COLUMBUS MCKINNON CORP

Form 4 July 26, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

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if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * **GOODSPEED LINDA**

2. Issuer Name and Ticker or Trading

Issuer

Symbol

COLUMBUS MCKINNON CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[CMCO]

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

_X__ Director Officer (give title

10% Owner Other (specify

140 JOHN JAMES AUDUBON **PARKWAY**

(Zip)

07/25/2013

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities TransactionAcquired (A) or | | | | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect | |
|---------------------|--------------------------------------|---|-----------------|--|-----------|--|--------------------------------------|---------------------------------------|--|
| (Instr. 3) | | any (Month/Day/Year) | Code (Instr. 8) | Disposed of (D) (Instr. 3, 4 and 5) (A) | | Beneficially Owned Following Reported Transaction(s) | (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| Common Stock | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) 26,510 | D | |
| Common Stock | 07/25/2013 | | M | 325 (1) | A | \$0 | 26,835 | D | |
| Common Stock | 07/26/2013 | | M | 325 (2) | A | \$0 | 27,160 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Onof Derion Secun Acque (A) of Disp of (I | osed (i) (ii) (iii | Expiration Date (Month/Day/Year) ties red sed 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price Derivat Securit (Instr. 5 |
|---|---|---|---|---------------------------------------|---|---|---|--------------------|---|--|---|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (3) | | | | | | <u>(4)</u> | <u>(4)</u> | Common Stock | 1,300 (4) | |
| Restricted Stock Units | (3) | 07/25/2013 | | M | | 325 | <u>(1)</u> | <u>(1)</u> | Common Stock | 325 (1) | \$ 0 |
| Restricted Stock Units | <u>(3)</u> | | | | | | <u>(5)</u> | <u>(5)</u> | Common Stock | 650 (5) | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |

GOODSPEED LINDA 140 JOHN JAMES AUDUBON PARKWAY X AMHERST, NY 14228

Signatures

Mary C. O'Connor, Power of Attorney for Linda A. Goodspeed

**Signature of Reporting Person

07/26/2013 Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 25, 2012 and 325 (1) restricted stock units became fully vested and non-forfeitable on July 25, 2013. The remaining 325 restricted stock units become fully vested and non-forfeitable on July 25, 2014, if reporting person remains a director of issuer.

Reporting Owners 2

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- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 26, 2011 and 325 (2) restricted stock units became fully vested and non-forfeitable on July 26, 2012. The remaining 325 restricted stock units became fully vested and non-forfeitable on July 26, 2013.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (4) dated as of July 26, 2010, subject to forfeiture; units become fully vested and non-forfeitable 50% on July 22, 2014, 25% on July 22, 2015
- (4) dated as of July 26, 2010, subject to forfeiture; units become fully vested and non-forfeitable 50% on July 22, 2014, 25% on July 22, 2015 and 25% on July 22, 2016, if reporting person remains a director of issuer.
- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2013. The remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 23, 2014 and 50% on July 23, 2015, if reporting person remain a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.