#### Edgar Filing: ENERGY FOCUS, INC/DE - Form 3

#### ENERGY FOCUS, INC/DE

Form 3

March 21, 2008

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

**OMB APPROVAL** 

washington, D.C. 2034

Number:

3235-0104 January 31,

2005

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

(City)

Common Stock

(State)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ENERGY FOCUS, INC/DE [EFOI] Quercus Trust (Month/Day/Year) 03/14/2008 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1835 NEWPORT (Check all applicable) BLVD., A109-PMB 467 (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Person COSTA MESA,, CAÂ 92627 \_X\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security
2. Amount of Securities
(Instr. 4)
Beneficially Owned
(Instr. 4)

(Zip)

Ownership
Form:
Direct (D)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

or Indirect
(I)
(Instr. 5)

2,685,479

r. 5) D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
03/14/2008	03/14/2013	Common Stock	1,560,062	\$ 3.08	D	Â

## **Reporting Owners**

Warrant

Reporting Owner Name / Address	Relationships				
Reporting Owner Paulie / Pauliess	Director	10% Owner	Officer	Other	
Quercus Trust 1835 NEWPORT BLVD. A109-PMB 467 COSTA MESA,, CA 92627	Â	ÂX	Â	Â	
Gelbaum David 1835 NEWPORT BLVD. A109-PMB 467 COSTA MESA,, CA 92627	Â	ÂX	Â	Co-Trustee	
Gelbaum Monica Chavez 1835 NEWPORT BLVD. A109-PMB 467 COSTA MESA,, CA 92627	Â	ÂX	Â	Co-Trustee	

### **Signatures**

<b> </b>	
/s/ David Gelbaum, Co-Trustee, The Quercus Trust	
**Signature of Reporting Person	Date
/s/ David Gelbaum, as attorney-in-fact for Monica Chavez Gelbaum, Co-Trustee, The Quercus Trust	03/20/2008

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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