock	10/09/2008	S	2,498 (1)	D	\$ 30.838 849,626 (3) (5)	D
Common Stock	10/09/2008	M	1,666 (1)	A	\$ 2.995 851,292	D
Common Stock	10/09/2008	S	1,666 (1)	D	\$ 30.876 849,626 (3) (6)	D
Common Stock	10/09/2008	M	1,666 (1)	A	\$ 2.995 851,292	D
Common Stock	10/09/2008	S	1,666 (1)	D	\$ 31.299 849,626 (3) (7)	D
Common Stock	10/09/2008	M	1,666 (1)	A	\$ 2.995 851,292	D
Common Stock	10/09/2008	S	1,666 (1)	D	\$ 31.512 849,626 (3) (8)	D
Common Stock	10/09/2008	M	1,666 (1)	A	\$ 2.995 851,292	D
Common Stock	10/09/2008	S	1,666 (1)	D	\$ 31.593 849,626 (3) (9)	D
Common Stock	10/09/2008	M	1,666 (1)	A	\$ 2.995 851,292	D
Common Stock	10/09/2008	S	1,666 (1)	A	\$ 31.85 849,626	D
Common Stock	10/09/2008	M	6 (1)	A	\$ 2.995 849,632	D
Common Stock	10/09/2008	S	6 (1)	D	\$ 31.85 849,626 (10)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ILLUMINA INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number op f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Ar Underlying Se (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option (right to buy)	\$ 1.385 (11)	10/09/2008		M	7,500 (1)	03/10/2003	02/10/2013	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 2.995 (12)	10/09/2008		M	1,666 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 2.995	10/09/2008		M	2,498 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 2.995	10/09/2008		M	1,666 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 (1)	09/27/2008(13)	09/27/2011	Common Stock	
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	6 (1)	09/27/2008(13)	09/27/2011	Common Stock	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
FLATLEY JAY T						
9885 TOWNE CENTRE DR			President and CEO			
SAN DIEGO CA 92121						

Reporting Owners 3

Edgar Filing: ILLUMINA INC - Form 4

### **Signatures**

Octavio Espinoza for Jay T.
Flatley
10/14/2008

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale made pursuant to 10(b)5-1 plan.
- (2) Weighted average sale price representing 7,500 shares sold ranging from \$30.50 to \$30.78 per share.
- (3) Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 1,666 shares sold ranging from \$30.78 to \$30.81 per share.
- (5) Weighted average sale price representing 2,498 shares sold ranging from \$30.81 to \$30.85 per share.
- (6) Weighted average sale price representing 1,666 shares sold ranging from \$30.85 to \$31.00 per share.
- (7) Weighted average sale price representing 1,666 shares sold ranging from \$31.00 to \$31.50 per share.
- (8) Weighted average sale price representing 1,666 shares sold ranging from \$31.50 to \$31.53 per share.
- (9) Weighted average sale price representing 1,666 shares sold ranging from \$31.53 to \$31.85 per share.
- (10) On Sept. 22, 2008, the common stock of Illumina Inc. split 2 for 1 resulting in Reporting Person's ownership of 439,813 additional shares.
- (11) This option was previously reported as covering 150,000 shares at an exercise price of \$2.77 per share, and was adjusted for the stock split that occurred on Sept. 22, 2008.
- (12) This option was previously reported as covering 10,000 shares at an exercise price of \$5.99 per share, and was adjusted for the stock split that occurred on Sept. 22, 2008.
- One-twelfth of shares subject to option became exercisable on 9/27/08. An additional one-twelfth shall become exercisable each full month thereafter, subject to Reporting Person's continuing to be a service provide on such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4