Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4

ENTERPRISE FINANCIAL SERVICES CORP

Form 4 July 30, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to

if no longer subject to Section 16. Form 4 or

Form 4 or Form 5 obligations may continue.

se.

SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 30(h) of the Investment Company Act of 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * LEUCK RICHARD C | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | |
|---|----------|----------|--|--|--|--|
| | | | ENTERPRISE FINANCIAL SERVICES CORP [EFSC] | (Check all applicable) | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | Director 10% OwnerX_ Officer (give title Other (specify | | |
| 150 N. MERAMEC | | | 07/27/2007 | below) below) Regional President | | |
| (| (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| CT LOUIS MO | (2105 | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting | | |
| ST. LOUIS, MO 63105 | | | | Person | | |
| (City) (| (State) | (Zip) | Table I. Non Dordon Committee Ann | | | |

| (City) | (State) (Z | ip) Table | I - Non-Der | rivative S | ecuriti | es Acqu | ired, Disposed of | , or Beneficial | lly Owned |
|--------------------------------------|---|---|--|----------------------------------|---------|---------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | isposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| COMMON STOCK | | | | | | | 7,611 | D | |
| COMMON STOCK | | | | | | | 9,101 | I | EBSP III, LLC |
| COMMON STOCK | | | | | | | 3,000 | I | JT/W SPOUSE |
| COMMON STOCK | | | | | | | 7,500 | I | SPOUSE TRUST |
| COMMON STOCK | | | | | | | 35,048 | I | BY TRUST |

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| COMMON STOCK | 07/27/2007 | P | 1,932 | A | \$ 21.65 | 1,932 | I | SELF IRA |
|-----------------|------------|---|-------|---|-------------|-------|---|----------|
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.64 | 2,032 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.63 | 2,132 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.61 | 2,232 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 1,000 | A | \$ 21.6 | 3,232 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.53 | 3,332 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.41 | 3,432 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.4 | 3,532 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 568 | A | \$ 21.39 | 4,100 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 200 | A | \$ 21.38 | 4,300 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.23 | 4,400 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.21 | 4,500 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 100 | A | \$ 21.19 | 4,600 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 200 | A | \$ 21.15 | 4,800 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 400 | A | \$ 21.14 | 5,200 | I | SELF IRA |
| COMMON STOCK | 07/27/2007 | P | 1,800 | A | \$ 21.12 | 7,000 | I | SELF IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | e | 7. Title and Am Underlying Sec (Instr. 3 and 4) | |
|---|---|---|---|--|--|---------------------|--------------------|---|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| INCENTIVE STOCK OPTION (RIGHT TO BUY) | \$ 10 | | | | | 08/28/2002 | 08/28/2012 | COMMON STOCK | 8,000 |
| INCENTIVE STOCK OPTION (RIGHT TO BUY) | \$ 11.75 | | | | | 07/01/2001 | 07/01/2011 | COMMON STOCK | 8,000 |
| INCENTIVE STOCK OPTION (RIGHT TO BUY) | \$ 13.4 | | | | | 05/13/2003 | 05/13/2013 | COMMON STOCK | 12,500 |
| INCENTIVE STOCK OPTION (RIGHT TO BUY) | \$ 15 | | | | | 09/01/2000 | 09/01/2010 | COMMON STOCK | 7,000 |
| Restricted Share Units | \$ 0 | | | | | <u>(1)</u> | <u>(1)</u> | Common Stock | 3,773 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|--------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| LEUCK RICHARD C | | | | | | | | |
| 150 N. MERAMEC | | | Regional President | | | | | |
| ST LOUIS MO 63105 | | | | | | | | |

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Signatures

Richard C. 07/30/2007 Leuck

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The RSUs vest at a rate of 20% annually over five years, subject to continued employment of the reporting person. Vesting occurs on (1) December 15 of each year, commencing in the calendar year of the grant. On each vesting date, for each RSU vesting on such date, the reporting person will receive one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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