Oh Irene H Form 4 March 09, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per

0.5

response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Oh Irene H

EAST WEST BANCORP INC [EWBC]

(Check all applicable)

(First) (Last) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify

EAST WEST BANCORP, INC., 135

(Street)

03/07/2018

below) Chief Financial Officer

N. LOS ROBLES AVE. 7TH

FLOOR

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

PASADENA, CA 91101

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit order Dispos (Instr. 3, 4)	ed of	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/07/2018		S	5,000	D	\$ 68.2864 (1)	38,021	D	
Common Stock	03/08/2018		M	11,300	A	(2)	49,321	D	
Common Stock	03/08/2018		F	3,649	D	\$ 67.59	45,672	D	
Common Stock							2,072	I	401 (k) Plan

Edgar Filing: Oh Irene H - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exerc	cisable and	7. Title and A
Security	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative	Expiration D	ate	Underlying S
(Instr. 3)	or Exercise		any	Code Securities		(Month/Day/Year)		(Instr. 3 and
	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)	•		
	Derivative			or Disposed of				
	Security				(D)			
					(Instr. 3, 4,			
					and 5)			
						Date	Expiration	
						Exercisable	Date	Title
				Code V	(A) (D)	Exercisable	Date	
				Couc ,	(11)			
Performance-Based								C
Restricted Stock	<u>(2)</u>	03/08/2018		M	11,300	(2)	03/08/2018	Common
Units						_		Stock
Omis								

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Oh Irene H EAST WEST BANCORP, INC. 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101

Chief Financial Officer

Signatures

/s/ Felicia Yu, as Attorney-in-fact 03/08/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- A total of 5,000 shares were sold at a weighted average price of \$68.2864. The shares were sold in multiple transactions at prices ranging from \$68.13 to \$68.40, inclusive. The reporting person undertakes to provide to East West Bancorp, Inc., any security holder of East West Bancorp, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in the preceding sentence.
- Performance-Based Restricted Stock Units granted on February 27, 2015 fully vest in three years. Number of vested units depends on meeting pre-established performance criteria. As of March 8, 2018, 180.56% of the units granted on February 27, 2015 were earned and vested. On the settlement date, for each vested Performance-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

Reporting Owners 2

Edgar Filing: Oh Irene H - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.