UNITED NATURAL FOODS INC

Form 4

November 03, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per 0.5

Estimated average response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DZIKI THOMAS A Issuer Symbol UNITED NATURAL FOODS INC (Check all applicable) [UNFI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_ Officer (give title (Month/Day/Year) below) below) C/O UNITED NATURAL FOODS, 10/30/2015 **CHRSO** INC., 313 IRON HORSE WAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

PROVIDENCE, RI 02908

X Form filed by One Reporting Person Form filed by More than One Reporting

| (City) | (State) | Zip) Tabl | e I - Non-D | Derivative | Secur | rities Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|--------------------------------------|---|---|--|---------------------------------------|------------------------------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securi or(A) or D (Instr. 3, | ispose 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 10/30/2015 | | M | 4,500 | A | \$ 24.54 | 12,280 | D | |
| Common Stock | 10/30/2015 | | M | 3,000 | A | \$ 36.6 | 15,280 | D | |
| Common Stock | 10/30/2015 | | M | 3,000 | A | \$ 28.32 | 18,280 | D | |
| Common Stock | | | | | | | 2,656 | I | See footnote (1) |

Edgar Filing: UNITED NATURAL FOODS INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Secu Acq (A) Disp (D) | sposed of) str. 3, 4, | | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|-----------------------------------|------------------------------|---------------------|---------------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 24.54 (2) | 10/30/2015 | | M | | 4,500 | (3) | 09/16/2018(3) | Common Stock | 4,500 |
| Employee Stock Option (right to buy) | \$ 36.6 (2) | 10/30/2015 | | M | | 3,000 | (3) | 12/07/2016 ⁽³⁾ | Common Stock | 3,000 |
| Employee Stock Option (right to buy) | \$ 28.32 (2) | 10/30/2015 | | M | | 3,000 | (3) | 12/06/2017(3) | Common Stock | 3,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| • | Director | 10% Owner | Officer | Other | | |
| DZIKI THOMAS A | | | | | | |
| C/O UNITED NATURAL FOODS, INC. | | | CHRSO | | | |
| 313 IRON HORSE WAY | | | СПКЗО | | | |
| PROVIDENCE, RI 02908 | | | | | | |

Reporting Owners 2

Edgar Filing: UNITED NATURAL FOODS INC - Form 4

Signatures

Lisa N'Chonon, Power-of-Attorney, in fact

11/03/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes 2,636 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. Employee Stock
- (1) Ownership Plan and 20 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. 401(k) Plan's UNFI Stock Fund as of November 2, 2015.
- (2) United Natural Foods, Inc.'s closing price on the NASDAQ National Market on the date of grant.
- (3) The stock options vest and becomes exercisable in four equal annual installments beginning on the first anniversary of the date of grant and expire on the tenth anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3