Summit Midstream Partners, LP Form 4

February 01, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

**INTERESTS**) **COMMON** 

UNITS

(LIMITED

**PARTNER INTERESTS**) 01/29/2016

02/01/2016

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ENERGY CAPITAL PARTNERS II, Symbol Issuer LLC Summit Midstream Partners, LP (Check all applicable) [SMLP] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 51 JOHN F. KENNEDY 01/28/2016 See Remarks PARKWAY, SUITE 200 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting SHORT HILLS, NJ 07078 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect Beneficial (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Ownership (Month/Day/Year) (Instr. 8) Owned Direct (D) (Instr. 4) or Indirect Following Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) (D) Price Code V Amount **COMMON UNITS** \$ BY: SMLP 42,172 P (LIMITED 01/28/2016 18.46 1,423,167 I HOLDINGS, (1) (2)(3)**PARTNER** LLC

\$

A

19.03

(3)(4)

1,472,573

1,545,548

I

I

49,406

(1)

P

P

BY: SMLP

LLC

HOLDINGS,

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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### Edgar Filing: Summit Midstream Partners, LP - Form 4

COMMON UNITS (LIMITED PARTNER INTERESTS)	72,975 (1)	\$ 18.04 (3) (5)			BY: SMLP HOLDINGS, LLC
COMMON UNITS (LIMITED PARTNER INTERESTS)			151,160	I	BY: SUMMIT MIDSTREAM PARTNERS, LLC
COMMON UNITS (LIMITED PARTNER INTERESTS)			5,293,571	Ι	BY: SUMMIT MIDSTREAM PARTNERS HOLDINGS, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Security or I (Instr. 3) Price		 Execution Date, if	4. Transaction Code (Instr. 8)	5. orNumber of Derivative Securities		ate	7. Title Amount Under Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own
Sec	curity			Acquired (A) or						Follo Repo
				Disposed of (D)						Trans (Instr
				(Instr. 3, 4, and 5)						(IIISU
			C-1- V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Reporting Owner Funde / Futuress		10% Owner	Officer	Other	
ENERGY CAPITAL PARTNERS II, LLC 51 JOHN F. KENNEDY PARKWAY SUITE 200	X	X		See Remarks	
SHORT HILLS, NJ 07078					

Reporting Owners 2

ENERGY CAPITAL PARTNERS II, LP 51 JOHN F. KENNEDY PARKWAY SUITE 200 SHORT HILLS, NJ 07078	X
ENERGY CAPITAL PARTNERS II-A, LP 51 JOHN F. KENNEDY PARKWAY SUITE 200 SHORT HILLS, NJ 07078	X
ENERGY CAPITAL PARTNERS II-B IP, LP 51 JOHN F. KENNEDY PARKWAY SUITE 200 SHORT HILLS, NJ 07078	X
ENERGY CAPITAL PARTNERS II-C (SUMMIT IP), LP 51 JOHN F. KENNEDY PARKWAY SUITE 200 SHORT HILLS, NJ 07078	X
Energy Capital Partners II (Summit Co-Invest), LP 51 JOHN F. KENNEDY PARKWAY SUITE 200 SHORT HILLS, NJ 07078	X

# **Signatures**

Energy Capital Partners II, LP By: Energy Capital Partners GP II, LP Its: General Partner By:	
Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner, Counsel	02/01/2016
**Signature of Reporting Person	Date
Energy Capital Partners II-A, LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner, Counsel	02/01/2016
**Signature of Reporting Person	Date
Energy Capital Partners II-B IP, LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner, Counsel	02/01/2016
**Signature of Reporting Person	Date
Energy Capital Partners II-C (Summit IP), LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner, Counsel	02/01/2016
**Signature of Reporting Person	Date
Energy Capital Partners II (Summit Co-Invest), LP By: Energy Capital Partners GP II Co-Investment (Summit), LLC Its: General Partner By: Energy Capital Partners II, LLC Its: Managing Member By: /s/ Enoch O. Varner, Counsel	02/01/2016
**Signature of Reporting Person	Date
Energy Capital Partners II, LLC By: /s/ Enoch O. Varner, Counsel	02/01/2016
**Signature of Reporting Person	Date

Signatures 3

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units were purchased pursuant to a 10b5-1 plan entered into on December 15, 2015.
- (2) These common units were purchased in multiple transactions ranging from \$18.25 to \$19.00, inclusive.
- The price reported in Column 4 is a weighted average price. The Reporting Persons undertake to provide to the Issuer, any security
- (3) holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of common units purchased at each separate price within the ranges set forth in footnotes 2, 4 and 5.
- (4) These common units were purchased in multiple transactions ranging from \$18.95 to \$19.13, inclusive.
- (5) These common units were purchased in multiple transactions ranging from \$17.82 to \$18.30, inclusive.

#### **Remarks:**

Energy Capital Partners II, LP ("ECP II"), Energy Capital Partners II-A, LP ("ECP II-A"), Energy Capital Partners II-B (SMLI Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.