#### JK&B CAPITAL IV LP

Form 4

December 04, 2012

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* JK&B Capital IV, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(First) (Middle) SPLUNK INC [SPLK]

(Check all applicable)

C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA, 180 N. 3. Date of Earliest Transaction (Month/Day/Year)

12/03/2012

\_X\_\_ 10% Owner Director Officer (give title \_\_ Other (specify below)

STETSON AVENUE, SUITE 4500

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

CHICAGO, IL 60601

| (City)                               | (State) (                               | Zip) <b>Tabl</b>  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                  |  |  |   |                  |
|--------------------------------------|---|---|--|--|------------------|--|--|---|------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)   | 4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                  |
|                                      |   |   | Code V   | Amount   | (A)<br>or<br>(D) | Price  | Transaction(s) (Instr. 3 and 4)                                      |   |                  |
| Common<br>Stock                      | 12/03/2012                              |   | <u>J(1)</u>  | 50,240   | D                | \$0  | 0  | I   | See footnote (2) |
| Common<br>Stock                      | 12/03/2012                              |   | J <u>(3)</u>   | 32,571   | A                | \$ 0   | 32,571   | I   | See footnote (4) |
| Common<br>Stock                      |   |   |  |  |                  |  | 6,498,896  | I   | See footnote (5) |
| Common<br>Stock                      |   |   |  |  |                  |  | 565,122  | I   | See footnote (6) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: JK&B CAPITAL IV LP - Form 4

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.          | 6. Date Exerc | cisable and | 7. Tit  | le and   | 8. Price of | 1 |
|-------------|-------------|---------------------|--------------------|------------|-------------|---------------|-------------|---------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transact   | tionNumber  | Expiration D  | ate         | Amou    | int of   | Derivative  |   |
| Security    | or Exercise |                     | any                | Code       | of          | (Month/Day/   | Year)       | Under   | rlying   | Security    |   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | ) Derivativ | e             |             | Secur   | ities    | (Instr. 5)  | į |
|             | Derivative  |                     |                    |            | Securities  | ;             |             | (Instr. | 3 and 4) |             |   |
|             | Security    |                     |                    |            | Acquired    |               |             |         |          |             | 1 |
|             |             |                     |                    |            | (A) or      |               |             |         |          |             | 1 |
|             |             |                     |                    |            | Disposed    |               |             |         |          |             |   |
|             |             |                     |                    |            | of (D)      |               |             |         |          |             |   |
|             |             |                     |                    |            | (Instr. 3,  |               |             |         |          |             |   |
|             |             |                     |                    |            | 4, and 5)   |               |             |         |          |             |   |
|             |             |                     |                    |            |             |               |             |         | A        |             |   |
|             |             |                     |                    |            |             |               |             |         | Amount   |             |   |
|             |             |                     |                    |            |             | Date          | Expiration  | m: .1   | or       |             |   |
|             |             |                     |                    |            |             | Exercisable   | Date        |         | Number   |             |   |
|             |             |                     |                    | G 1 1      | 7 (A) (B)   |               |             |         | of       |             |   |
|             |             |                     |                    | Code \     | (A) (D)     |               |             |         | Shares   |             |   |

# **Reporting Owners**

|  | Relationships |              |         |       |  |  |
|--|---------------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address   |               | 10%<br>Owner | Officer | Other |  |  |
| JK&B Capital IV, L.L.C.<br>C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA<br>180 N. STETSON AVENUE, SUITE 4500<br>CHICAGO, IL 60601  |               | X            |         |       |  |  |
| JK&B Management IV, L.P.<br>C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA<br>180 N. STETSON AVENUE, SUITE 4500<br>CHICAGO, IL 60601 |               | X            |         |       |  |  |
| JK&B CAPITAL IV LP<br>C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA<br>180 N. STETSON AVENUE, SUITE 4500<br>CHICAGO, IL 60601       |               | X            |         |       |  |  |
| JK&B CAPITAL IV QIP LP<br>C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA<br>180 N. STETSON AVENUE, SUITE 4500<br>CHICAGO, IL 60601   |               | X            |         |       |  |  |
| KRONFELD DAVID<br>C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA<br>180 N. STETSON AVENUE, SUITE 4500                                |               | X            |         |       |  |  |

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CHICAGO, IL 60601

### **Signatures**

/s/ JK&B Capital IV, L.L.C., by Nancy O'Leary, by power of attorney for David Kronfeld, its Managing Member 12/04/2012 \*\*Signature of Reporting Person Date /s/ JK&B Management IV, L.P., by JK&B Capital IV, L.L.C., its General Partner, by Nancy O'Leary, by power of attorney for David Kronfeld, its Managing Member 12/04/2012 \*\*Signature of Reporting Person Date /s/ JK&B Capital IV, L.P., by JK&B Management IV, L.P., its General Partner, by JK&B Capital IV, L.L.C., its General Partner, by Nancy O'Leary, by power of attorney for David 12/04/2012 Kronfeld, its Managing Member \*\*Signature of Reporting Person Date /s/ JK&B Capital IV QIP, L.P., by JK&B Management IV, L.P., its General Partner, by JK&B Capital IV, L.L.C., its General Partner, by Nancy O'Leary, by power of attorney for 12/04/2012 David Kronfeld, its Managing Member \*\*Signature of Reporting Person Date /s/ Nancy O'Leary, by power of attorney for David Kronfeld 12/04/2012 \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a pro rata in-kind distribution of shares of Common Stock of the Issuer to its partners.
- Shares are held directly by JK&B Management IV, L.P. ("JK&B Management"). David Kronfeld ("Kronfeld") is the managing member of JK&B Capital IV, L.L.C. ("JK&B Capital"), the general partner of JK&B Management. Each of JK&B Management, JK&B Capital and Kronfeld may be deemed to have sole voting and dispositive power over the shares which are held directly by JK&B Management, but each disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein.
- (3) Represents the receipt of shares of Common Stock of the Issuer by virtue of the pro rata in-kind distribution described in footnote (2) above.
- (4) Shares are held directly by Kronfeld.
  - Shares are held directly by JK&B Capital IV, L.P. ("JK&B"). JK&B Management is the general partner of JK&B. Kronfeld is the managing member of JK&B Capital, the general partner of JK&B Management. Each of JK&B Management, JK&B Capital and
- (5) managing member of JK&B Capital, the general partner of JK&B Management. Each of JK&B Management, JK&B Capital and Kronfeld may be deemed to have sole voting and dispositive power over the shares which are held directly by JK&B, but each disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein.
- Shares are held directly by JK&B Capital IV QIP, L.P. ("JK&B QIP"). JK&B Management is the general partner of JK&B QIP. Kronfeld is the managing member of JK&B Capital, the general partner of JK&B Management. Each of JK&B Management, JK&B Capital and Kronfeld may be deemed to have sole voting and dispositive power over the shares which are held directly by JK&B QIP, but each disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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