

PIPER JAFFRAY COMPANIES  
Form DEFA14A  
April 05, 2019

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**SCHEDULE 14A**

**Proxy Statement Pursuant to Section 14(a) of  
the Securities Exchange Act of 1934 (Amendment No. )**

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

- Preliminary Proxy Statement
- Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))**
- Definitive Proxy Statement
- Definitive Additional Materials
- Soliciting Material under §240.14a-12

**Piper Jaffray Companies**

(Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- No fee required.
- Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.
  - (1) Title of each class of securities to which transaction applies:
  - (2) Aggregate number of securities to which transaction applies:
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  - (4) Proposed maximum aggregate value of transaction:
  - (5) Total fee paid:
- Fee paid previously with preliminary materials.
- Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.
  - (1) Amount Previously Paid:

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- (2) Form, Schedule or Registration Statement No.:
  - (3) Filing Party:
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**Piper Jaffray 2019 Annual Meeting of Shareholders   Vote your shares**

April 5, 2019

Employees who hold shares of Piper Jaffray will be receiving an email with the subject line of PIPER JAFFRAY COMPANIES Annual Meeting. Please do not delete this email and be sure to retain your 16-digit control number within the email. The email will have a link that takes you directly to a voting site, or go to [www.proxyvote.com](http://www.proxyvote.com) at any time and enter your control number.

Employees who are shareholders of Piper Jaffray are encouraged to vote according to the Board of Directors' recommendation as follows:

- **FOR** all of the nominees for director;
  
- **FOR** the ratification of the selection of Ernst & Young LLP as the independent auditor of Piper Jaffray Companies for the year ending December 31, 2019; and
  
- **FOR** the advisory vote approving the compensation of the officers disclosed in the proxy statement, or a say-on-pay vote.

Importantly, if you hold shares in different brokerage accounts (including restricted shares with our stock plan administrator), you will receive information from each broker with voting instructions. **If you receive multiple e-mails, it is important that you submit votes for all shares held, as each e-mail represents different shares.**

Employees own a significant amount of Piper Jaffray common stock, and it is critical that you vote your shares.

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