Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4/A

ENTERPRISE FINANCIAL SERVICES CORP

Form 4/A June 14, 2007

FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

5. Relationship of Reporting Person(s) to

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

EICHNER I	KEVIN C		RPRISE FINANCIAL CES CORP [EFSC]	Issuer (Ch	eck all applicabl	e)
(Last) 150 N. MER			of Earliest Transaction Day/Year) 2007	DirectorX Officer (gibelow)		% Owner uer (specify
ST. LOUIS,	(Street) MO 63105		endment, Date Original onth/Day/Year) 2007	Applicable Line) _X_ Form filed by	Joint/Group Fili y One Reporting Po More than One R	erson
(City)	(State)	Zip) Tabl	le I - Non-Derivative Securities A	equired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code Disposed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				45,513 <u>(3)</u>	D	
Common Stock				9,101	I	EBSP III, LLC
Common Stock				349,650	I	MEH LLC
Common Stock				98,016	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I
Incentive Stock Option (right to buy)	\$ 11.75					10/01/2004(1)	07/01/2011	Common Stock
Incentive Stock Option (right to buy)	\$ 15					10/01/2004(1)	09/01/2010	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 13.4					10/01/2004(1)	05/13/2013	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 9.3					10/01/2004(1)	07/01/2012	Common Stock
Non-Qualifed Stock Option (right to buy)	\$ 21.97					11/14/2008(2)	11/14/2015	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 32.99					11/14/2009(2)	11/14/2016	Common Stock
Restricted Share Units	<u>(4)</u>	05/15/2007		A	20,374	<u>(5)</u>	(5)	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
EICHNER KEVIN C 150 N. MERAMEC			President & CEO			

Reporting Owners 2

ST. LOUIS, MO 63105

Signatures

Kevin Eichner 06/14/2007

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This was a negotiated private transaction.
- (2) Options vest 33% per year for three years
 - The Form 4 filed on May 17, 2007 and all Form 4s filed after January 2006 by the reporting person disclosed the acquisition of Restricted Share Units ("RSUs") and beneficial ownership of RSUs in Table I. This amendment restates the disclosure of such acquisition by
- (3) reporting it in Table II. The May 17 Form 4 and all Form 4s filed by the reporting person after January 2006 also reported both vested and unvested RSUs as common stock beneficially owned by the reporting person. This amendment restates the number of shares of common stock beneficially owned, directly and indirectly, by the reporting person to include RSU's which have vested todate. Unvested RSUs beneficially owned, either directly or indirectly, are disclosed in Table II.
- The RSUs were granted pursuant to the Company's 2002 Stock Incentive Plan. Each RSU represents the right to receive one share of Common Stock, subject to adjustment as provided in the Grant Agreement. The May 17 Form 4 and previous Form 4s filed by the reporting person reported a purchase price equal to the fair market value of common stock on the date of the grant, however no consideration was paid by the reporting person.
- The RSUs vest at a rate of 20% annually over five years, subject to continued employment of the reporting person. Vesting occurs on (5) December 15 of each year, commencing in the calendar year of the grant. On each vesting date, for each RSU vesting on such date, the reporting person will receive one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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