Greenberg Monica L. Form 4 November 08, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

3235-0287 Number: January 31,

Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Greenberg Monica L.

(First)

(Street)

C/O LIVEPERSON, INC... 475

TENTH AVENUE, 5TH FLOOR

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

LIVEPERSON INC [LPSN]

3. Date of Earliest Transaction (Month/Day/Year)

(Check all applicable)

11/06/2018

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

4. If Amendment, Date Original

EVP, General Counsel 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10018

(City)	(State)	(Zip) <b>Tabl</b>	e I - Non-I	Derivative	Securi	ties Acqu	ired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	11/06/2018		S	8,245 (1)	D	\$ 22.07 (2)	31,604	D	
Common Stock	11/06/2018		M	8,017 (1)	A	\$ 1.79	39,621	D	
Common Stock	11/06/2018		S	8,017 (1)	D	\$ 22.07 (2)	31,604	D	
Common Stock	11/07/2018		M	4,733 (1)	A	\$ 1.79	36,337	D	
	11/07/2018		S		D	\$ 22	31,604	D	

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Common Stock			4,733 (1)				
Common Stock	11/07/2018	M	4,250 (1)	A	\$ 1.79	35,854	D
Common Stock	11/07/2018	S	4,250 (1)	D	\$ 22	31,604	D
Common Stock	11/07/2018	S	11,478 (1)	D	\$ 22	20,126	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 1.79	11/06/2018		M	17,000	) (3)	03/06/2019	Common Stock	17,000	

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Greenberg Monica L. C/O LIVEPERSON, INC., 475 TENTH AVENUE, 5TH FLOOR NEW YORK, NY 10018

EVP, General Counsel

## **Signatures**

/s/ Monica L. Greenberg 11/08/2018

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\*\*Signature of Reporting
Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 22, 2018.
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.00 to \$22.26, inclusive. The reporting person undertakes to provide to LivePerson, Inc., any security holder of LivePerson, Inc., or the staff
- of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) The option is fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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