

Hayes Thomas P  
Form 4  
November 21, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hayes Thomas P

(Last) (First) (Middle)

2200 W. DON TYSON PARKWAY

(Street)

SPRINGDALE, AR 72762

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

TYSON FOODS INC [TSN]

3. Date of Earliest Transaction  
(Month/Day/Year)

09/19/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	09/19/2017		J <sup>(1)</sup>	1,195.1833	A \$ 0	85,047.0163 (2)	D
Class A Common Stock	11/17/2017		M <sup>(3)</sup>	21,950.648	A \$ 0	106,997.6643 (2)	D
Class A Common Stock	11/17/2017		F <sup>(4)</sup>	10,018	D \$ 0	96,979.6643 (2)	D
Class A Common	11/17/2017		F <sup>(5)</sup>	2,724	D \$ 0	94,255.6643 (6)	D

# Edgar Filing: Hayes Thomas P - Form 4

Stock

Class A

Common 11/17/2017

Stock

A<sup>(7)</sup> 17,634.988 A \$ 0 <sup>(8)</sup> 111,890.6523 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Underlying (Instr. 3)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Performance Shares	(3)	11/17/2017		M			24,609	(3)	(3)	Class Common Stock
Non-Qualified Stock Options (Right to Buy)	\$ 77.97	11/17/2017		A(9)		75,426		11/17/2018	11/17/2027	Class Common Stock
Performance Shares	(10)	11/21/2017		A		70,539.952		(10)	(10)	Class Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hayes Thomas P 2200 W. DON TYSON PARKWAY SPRINGDALE, AR 72762	X		President and CEO	

## Signatures

/s/ R. Read Hudson by Power of Attorney for Thomas P.  
Hayes

11/21/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-11.  
Includes 7,952.3386 shares of Class A Common Stock which vested as described in footnote 5; 47,814.9497 shares of Class A Common Stock which vest on July 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved;
- (2) 8,634.783 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 7,354.251 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.  
On November 21, 2014 the Reporting Person received a grant of 24,609 performance shares which vested (in whole or in part) or expired on November 17, 2017 subject to the achievement of performance criteria in the applicable Stock Incentive Agreement. The performance criteria were (a) a cumulative adjusted earnings before interest and taxes (EBIT) target of \$8,704 million for the 2015-2017
- (3) fiscal years and (b) a favorable comparison of the Issuer's Class A common stock price relative to the stock prices of a predetermined peer group of publicly traded companies over the 2015-2017 fiscal years. The performance shares could vest at a level of 50%-200% and were previously reported as derivative securities at the 200% level. On November 17, 2017, 21,950.648 shares vested and are reported herein as acquired non-derivatives securities.
- (4) Pursuant to an election made by the Reporting Person, 10,018 shares were sold by the Reporting Person to the Issuer on November 17, 2017, to satisfy tax withholding obligations related to the vesting described in footnote 3.  
On November 17, 2017, 7,952.3386 shares of restricted Class A Common Stock vested. These shares were previously reported as
- (5) beneficially owned by the Reporting Person. Pursuant to an election made by the Reporting Person, 2,724 shares were sold by the Reporting Person to the Issuer on November 17, 2017, to satisfy tax withholding obligations.  
Includes 47,814.9497 shares of Class A Common Stock which vest on July 1, 2018 if the performance metrics described in the
- (6) applicable Stock Incentive Agreement are achieved; 8,634.783 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 20,644.945 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.  
Award of Class A Common Stock which vests on November 13, 2020 if the performance metric described in the applicable Stock
- (7) Incentive Agreement (the Incentive Agreement) are achieved and the Reporting Person is employed by the Issuer on the vesting date. The performance metrics are achievement of a three year (fiscal 2018-2020) cumulative EBIT target as set forth in the Incentive Agreement. If the performance metric is not achieved, the award expires.  
Includes 47,814.9497 shares of Class A Common Stock which vest on July 1, 2018 if the performance metrics described in the
- (8) applicable Stock Incentive Agreement are achieved; 8,634.783 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; 20,644.945 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 17,634.988 shares of Class A Common Stock which vest on November 13, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.
- (9) The stock options vest at 33 1/3% on each of the first, second, and third anniversary dates of the grant.  
Award of performance Class A Common Stock which vests on November 13, 2020 if the performance metrics described in the
- (10) applicable Stock Incentive Agreement are achieved. The performance criteria set forth in the Stock Incentive Agreement are (1) achievement of a three year (fiscal 2018-2020) cumulative EBIT target and (2) a favorable comparison of the relative total shareholder return of the Issuer's Class A Common Stock compared to a predetermined peer group of publicly traded companies over a three year (fiscal 2018-2020 ) period. Subject to the achievement of the performance criteria, the performance shares could vest at a level of 50 percent to 200 percent and are reported as derivative securities at the 200 percent level. If neither of the performance criteria is achieved, the award expires.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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